



IBM Informix Database Design and Implementation Guide



IBM Informix Database Design and Implementation Guide

Note!

Before using this information and the product it supports, read the information in “Notices” on page B-1.

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In This Introduction

This introduction provides an overview of the information in this manual and describes the conventions it uses.

About This Manual

This manual provides information to help you design, implement, and manage your Informix databases. It includes data models that illustrate different approaches to database design and shows you how to use structured query language (SQL) to implement and manage your databases.

This manual is one of several manuals that discuss Informix implementation of SQL. The *IBM Informix: Guide to SQL Tutorial* shows how to use basic and advanced SQL and Stored Procedure Language (SPL) routines to access and manipulate the data in your databases. The *IBM Informix: Guide to SQL Syntax* contains all the syntax descriptions for SQL and SPL. The *IBM Informix: Guide to SQL Reference* provides reference information for aspects of SQL other than the language statements.

Types of Users

This manual is written for the following users:

- Database administrators
- Database server administrators
- Database-application programmers

This manual assumes that you have the following background:

- A working knowledge of your computer, your operating system, and the utilities that your operating system provides
- Some experience working with relational databases or exposure to database concepts
- Some experience with computer programming

If you have limited experience with relational databases, SQL, or your operating system, refer to the *IBM Informix: Getting Started Guide* for your database server for a list of supplementary titles.

Software Dependencies

This manual is written with the assumption that you are using one of the following database servers:

- IBM Informix Dynamic Server, Version 10.0
- IBM Informix Extended Parallel Server, Version 8.50

Assumptions About Your Locale

IBM Informix products can support many languages, cultures, and code sets. All the information related to character set, collation, and representation of numeric data, currency, date, and time is brought together in a single environment, called a Global Language Support (GLS) locale.

The examples in this manual are written with the assumption that you are using the default locale, **en_us.8859-1**. This locale supports U.S. English format conventions for date, time, and currency. In addition, this locale supports the ISO 8859-1 code set, which includes the ASCII code set plus many 8-bit characters such as é, è, and ñ.

If you plan to use nondefault characters in your data or your SQL identifiers, or if you want to conform to the nondefault collation rules of character data, you need to specify the appropriate nondefault locale.

For instructions on how to specify a nondefault locale, additional syntax, and other considerations related to GLS locales, see the *IBM Informix: GLS User's Guide*.

Demonstration Database

The DB–Access utility, provided with the database server products, includes one or more of the following demonstration databases:

- For all IBM Informix databases, the **stores_demo** database illustrates a relational schema with information about a fictitious wholesale sporting-goods distributor. Many examples in IBM Informix manuals are based on the **stores_demo** database.
- For Extended Parallel Server, the **sales_demo** database illustrates a dimensional schema for data-warehousing applications. For conceptual information about dimensional data modeling, see Part 4 of this *IBM Informix: Database Design and Implementation Guide*.
- For Dynamic Server, the **superstores_demo** database illustrates an object-relational schema. The **superstores_demo** database contains examples of extended data types, type and table inheritance, and user-defined routines.

For information about how to create and populate the demonstration databases, see the *IBM Informix: DB–Access User's Guide*. For descriptions of the databases and their contents, see the *IBM Informix: Guide to SQL Reference*.

The scripts that you use to install the demonstration databases reside in the **\$INFORMIXDIR/bin** directory on UNIX platforms and in the **%INFORMIXDIR%\bin** directory in Windows environments.

New Features in Extended Parallel Server, Version 8.50

For a description of the new features in IBM Informix Extended Parallel Server, Version 8.50, see the *IBM Informix: Getting Started Guide*, Version 8.50.

New Features in Dynamic Server, Version 10.0

For a description of the new features in IBM Informix Dynamic Server, Version 10.0, see the *IBM Informix: Getting Started Guide*, Version 10.0.

Documentation Conventions

This section describes the conventions that this manual uses. These conventions make it easier to gather information from this and other volumes in the documentation set.

The following conventions are discussed:

- Typographical conventions
- Other conventions
- Syntax diagrams
- Command-line conventions
- Example code conventions

Typographical Conventions

This manual uses the following conventions to introduce new terms, illustrate screen displays, describe command syntax, and so forth.

| Convention | Meaning |
|--|---|
| KEYWORD | All primary elements in a programming language statement (keywords) appear in uppercase letters in a serif font. |
| <i>italics</i> <i>italics</i> <i>italics</i> | Within text, new terms and emphasized words appear in italics. Within syntax and code examples, variable values that you are to specify appear in italics. |
| boldface boldface | Names of program entities (such as classes, events, and tables), environment variables, file and pathnames, and interface elements (such as icons, menu items, and buttons) appear in boldface. |
| monospace <i>monospace</i> | Information that the product displays and information that you enter appear in a monospace typeface. |
| KEYSTROKE | Keys that you are to press appear in uppercase letters in a sans serif font. |
| > | This symbol indicates a menu item. For example, “Choose Tools > Options ” means choose the Options item from the Tools menu. |

Tip: When you are instructed to “enter” characters or to “execute” a command, immediately press RETURN after the entry. When you are instructed to “type” the text or to “press” other keys, no RETURN is required.

Feature, Product, and Platform

Feature, product, and platform markup identifies paragraphs that contain feature-specific, product-specific, or platform-specific information. Some

examples of this markup follow:

Dynamic Server

Identifies information that is specific to IBM Informix Dynamic Server

End of Dynamic Server

Extended Parallel Server

Identifies information that is specific to IBM Informix Extended Parallel Server

End of Extended Parallel Server

UNIX Only

Identifies information that is specific to UNIX platforms

End of UNIX Only

Windows Only

Identifies information that is specific to the Windows environment

End of Windows Only

This markup can apply to one or more paragraphs within a section. When an entire section applies to a particular product or platform, this is noted as part of the heading text, for example:

Table Sorting (Linux Only)

Syntax Diagrams

This guide uses syntax diagrams built with the following components to describe the syntax for statements and all commands other than system-level commands.

Note: Starting in 2004, syntax diagrams have been reformatted to conform to the IBM standard.

Syntax diagrams depicting SQL and command-line statements have changed in the following ways:

- The symbols at the beginning and end of statements are now double arrows instead of a vertical line at the end.
- The symbols at the beginning and end of syntax segment diagrams are now vertical lines instead of arrows.

- How many times a loop can be repeated is now explained in a diagram footnote instead of a number in a gate symbol.
- Syntax statements that are longer than one line now continue on the next line instead of looping down with a continuous line.
- Product or condition-specific paths are now explained in diagram footnotes instead of icons.

The following table describes syntax diagram components.

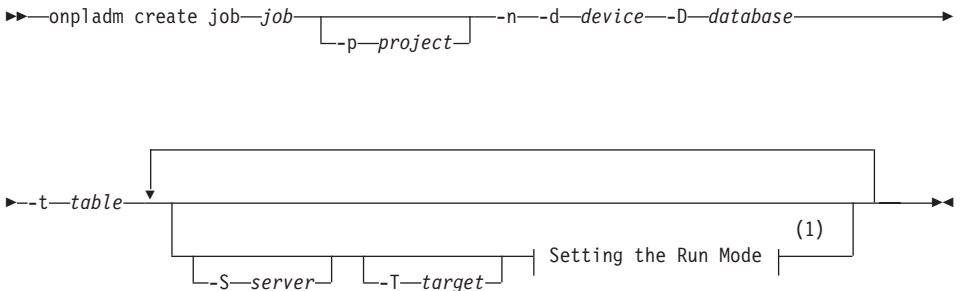
| Component represented in PDF | Component represented in HTML | Meaning |
|------------------------------|--|---|
| | >>----- | Statement begins. |
| | -----> | Statement continues on next line. |
| | >----- | Statement continues from previous line. |
| | ----->< | Statement ends. |
| | -----SELECT----- | Required item. |
| | ---+-----+--- '-----LOCAL-----' | Optional item. |
| | ---+-----+--- +---DISTINCT---+ '---UNIQUE-----' | Required item with choice. One and only one item must be present. |
| | ---+-----+--- +---FOR UPDATE---+ '---FOR READ ONLY--' | Optional items with choice are shown below the main line, one of which you might specify. |
| | .---NEXT-----. ---+-----+--- +---PRIOR-----+ '---PREVIOUS-----' | The values below the main line are optional, one of which you might specify. If you do not specify an item, the value above the line will be used as the default. |

| Component represented in PDF | Component represented in HTML | Meaning |
|------------------------------|---|--|
| | <pre> .v -----,----- +---index_name---+ '---table_name---' </pre> | Optional items. Several items are allowed; a comma must precede each repetition. |
| | <pre> >>- Table Reference -<< </pre> | Reference to a syntax segment. |
| <p>Table Reference</p> | <pre> ---+-----view-----+--- +-----table-----+ '-----synonym-----' </pre> | Syntax segment. |

How to Read a Command-Line Syntax Diagram

The following command-line syntax diagram uses some of the elements listed in the table in the previous section.

Creating a No-Conversion Job

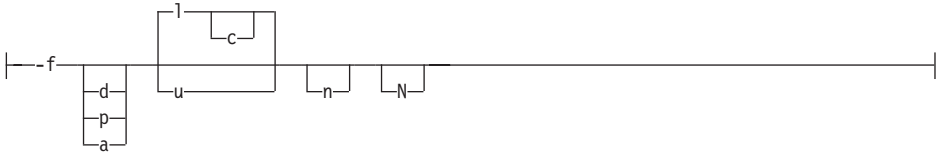


Notes:

- 1 See page 17-4

The second line in this diagram has a segment named “Setting the Run Mode,” which according to the diagram footnote, is on page 17-4. This segment is shown in the following segment diagram (the diagram uses segment start and end components).

Setting the Run Mode:



To construct a command correctly, start at the top left with the command. Follow the diagram to the right, including the elements that you want. The elements in the diagram are case sensitive.

The Creating a No-Conversion Job diagram illustrates the following steps:

1. Type **onpladm create job** and then the name of the job.
2. Optionally, type **-p** and then the name of the project.
3. Type the following required elements:
 - **-n**
 - **-d** and the name of the device
 - **-D** and the name of the database
 - **-t** and the name of the table
4. Optionally, you can choose one or more of the following elements and repeat them an arbitrary number of times:
 - **-S** and the server name
 - **-T** and the target server name
 - The run mode. To set the run mode, follow the Setting the Run Mode segment diagram to type **-f**, optionally type **d**, **p**, or **a**, and then optionally type **l** or **u**.
5. Follow the diagram to the terminator.

Your diagram is complete.

Keywords and Punctuation

Keywords are words reserved for statements and all commands except system-level commands. When a keyword appears in a syntax diagram, it is shown in uppercase letters. When you use a keyword in a command, you can write it in uppercase or lowercase letters, but you must spell the keyword exactly as it appears in the syntax diagram.

You must also use any punctuation in your statements and commands exactly as shown in the syntax diagrams.

Identifiers and Names

Variables serve as placeholders for identifiers and names in the syntax diagrams and examples. You can replace a variable with an arbitrary name,

identifier, or literal, depending on the context. Variables are also used to represent complex syntax elements that are expanded in additional syntax diagrams. When a variable appears in a syntax diagram, an example, or text, it is shown in *lowercase italic*.

The following syntax diagram uses variables to illustrate the general form of a simple SELECT statement.

►—SELECT—*column_name*—FROM—*table_name*—►

When you write a SELECT statement of this form, you replace the variables *column_name* and *table_name* with the name of a specific column and table.

Example Code Conventions

Examples of SQL code occur throughout this manual. Except as noted, the code is not specific to any single IBM Informix application development tool.

If only SQL statements are listed in the example, they are not delimited by semicolons. For instance, you might see the code in the following example:

```
CONNECT TO stores_demo
...

DELETE FROM customer
    WHERE customer_num = 121
...

COMMIT WORK
DISCONNECT CURRENT
```

To use this SQL code for a specific product, you must apply the syntax rules for that product. For example, if you are using DB–Access, you must delimit multiple statements with semicolons. If you are using an SQL API, you must use EXEC SQL at the start of each statement and a semicolon (or other appropriate delimiter) at the end of the statement.

Tip: Ellipsis points in a code example indicate that more code would be added in a full application, but it is not necessary to show it to describe the concept being discussed.

For detailed directions on using SQL statements for a particular application development tool or SQL API, see the manual for your product.

Additional Documentation

For additional information, refer to the following types of documentation:

- Installation guides
- Online notes
- Informix error messages
- Manuals
- Online help

Installation Guides

Installation guides are located in the **/doc** directory of the product CD or in the **/doc** directory of the product's compressed file if you downloaded it from the IBM Web site. Alternatively, you can obtain installation guides from the IBM Informix Online Documentation site at <http://www.ibm.com/software/data/informix/pubs/library/>.

Online Notes

The following sections describe the online files that supplement the information in this manual. Please examine these files before you begin using your IBM Informix product. They contain vital information about application and performance issues.

| Online File | Description | Format |
|------------------------------|---|------------|
| TOC Notes | The TOC (Table of Contents) notes file provides a comprehensive directory of hyperlinks to the release notes, the fixed and known defects file, and all the documentation notes files for individual manual titles. | HTML |
| Documentation Notes | The documentation notes file for each manual contains important information and corrections that supplement the information in the manual or information that was modified since publication. | HTML, text |
| Release Notes | The release notes file describes feature differences from earlier versions of IBM Informix products and how these differences might affect current products. For some products, this file also contains information about any known problems and their workarounds. | HTML, text |
| Machine Notes | (Non-Windows platforms only) The machine notes file describes any platform-specific actions that you must take to configure and use IBM Informix products on your computer. | text |
| Fixed and Known Defects File | This text file lists issues that have been identified with the current version. It also lists customer-reported defects that have been fixed in both the current version and in previous versions. | text |

Locating Online Notes

Online notes are available from the IBM Informix Online Documentation site at <http://www.ibm.com/software/data/informix/pubs/library/>. Additionally you can locate these files before or after installation as described below.

Before Installation

All online notes are located in the `/doc` directory of the product CD. The easiest way to access the documentation notes, the release notes, and the fixed and known defects file is through the hyperlinks from the TOC notes file.

The machine notes file and the fixed and known defects file are only provided in text format.

After Installation

On UNIX platforms in the default locale, the documentation notes, release notes, and machine notes files appear under the `$INFORMIXDIR/release/en_us/0333` directory.

Dynamic Server

On Windows the documentation and release notes files appear in the **Informix** folder. To display this folder, choose **Start > Programs > IBM Informix Dynamic Server *version* > Documentation Notes** or **Release Notes** from the taskbar.

Machine notes do not apply to Windows platforms.

End of Dynamic Server

Online Notes Filenames

Online notes have the following file formats:

| Online File | File Format | Examples |
|------------------------------|--|---|
| TOC Notes | <i>prod_os_tocnotes_version.html</i> | ids_win_tocnotes_10.0.html |
| Documentation Notes | <i>prod_bookname_docnotes_version.html/txt</i> | ids_hpl_docnotes_10.0.html |
| Release Notes | <i>prod_os_relnotes_version.html/txt</i> | ids_unix_relnotes_10.0.txt |
| Machine Notes | <i>prod_machine_notes_version.txt</i> | ids_machine_notes_10.0.txt |
| Fixed and Known Defects File | <i>prod_defects_version.txt</i> | ids_defects_10.0.txt client_defects_2.90.txt |
| | <i>ids_win_fixed_and_known_defects_version.txt</i> | ids_win_fixed_and_known_defects_10.0.txt |

Informix Error Messages

This file is a comprehensive index of error messages and their corrective actions for the Informix products and version numbers.

On UNIX platforms, use the **finderr** command to read the error messages and their corrective actions.

Dynamic Server

On Windows, use the Informix Error Messages utility to read error messages and their corrective actions. To display this utility, choose **Start > Programs > IBM Informix Dynamic Server *version* > Informix Error Messages** from the taskbar.

End of Dynamic Server

You can also access these files from the IBM Informix Online Documentation site at <http://www.ibm.com/software/data/informix/pubs/library/>.

Manuals

Online Manuals

A CD that contains your manuals in electronic format is provided with your IBM Informix products. You can install the documentation or access it directly from the CD. For information about how to install, read, and print online manuals, see the installation insert that accompanies your CD. You can also obtain the same online manuals from the IBM Informix Online Documentation site at <http://www.ibm.com/software/data/informix/pubs/library/>.

Printed Manuals

To order hardcopy manuals, contact your sales representative or visit the IBM Publications Center Web site at <http://www.ibm.com/software/howtobuy/data.html>.

Online Help

IBM Informix online help, provided with each graphical user interface (GUI), displays information about those interfaces and the functions that they perform. Use the help facilities that each GUI provides to display the online help.

Accessibility

IBM is committed to making our documentation accessible to persons with disabilities. Our books are available in HTML format so that they can be accessed with assistive technology such as screen reader software. The syntax diagrams in our manuals are available in dotted decimal format, which is an accessible format that is available only if you are using a screen reader. For more information about the dotted decimal format, see the Accessibility appendix.

IBM Informix Dynamic Server Version 10.0 and CSDK Version 2.90 Documentation Set

The following tables list the manuals that are part of the IBM Informix Dynamic Server, Version 10.0 and the CSDK Version 2.90, documentation set. PDF and HTML versions of these manuals are available at <http://www.ibm.com/software/data/informix/pubs/library/>. You can order hardcopy versions of these manuals from the IBM Publications Center at <http://www.ibm.com/software/howtobuy/data.html>.

Table 1. Database Server Manuals

| Manual | Subject |
|--|--|
| Administrator's Guide | Understanding, configuring, and administering your database server. |
| Administrator's Reference | Reference material for Informix Dynamic Server, such as the syntax of database server utilities onmode and onstat , and descriptions of configuration parameters, the sysmasters tables, and logical-log records. |
| Backup and Restore Guide | The concepts and methods you need to understand when you use the ON-Bar and ontape utilities to back up and restore data. |
| DB-Access User's Guide | Using the DB-Access utility to access, modify, and retrieve data from Informix databases. |
| DataBlade API Function Reference | The DataBlade API functions and the subset of ESQL/C functions that the DataBlade API supports. You can use the DataBlade API to develop client LIBMI applications and C user-defined routines that access data in Informix databases. |
| DataBlade API Programmer's Guide | The DataBlade API, which is the C-language application-programming interface provided with Dynamic Server. You use the DataBlade API to develop client and server applications that access data stored in Informix databases. |
| Database Design and Implementation Guide | Designing, implementing, and managing your Informix databases. |
| Enterprise Replication Guide | How to design, implement, and manage an Enterprise Replication system to replicate data between multiple database servers. |
| Error Messages file | Causes and solutions for numbered error messages you might receive when you work with IBM Informix products. |
| Getting Started Guide | Describes the products bundled with IBM Informix Dynamic Server and interoperability with other IBM products. Summarizes important features of Dynamic Server and the new features for each version. |
| Guide to SQL: Reference | Information about Informix databases, data types, system catalog tables, environment variables, and the <code>stores_demo</code> demonstration database. |
| Guide to SQL: Syntax | Detailed descriptions of the syntax for all Informix SQL and SPL statements. |
| Guide to SQL: Tutorial | A tutorial on SQL, as implemented by Informix products, that describes the basic ideas and terms that are used when you work with a relational database. |
| High-Performance Loader User's Guide | Accessing and using the High-Performance Loader (HPL), to load and unload large quantities of data to and from Informix databases. |
| Installation Guide for Microsoft Windows | Instructions for installing IBM Informix Dynamic Server on Windows. |
| Installation Guide for UNIX and Linux | Instructions for installing IBM Informix Dynamic Server on UNIX and Linux. |

Table 1. Database Server Manuals (continued)

| Manual | Subject |
|--|---|
| J/Foundation Developer's Guide | Writing user-defined routines (UDRs) in the Java programming language for Informix Dynamic Server with J/Foundation. |
| Large Object Locator DataBlade Module User's Guide | Using the Large Object Locator, a foundation DataBlade module that can be used by other modules that create or store large-object data. The Large Object Locator enables you to create a single consistent interface to large objects and extends the concept of large objects to include data stored outside the database. |
| Migration Guide | Conversion to and reversion from the latest versions of Informix database servers. Migration between different Informix database servers. |
| Optical Subsystem Guide | The Optical Subsystem, a utility that supports the storage of BYTE and TEXT data on optical disk. |
| Performance Guide | Configuring and operating IBM Informix Dynamic Server to achieve optimum performance. |
| R-Tree Index User's Guide | Creating R-tree indexes on appropriate data types, creating new operator classes that use the R-tree access method, and managing databases that use the R-tree secondary access method. |
| SNMP Subagent Guide | The IBM Informix subagent that allows a Simple Network Management Protocol (SNMP) network manager to monitor the status of Informix servers. |
| Storage Manager Administrator's Guide | Informix Storage Manager (ISM), which manages storage devices and media for your Informix database server. |
| Trusted Facility Guide | The secure-auditing capabilities of Dynamic Server, including the creation and maintenance of audit logs. |
| User-Defined Routines and Data Types Developer's Guide | How to define new data types and enable user-defined routines (UDRs) to extend IBM Informix Dynamic Server. |
| Virtual-Index Interface Programmer's Guide | Creating a secondary access method (index) with the Virtual-Index Interface (VII) to extend the built-in indexing schemes of IBM Informix Dynamic Server. Typically used with a DataBlade module. |
| Virtual-Table Interface Programmer's Guide | Creating a primary access method with the Virtual-Table Interface (VTI) so that users have a single SQL interface to Informix tables and to data that does not conform to the storage scheme of Informix Dynamic Server. |

Table 2. Client/Connectivity Manuals

| Manual | Subject |
|------------------------------------|---|
| Client Products Installation Guide | Installing IBM Informix Client Software Developer's Kit (Client SDK) and IBM Informix Connect on computers that use UNIX, Linux, and Windows. |
| Embedded SQLJ User's Guide | Using IBM Informix Embedded SQLJ to embed SQL statements in Java programs. |

Table 2. Client/Connectivity Manuals (continued)

| Manual | Subject |
|---|--|
| ESQL/C Programmer's Manual | The IBM Informix implementation of embedded SQL for C. |
| GLS User's Guide | The Global Language Support (GLS) feature, which allows IBM Informix APIs and database servers to handle different languages, cultural conventions, and code sets. |
| JDBC Driver Programmer's Guide | Installing and using Informix JDBC Driver to connect to an Informix database from within a Java application or applet. |
| .NET Provider Reference Guide | Using Informix .NET Provider to enable .NET client applications to access and manipulate data in Informix databases. |
| ODBC Driver Programmer's Manual | Using the Informix ODBC Driver API to access an Informix database and interact with the Informix database server. |
| OLE DB Provider Programmer's Guide | Installing and configuring Informix OLE DB Provider to enable client applications, such as ActiveX Data Object (ADO) applications and Web pages, to access data on an Informix server. |
| Object Interface for C++ Programmer's Guide | The architecture of the C++ object interface and a complete class reference. |

Table 3. DataBlade Developer's Kit Manuals

| Manual | Subject |
|--|---|
| DataBlade Developer's Kit User's Guide | Developing and packaging DataBlade modules using BladeSmith and BladePack. |
| DataBlade Module Development Overview | Basic orientation for developing DataBlade modules. Includes an example illustrating the development of a DataBlade module. |
| DataBlade Module Installation and Registration Guide | Installing DataBlade modules and using BladeManager to manage DataBlade modules in Informix databases. |

Compliance with Industry Standards

The American National Standards Institute (ANSI) and the International Organization of Standardization (ISO) have jointly established a set of industry standards for the Structured Query Language (SQL). IBM Informix SQL-based products are fully compliant with SQL-92 Entry Level (published as ANSI X3.135-1992), which is identical to ISO 9075:1992. In addition, many features of IBM Informix database servers comply with the SQL-92 Intermediate and Full Level and X/Open SQL Common Applications Environment (CAE) standards.

IBM Welcomes Your Comments

We want to know about any corrections or clarifications that you would find useful in our manuals, which will help us improve future versions. Include the following information:

- The name and version of the manual that you are using
- Section and page number
- Your suggestions about the manual

Send your comments to us at the following email address:

`docinf@us.ibm.com`

This email address is reserved for reporting errors and omissions in our documentation. For immediate help with a technical problem, contact IBM Technical Support.

We appreciate your suggestions.

Part 1. Basics of Database Design and Implementation

Chapter 1. Planning a Database

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In This Chapter

This chapter describes several issues that a database administrator (DBA) must understand to effectively plan for a database. It discusses choosing a data model for your database, using ANSI-compliant databases, and using a customized language environment for your database.

Choosing a Data Model for Your Database

Before you create a database with an IBM Informix product, you must decide what type of data model you want to use to design your database. This manual describes the following database models:

- Relational data model
This data model typifies database design for online transaction processing (OLTP). The purpose of OLTP is to process a large number of small transactions without losing any of them. An OLTP database is designed to handle the day-to-day needs of a business, and database performance is tuned for those needs. Part 1, “Basics of Database Design and Implementation” of this manual, describes how to build and implement a relational data model for OLTP. Part 2, “Managing Databases,” discusses how to manage your databases.
- Object-relational data model
Dynamic Server supports object-relational databases that employ basic relational design principles, but include features such as extended data

types, user-defined routines, user-defined casts, and user-defined aggregates to extend the functionality of relational databases. Part 3, “Object-Relational Databases” of this manual, discusses how to use the extensible features of Dynamic Server to extend the kinds of data you can store in your database and to provide greater flexibility in how you organize and access your data.

- Dimensional data model

This data model is typically used to build data marts, which are a type of data warehouse. In a data-warehousing environment, databases are optimized for data retrieval and analysis. This type of informational processing is known as online analytical processing (OLAP) or decision-support processing. Part 4, “Dimensional Databases” of this manual, describes how to build and implement a dimensional data model for OLAP.

In addition to the data model you choose to design the database, you must make the following decisions that determine which features are available to applications that use the database:

- Which database server should you use?
 - Dynamic Server
 - Extended Parallel Server
- Does the database need to be ANSI compliant?
- Will the database use characters from a language other than English in its tables?

The remainder of this chapter describes the implications of these decisions and summarizes how the decisions that you make affect your database.

Using ANSI-Compliant Databases

You create an ANSI-compliant database when you use the `MODE ANSI` keywords in the `CREATE DATABASE` statement. However, creating an ANSI-compliant database does not ensure that this database *remains* ANSI-compliant. If you take a non-ANSI action (such as `CREATE INDEX`) on an ANSI database, you will receive a warning, but the application program does not forbid the action.

You might want to create an ANSI-compliant database for the following reasons:

- Privileges and access to objects
ANSI rules govern privileges and access to objects such as tables and synonyms.
- Name isolation

The ANSI table-naming scheme allows different users to create tables in a database without name conflicts.

- Transaction isolation
- Data recovery

ANSI-compliant databases enforce unbuffered logging and implicit transactions for Dynamic Server.

You can use the same SQL statements with both ANSI-compliant databases and non-ANSI-compliant databases.

Differences Between ANSI-Compliant and Non-ANSI-Compliant Databases

Databases that you designate as ANSI compliant and databases that are not ANSI compliant behave differently in the following areas:

- Transactions
- Transaction logging
- Owner naming
- Privileges on objects
- Default isolation level
- Character data types
- Decimal data type
- Escape characters
- Cursor behavior
- SQLCODE of the SQLCA
- Synonym behavior

Transactions

A *transaction* is a collection of SQL statements that are treated as a single unit of work. All the SQL statements that you issue in an ANSI-compliant database are automatically contained in transactions. With a database that is not ANSI compliant, transaction processing is an option.

In a database that is not ANSI compliant, a transaction is enclosed by a `BEGIN WORK` statement and a `COMMIT WORK` or a `ROLLBACK WORK` statement. However, in an ANSI-compliant database, the `BEGIN WORK` statement is unnecessary, because all statements are automatically contained in a transaction. You need to indicate only the end of a transaction with a `COMMIT WORK` or `ROLLBACK WORK` statement.

For more information on transactions, see Chapter 4, “Implementing a Relational Data Model,” on page 4-1 and the *IBM Informix: Guide to SQL Tutorial*.

Transaction Logging

ANSI-compliant databases run with unbuffered transaction logging. In an ANSI-compliant database, you cannot change the logging mode to buffered logging, and you cannot turn logging off.

Databases of Dynamic Server that are not ANSI compliant can run with either buffered logging or unbuffered logging. Unbuffered logging provides more comprehensive data recovery, but buffered logging provides better performance.

Databases of Extended Parallel Server that are not ANSI compliant run with unbuffered logging only. Unbuffered logging provides more comprehensive data recovery.

For more information, see the description of the CREATE DATABASE statement in the *IBM Informix: Guide to SQL Syntax*.

Owner Naming

In an ANSI-compliant database, owner naming is enforced. When you supply an object name in an SQL statement, ANSI standards require that the name include the prefix *owner*, unless you are the owner of the object. The combination of *owner* and *name* must be unique in the database. If you are the owner of the object, the database server supplies your user name as the default.

Databases that are not ANSI compliant do not enforce owner naming. For more information, see the Owner Name segment in the *IBM Informix: Guide to SQL Syntax*.

Privileges on Objects

ANSI-compliant databases and non-ANSI-compliant databases differ as to which users are granted table-level privileges by default when a table in a database is created. ANSI standards specify that the database server grants only the table owner (as well as the DBA if they are not the same user) any table-level privileges. In a database that is not ANSI compliant, however, privileges are granted to PUBLIC. In addition, the database server provides two table-level privileges, Alter and Index, that are not included in the ANSI standards.

To run a user-defined routine, you must have the Execute privilege for that routine. When you create an owner-privileged procedure for an ANSI-compliant database, only the owner of the user-defined routine has the Execute privilege. When you create an owner-privileged routine in a database that is not ANSI compliant, the database server grants the Execute privilege to PUBLIC by default.

Setting the **NODEFDAC** environment variable to 'yes' causes a database that is not ANSI compliant to emulate the behavior of an ANSI-compliant database in not granting privileges to PUBLIC automatically when a user creates a table or an owner-privileged routine. For more information about privileges, see Chapter 6, "Granting and Limiting Access to Your Database," on page 6-1 and the description of the GRANT statement in the *IBM Informix: Guide to SQL Syntax*.

Default Isolation Level

The database isolation level specifies the degree to which your program is isolated from the concurrent actions of other programs. The default isolation level for all ANSI-compliant databases is Repeatable Read. The default isolation level for non-ANSI-compliant databases that support transaction logging is Committed Read. The default isolation level for non-ANSI-compliant databases that do not use transaction logging is Uncommitted Read. For information on isolation levels, see the *IBM Informix: Guide to SQL Tutorial* and the description of the SET TRANSACTION and SET ISOLATION statements in the *IBM Informix: Guide to SQL Syntax*.

Character Data Types

If a database is not ANSI compliant, you get no error if a character field (CHAR, CHARACTER, LVARCHAR, NCHAR, NVARCHAR, VARCHAR, CHARACTER VARYING) receives a string that is longer than the specified length of the field. The database server truncates the extra characters without resulting in an error message. Thus the semantic integrity of data for a CHAR(*n*) column or variable is not enforced when the value inserted or updated exceeds *n* bytes.

In an ANSI-compliant database, you get an error if any character field (CHAR, CHARACTER, LVARCHAR, NCHAR, NVARCHAR, VARCHAR, CHARACTER VARYING) receives a string that is longer than the specified width of the field.

DECIMAL Data Type

If a database is not ANSI compliant, a DECIMAL data type that you declare with a precision but no scale can store floating point values of the specified precision. If you specify neither precision nor scale, the default precision is 16.

In an ANSI-compliant database, all DECIMAL values are fixed-point and must be declared with an explicit precision. If you specify no scale for the DECIMAL data type, the scale = 0, and only integer values can be stored.

Escape Characters

In an ANSI-compliant database, escape characters can only escape the special significance of the percent (%) and underscore (_) characters. You can also use

an escape character to escape itself. For more information about escape characters, see the Condition segment in the *IBM Informix: Guide to SQL Syntax*.

Cursor Behavior

If a database is not ANSI compliant, you need to use the FOR UPDATE keywords when you declare an update cursor for a SELECT statement. The SELECT statement must also meet the following conditions:

- It selects from a single table.
- It does not include any aggregate functions.
- It does not include the DISTINCT, GROUP BY, INTO TEMP, ORDER BY, UNION, or UNIQUE clauses and keywords.

In ANSI-compliant databases, the FOR UPDATE keywords are implicit when you declare a cursor, and *all* cursors that meet the restrictions that the preceding list describes are potentially update cursors. You can specify that a cursor is read-only with the FOR READ ONLY keywords on the DECLARE statement.

For more information, see the description of the DECLARE statement in the *IBM Informix: Guide to SQL Syntax*.

The SQLCODE Field of the SQL Communications Area

If no rows satisfy the search criteria of a DELETE, an INSERT INTO *tablename* SELECT, a SELECT...INTO TEMP, or an UPDATE statement, the database server sets SQLCODE to 100 if the database is ANSI compliant and 0 if the database is not ANSI compliant.

For more information, see the descriptions of SQLCODE in the *IBM Informix: Guide to SQL Tutorial*.

Synonym Behavior

Synonyms are always private in an ANSI-compliant database. If you attempt to create a public synonym or use the PRIVATE keyword to designate a private synonym in an ANSI-compliant database, you receive an error.

For more information, see the description of the CREATE SYNONYM statement in the *IBM Informix: Guide to SQL Syntax*.

Determining if an Existing Database Is ANSI Compliant

The following list describes two methods to determine whether a database is ANSI compliant:

- From the **sysmaster** database you can execute the following statement:

```
SELECT name, is_ansi FROM sysmaster:sysdatabases
```

The query returns the value 1 for ANSI-compliant databases and 0 for non-ANSI-compliant databases for each database on your database server.

- If you are using an SQL API such as IBM Informix ESQL/C, you can test the SQL Communications Area (SQLCA). Specifically, the third element in the SQLCAWARN structure contains a W immediately after you open an ANSI-compliant database with the DATABASE or CONNECT statement. For information on SQLCA, see the *IBM Informix: Guide to SQL Tutorial* or your SQL API manual.

Using a Customized Language Environment for Your Database (GLS)

Global Language Support (GLS) permits you to use different locales. A GLS locale is an environment that has defined conventions for a particular language or culture.

By default, IBM Informix products use the U.S.-English ASCII code set and perform in the U.S.-English environment with ASCII collation order. Set your environment to accommodate a nondefault locale if you plan to use any of the following functionalities:

- Non-ASCII characters in the data
- Non-ASCII characters in user-specified object names
- Conformity with the sorting and collation order of a non-default code set
- Culture-specific collation and sorting orders, such as those used in dictionaries or phone books

For descriptions of GLS environment variables and for detailed information on how to implement non-default locales, see the *IBM Informix: GLS User's Guide*.

Chapter 2. Building a Relational Data Model

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In This Chapter

The first step in creating a relational database is to construct a data model: a precise, complete definition of the data you want to store. This chapter provides an overview of one way to model the data. For information about defining column-specific properties of a data model, see Chapter 3, “Choosing

Data Types,” on page 3-1. To learn how to implement the data model that this chapter describes, see Chapter 4, “Implementing a Relational Data Model,” on page 4-1.

To understand the material in this chapter, a basic understanding of SQL and relational database theory are necessary.

Building a Data Model

You already have some idea about the type of data in your database and how that data needs to be organized. This information is the beginning of a data model. Building a data model with formal notation has the following advantages:

- You think through the data model completely.
A mental model often contains unexamined assumptions; when you formalize the design, you discover these assumptions.
- The design is easier to communicate to other people.
A formal statement makes the model explicit, so that others can return comments and suggestions in the same form.

Overview of the Entity-Relationship Data Model

More than one formal method for data modeling exists. Most methods force you to be thorough and precise. If you know a method, by all means use it.

This chapter presents a summary of the entity-relationship (E-R) data model. The E-R data-modeling method follows these steps:

1. Identify and define the principal data objects (entities, relationships, and attributes).
2. Diagram the data objects using the E-R approach.
3. Translate the E-R data objects into relational constructs.
4. Resolve the logical data model.
5. Normalize the logical data model.

Steps 1 through 5 are discussed in this chapter. Chapter 4 discusses the final step of converting your logical data model to a physical schema.

The end product of data modeling is a fully-defined database design encoded in a diagram similar to Figure 2-21 on page 2-26, which shows the final set of tables for a personal telephone directory. The personal telephone directory is an example developed in this chapter. It is used rather than the demonstration database because it is small enough to be developed completely in one chapter but large enough to show the entire method.

Identifying and Defining Principal Data Objects

To create a data model, you first identify and define the principal data objects: entities, relationships, and attributes.

Discovering Entities

An *entity* is a principal data object that is of significant interest to the user. It is usually a person, place, thing, or event to be recorded in the database. If the data model were a language, entities would be nouns. The demonstration database provided with your software contains the following entities: *customer, orders, items, stock, catalog, cust_calls, call_type, manufact, and state.*

Choosing Possible Entities

You can probably list several entities for your database immediately. Make a preliminary list of all the entities you can identify. Interview the potential users of the database for their opinions about what must be recorded in the database. Determine basic characteristics for each entity, such as “at least one address must be associated with a name.” All the decisions you make about the entities become your *business rules*. The telephone directory example on page 2-5 provides some of the business rules for the example in this chapter.

Later, when you *normalize* your data model, some of the entities can expand or become other data objects. For more information, see “Normalizing a Data Model” on page 2-24.

The List of Entities

When the list of entities seems complete, check the list to make sure that each entity has the following qualities:

- It is significant.

List only entities that are important to your database users and that are worth the trouble and expense of computer tabulation.

- It is generic.

List only types of things, not individual instances. For instance, *symphony* might be an entity, but *Beethoven's Fifth* would be an entity instance or entity occurrence.

- It is fundamental.

List only entities that exist independently and do not need something else to explain them. Anything you might call a trait, a feature, or a description is not an entity. For example, a *part number* is a feature of the fundamental entity called *part*. Also, do not list things that you can derive from other entities; for example, avoid any sum, average, or other quantity that you can calculate in a SELECT expression.

- It is unitary.

Be sure that each entity you name represents a single class. It cannot be separated into subcategories, each with its own features. In the telephone

directory example in Figure 2-1 on page 2-5, the telephone number, an apparently simple entity, actually consists of three categories, each with different features.

These choices are neither simple nor automatic. To discover the best choice of entities, you must think carefully about the nature of the data you want to store. Of course, that is exactly the point of a formal data model. The following section describes the telephone directory example in detail.

Telephone Directory Example

Suppose that you create a database for a personal telephone directory. The database model must record the names, addresses, and telephone numbers of people and organizations that the user needs.

First define the entities. Look carefully at a page from a telephone directory to identify the entities that it contains. Figure 2-1 on page 2-5 shows a sample page from a telephone directory.

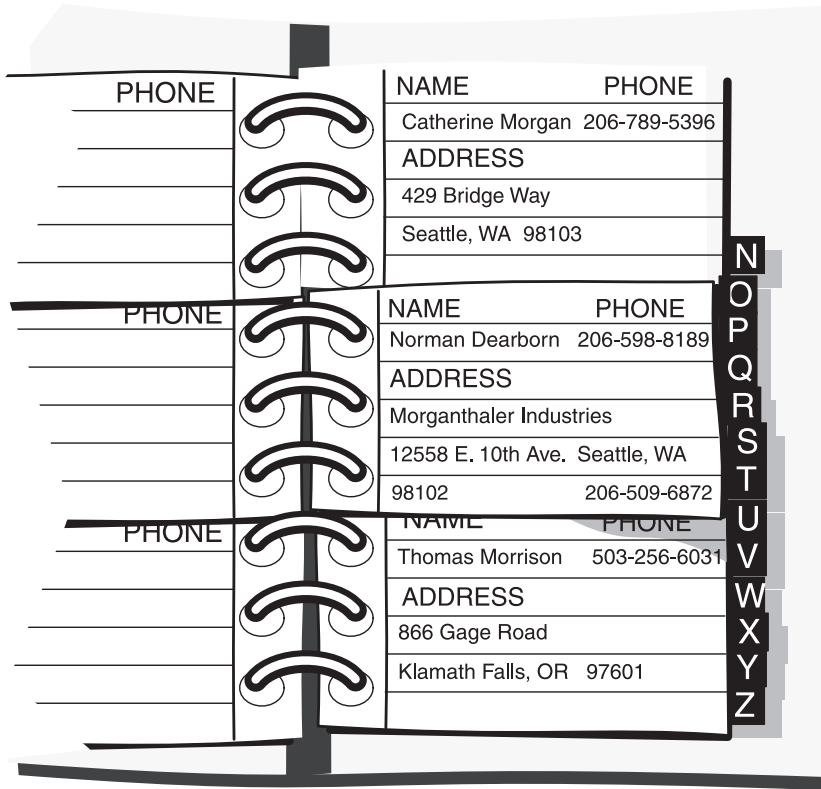


Figure 2-1. Partial Page from a Telephone Directory

The physical form of the existing data can be misleading. Do not let the layout of pages and entries in the telephone directory mislead you into trying to specify an entity that represents one entry in the book: an alphabetized record with fields for name, number, and address. You want to model the data, not the medium.

Generic and Significant Entities: At first glance, the entities that are recorded in a telephone directory include the following items:

- Names (of persons and organizations)
- Addresses
- Telephone numbers

Do these entities meet the earlier criteria? They are clearly significant to the model and are generic.

Fundamental Entities: A good test is to ask if an entity can vary in number independently of any other entity. A telephone directory sometimes lists people who have no number or current address (people who move or change jobs) and also can list both addresses and numbers that more than one person uses. All three of these entities can vary in number independently; this fact strongly suggests that they are fundamental, not dependent.

Unitary Entities: Names can be split into personal names and corporate names. You decide that all names should have the same features in this model; that is, you do not plan to record different information about a company than you would record about a person. Likewise, you decide that only one kind of address exists; you do not need to treat home addresses differently from business addresses.

However, you also realize that more than one kind of telephone number exists. *Voice* numbers are answered by a person, *fax* numbers connect to a fax machine, and *modem* numbers connect to a computer. You decide that you want to record different information about each kind of number, so these three types are different entities.

For the personal telephone directory example, you decide that you want to keep track of the following entities:

- Name
- Address (mailing)
- Telephone number (voice)
- Telephone number (fax)
- Telephone number (modem)

Diagramming Entities

Later in this chapter you can learn how to use the E-R diagrams. For now, create a separate, rectangular box for each entity in the telephone directory example, as Figure 2-2 shows. “Diagramming Data Objects” on page 2-14 shows how to put the entities together with relationships.

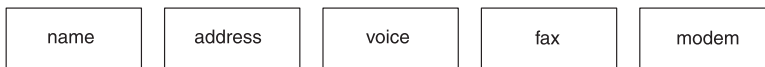


Figure 2-2. Entities in the Personal Telephone Directory Example

Defining the Relationships

After you choose your database entities, you need to consider the relationships between them. Relationships are not always obvious, but all the ones worth recording must be found. The only way to ensure that all the

relationships are found is to list all possible relationships exhaustively. Consider every pair of entities *A* and *B* and ask, "What is the relationship between an *A* and a *B*?"

A relationship is an association between two entities. Usually, a verb or preposition that connects two entities implies a relationship. A relationship between entities is described in terms of *connectivity*, *existence dependency*, and *cardinality*.

Connectivity

Connectivity refers to the number of entity instances. An entity instance is a particular occurrence of an entity. Figure 2-3 shows that the three types of connectivity are one-to-one (written 1:1), one-to-many (written 1:n), and many-to-many (written m:n).

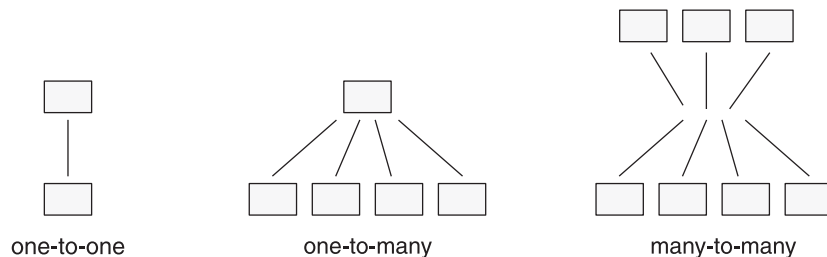


Figure 2-3. Connectivity in Relationships

For instance, in the telephone directory example, an address can be associated with more than one name. The connectivity for the relationship between the address and name entities is one-to-many (1:n).

Existence Dependency

Existence dependency describes whether an entity in a relationship is optional or mandatory. Analyze your business rules to identify whether an entity must exist in a relationship. For example, your business rules might dictate that an address must be associated with a name. Such an association indicates a mandatory existence dependency for the relationship between the name and address entities. An example of an optional existence dependency could be a business rule that says a person might or might not have children.

Cardinality

Cardinality places a constraint on the number of times an entity can appear in a relationship. The cardinality of a 1:1 relationship is always one. But the cardinality of a 1:n relationship is open; *n* could be any number. If you need to place an upper limit on *n*, you specify a cardinality for the relationship. For instance, in a store sale example, you could limit the number of sale items

that a customer can purchase at one time. You usually use your application program or stored procedure language (SPL) to place cardinality constraints.

Discovering the Relationships

A convenient way to discover the relationships is to prepare a matrix that names all the entities on the rows and again on the columns. The matrix in Figure 2-4 reflects the entities for the personal telephone directory.

| | name | address | number (voice) | number (fax) | number (modem) |
|----------------|------|---------|----------------|--------------|----------------|
| name | | | | | |
| address | | | | | |
| number (voice) | | | | | |
| number (fax) | | | | | |
| number (modem) | | | | | |

Figure 2-4. A Matrix That Reflects the Entities for a Personal Telephone Directory

You can ignore the shaded portion of the matrix. You must consider the diagonal cells; that is, you must ask the question, “What is the relationship between an *A* and another *A*?” In this model, the answer is always none. No relationship exists between a name and a name or an address and another address, at least none that you need to record in this model. When a relationship exists between an *A* and another *A*, you have found a *recursive* relationship. (See “Resolving Other Special Relationships” on page 2-23.)

For all cells for which the answer is clearly none, write none in the matrix. Figure 2-5 shows the current matrix.

| | name | address | number (voice) | number (fax) | number (modem) |
|----------------|------|---------|----------------|--------------|----------------|
| name | none | | | | |
| address | | none | | | |
| number (voice) | | | none | | |
| number (fax) | | | | none | |
| number (modem) | | | | | none |

Figure 2-5. A Matrix with Initial Relationships Included

Although no entities relate to themselves in this model, this situation is not always true in other models. A typical example is an employee who is the manager of another employee. Another example occurs in manufacturing, when a part entity is a component of another part.

In the remaining cells, write the connectivity relationship that exists between the entity on the row and the entity on the column. The following kinds of relationships are possible:

- *One-to-one* (1:1), in which not more than one entity *A* exists for one entity *B* and not more than one *B* for one *A*.
- *One-to-many* (1:n), in which more than one entity *A* never exists, but several entities *B* can be related to *A* (or vice versa).
- *Many-to-many* (m:n), in which several entities *A* can be related to one *B* and several entities *B* can be related to one *A*.

One-to-many relationships are the most common. The telephone directory model shows one-to-many and many-to-many relationships.

As Figure 2-5 on page 2-9 shows, the first unfilled cell represents the relationship between names and addresses. What connectivity lies between these entities? You might ask yourself, “How many names can be associated with an address?” You decide that a name can have *zero* or *one* address but no more than one. You write 0-1 opposite **name** and below **address**, as Figure 2-6 shows.

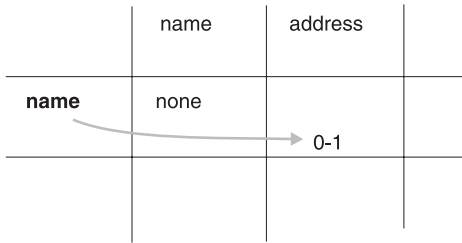


Figure 2-6. Relationship Between Name and Address

Ask yourself how many addresses can be associated with a name. You decide that an address can be associated with more than one name. For example, you can know several people at one company or more than two people who live at the same address.

Can an address be associated with *zero* names? That is, should it be possible for an address to exist when no names use it? You decide that yes, it can. Below **address** and opposite **name**, you write 0-n, as Figure 2-7 shows.

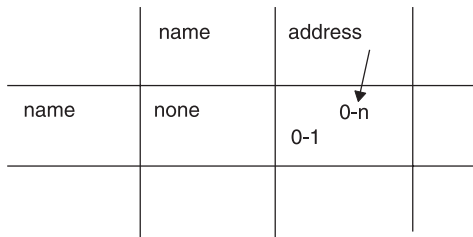


Figure 2-7. Relationship Between Address and Name

If you decide that an address cannot exist unless it is associated with at least one name, you write 1-n instead of 0-n.

When the cardinality of a relationship is limited on either side to 1, it is a 1:n relationship. In this case, the relationship between names and addresses is a 1:n relationship.

Now consider the next cell in Figure 2-5 on page 2-9: the relationship between a name and a voice number. How many voice numbers can a name be associated with, one or more than one? When you look at your telephone directory, you see that you have often noted more than one telephone number for a person. A busy salesperson might have a home number, an office number, a paging number, and a car phone number. But you might also have

names without associated numbers. You write 0-n opposite **name** and below **number (voice)**, as Figure 2-8 shows.

| | name | address | number (voice) |
|------|------|------------|-------------------|
| name | none | 0-n 0-1 | 0-n |
| | | | |

Figure 2-8. Relationship Between Name and Number

What is the other side of this relationship? How many names can be associated with a voice number? You decide that only one name can be associated with a voice number. Can a number be associated with zero names? You decide you do not need to record a number unless someone uses it. You write 1 under **number (voice)** and opposite **name**, as Figure 2-9 shows.

| | name | address | number (voice) |
|------|------|------------|-------------------|
| name | none | 0-n 0-1 | 1 0-n |
| | | | |

Figure 2-9. Relationship Between Number and Name

To fill out the rest of the matrix in the same fashion, take the following factors into account:

- A name can be associated with more than one fax number; for example, a company can have several fax machines. Conversely, a fax number can be associated with more than one name; for example, several people can use the same fax number.
- A modem number must be associated with exactly one name. (This is an arbitrary decree to complicate the example; accept it as a requirement of the design.) However, a name can have more than one associated modem number; for example, a company computer can have several dial-up lines.
- Although some relationship exists between a voice number and an address, a modem number and an address, and a fax number and an address in the real world, none needs to be recorded in this model. An indirect relationship already exists through *name*.

Figure 2-10 shows a completed matrix.

| | name | address | number (voice) | number (fax) | number (modem) |
|-------------------|------|------------|-------------------|-----------------|-------------------|
| name | none | 0-n 0-1 | 1 0-n | 1-n 0-n | 1 0-n |
| address | | none | none | none | none |
| number (voice) | | | none | none | none |
| number (fax) | | | | none | none |
| number (modem) | | | | | none |

Figure 2-10. A Completed Matrix for a Telephone Directory

Other decisions that the matrix reveals are that no relationships exist between a fax number and a modem number, between a voice number and a fax number, or between a voice number and a modem number.

You might disagree with some of these decisions (for example, that a relationship between voice numbers and modem numbers is not supported). For the sake of this example, these are our business rules.

Diagramming Relationships

For now, save the matrix that you created in this section. You will learn how to create an E-R diagram in “Diagramming Data Objects” on page 2-14.

Identifying Attributes

Entities contain *attributes*, which are characteristics or modifiers, qualities, amounts, or features. An attribute is a fact or nondecomposable piece of information about an entity. Later, when you represent an entity as a table, its attributes are added to the model as new columns.

You must identify the entities before you can identify the database attributes. After you determine the entities, ask yourself, “What characteristics do I need to know about each entity?” For example, in an *address* entity, you probably need information about *street*, *city*, and *zip code*. Each of these characteristics of the *address* entity becomes an attribute.

Selecting Attributes for Entities

To select attributes, choose ones that have the following qualities:

- They are significant.

Include only attributes that are useful to the database users.

- They are direct, not derived.

An attribute that can be derived from existing attributes (for instance, through an expression in a SELECT statement) should not be part of the model. Derived data complicates the maintenance of a database.

At a later stage of the design, you can consider adding derived attributes to improve performance, but at this stage exclude them. For information about how to improve the performance of your database server, see your *IBM Informix: Performance Guide*.

- They are nondecomposable.

An attribute can contain only single values, never lists or repeating groups. Composite values must be separated into individual attributes.

- They contain data of the same type.

For example, you would want to enter only date values in a birthday attribute, not names or telephone numbers.

The rules for how to define attributes are the same as those for how to define columns. For information about how to define columns, see “Placing Constraints on Columns” on page 2-18.

The following attributes are added to the telephone directory example to produce the diagram that Figure 2-15 on page 2-16 shows:

- Street, city, state, and zip code are added to the *address* entity.
- Birthdate, e-mail address, anniversary date, and children’s first names are added to the *name* entity.
- Type is added to the *voice* entity to distinguish car phones, home phones, and office phones. A voice number can be associated with only one voice type.
- The hours that a fax machine is attended are added to the *fax* entity.
- Whether a modem supports 9,600-, 14,400-, or 28,800-baud rates is added to the *modem* entity.

Listing Attributes

For now, list the attributes for the telephone directory example with the entities with which you think they belong. Your list should look like Figure 2-11.

| name | address | voice | fax | modem |
|---|------------------------------------|---------------------|-----------------------------------|-------------------------------|
| fname lname bdate anniv email child1 child2 child3 | street city state zipcode | vce_num vce_type | fax_num oper_from oper_till | mdm_num b128000 b256000 |

Figure 2-11. Attributes for the Telephone Directory Example

About Entity Occurrences

An additional data object is the entity occurrence. Each row in a table represents a specific, single occurrence of the entity. For example, if *customer* is an entity, a **customer** table represents the idea of customer; in it, each row represents one specific customer, such as Sue Smith. Keep in mind that entities become tables, attributes become columns, and entity occurrences become rows.

Diagramming Data Objects

Now you know and understand the entities and relationships in your database, which is the most important part of the relational-database design process. After you determine the entities and relationships, a method that displays your thought process during database design might be helpful.

Most data-modeling methods provide some way to graphically display the entities and relationships. IBM Informix documentation uses the E-R diagram approach that C. R. Bachman originally developed. E-R diagrams serve the following purposes. They:

- Model the informational needs of an organization
- Identify entities and their relationships
- Provide a starting point for data definition (data-flow diagrams)
- Provide an excellent source of documentation for application developers as well as database and system administrators
- Create a logical design of the database that can be translated into a physical schema

Several different styles of E-R diagrams exist. If you already have a style that you prefer, use it. Figure 2-12 shows a sample E-R diagram.

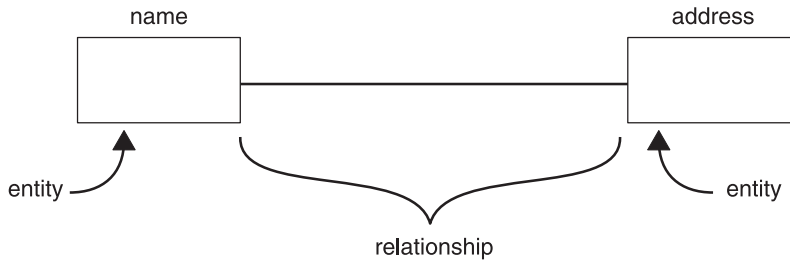


Figure 2-12. Symbols of an Entity-Relationship Diagram

In an E-R diagram, a box represents an entity. A line represents the relationships that connect the entities. In addition, Figure 2-13 shows how you use graphical items to display the following features of relationships:

- A circle across a relationship link indicates *optionality* in the relationship (zero instances can occur).
- A small bar across a relationship link indicates that *exactly one* instance of the entity is associated with another entity (consider the bar to be a 1).
- The crow's-feet represent *many* in the relationship.

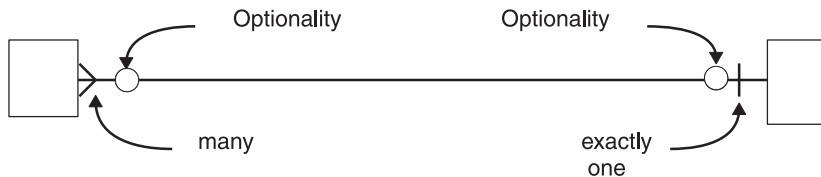


Figure 2-13. The Parts of a Relationship in an Entity-Relationship Diagram

Reading E-R Diagrams

You read the diagrams first from left to right and then from right to left. In the case of the *name-address* relationship in Figure 2-14, you read the relationships as follows: names can be associated with zero or exactly one address; addresses can be associated with zero, one, or many names.

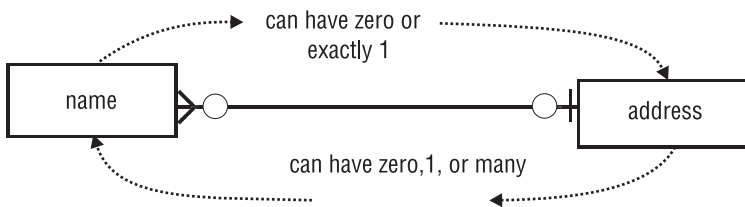


Figure 2-14. Reading an Entity-Relationship Diagram

Telephone Directory Example

Figure 2-15 shows the telephone directory example and includes the entities, relationships, and attributes. This diagram includes the relationships that you establish with the matrix. After you study the diagram symbols, compare the E-R diagram in Figure 2-15 with the matrix in Figure 2-10 on page 2-12. Verify for yourself that the relationships are the same in both figures.

A matrix such as Figure 2-10 on page 2-12 is a useful tool when you first design your model, because when you fill it out, you are forced to think of every possible relationship. However, the same relationships appear in a diagram such as Figure 2-15, and this type of diagram might be easier to read when you review an existing model.

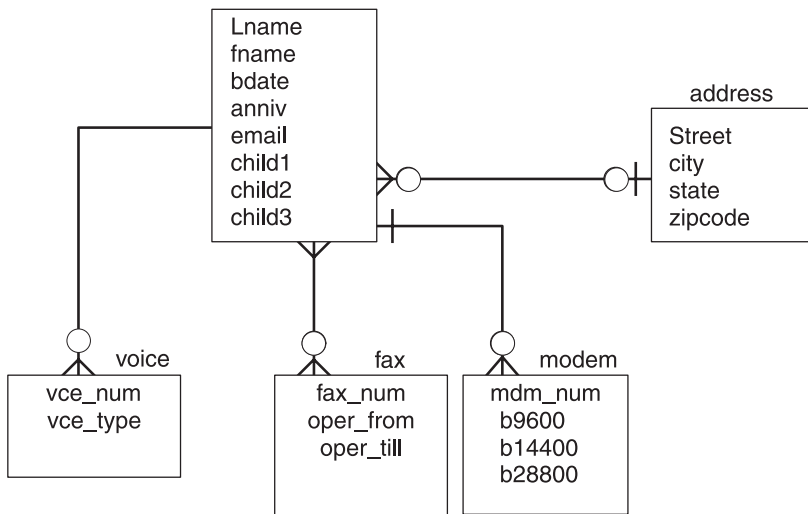


Figure 2-15. Preliminary Entity-Relationship Diagram of the Telephone Directory Example

After the Diagram Is Complete

The rest of this chapter describes how to perform the following tasks:

- Translate the entities, relationships, and attributes into relational constructs.
- Resolve the E-R data model.
- Normalize the E-R data model.

Chapter 4 shows you how to create a database from the E-R data model.

Translating E-R Data Objects into Relational Constructs

All the data objects you have learned about so far (entities, relationships, attributes, and entity occurrences) translate into SQL tables, joins between tables, columns, and rows. The tables, columns, and rows of your database must fit the rules found in “Defining Tables, Rows, and Columns” on page 2-17.

Before you normalize your data objects, check that they fit these rules. To normalize your data objects, analyze the dependencies between the entities, relationships, and attributes. Normalization is discussed in “Normalizing a Data Model” on page 2-24.

After you normalize the data model, you can use SQL statements to create a database that is based on your data model. Chapter 4 describes how to create a database and provides the database schema for the telephone directory example.

Each entity that you choose is represented as a table in the model. The table stands for the entity as an abstract concept, and each row represents a specific, individual *occurrence* of the entity. In addition, each attribute of an entity is represented by a column in the table.

The following ideas are fundamental to most relational data-model methods, including the E-R data model. Follow these rules while you design your data model to save time and effort when you normalize your model.

Defining Tables, Rows, and Columns

You are already familiar with the idea of a *table* that is composed of *rows* and *columns*. But you must respect the following rules when you define the tables of a formal data model:

- Rows must stand alone.

Each row of a table is independent and does not depend on any other row of the *same* table. As a consequence, the order of the rows in a table is not significant in the model. The model should still be correct even if all the rows of a table are shuffled into random order.

After the database is implemented, you can tell the database server to store rows in a certain order for the sake of efficiency, but that order does not affect the model.

- Rows must be unique.

In every row, some column must contain a unique value. If no single column has this property, the values of some group of columns taken as a whole must be different in every row.

- Columns must stand alone.

The order of columns within a table has no meaning in the model. The model should still be correct even if the columns are rearranged.

After the database is implemented, programs and stored queries that use an asterisk to mean *all columns* are dependent on the final order of columns, but that order does not affect the model.

- Column values must be unitary.

A column can contain only single values, never lists or repeating groups. Composite values must be separated into individual columns. For example, if you decide to treat a person's first and last names as separate values, as the examples in this chapter show, the names must be in separate columns, not in a single **name** column.

- Each column must have a unique name.

Two columns within the same table cannot share the same name. However, you can have columns that contain similar information. For example, the **name** table in the telephone directory example contains columns for children's names. You can name each column, *child1*, *child2*, and so on.

- Each column must contain data of a single type.

A column must contain information of the same data type. For example, a column that is identified as an integer must contain only numeric information, not characters from a name.

If your previous experience is only with data organized as arrays or sequential files, these rules might seem unnatural. However, relational database theory shows that you can represent all types of data with only tables, rows, and columns that follow these rules. With a little practice, these rules become automatic.

Placing Constraints on Columns

When you define your table and columns with the CREATE TABLE statement, you constrain each column. These constraints specify whether you want the column to contain characters or numbers, the form that you want dates to use, and other constraints. A *domain* describes the constraints when it identifies the set of valid values that attributes can assume.

Domain Characteristics

You define the domain characteristics of columns when you create a table. A column can contain the following domain characteristics:

- Data type (INTEGER, CHAR, DATE, and so on)
- Format (for example, yy/mm/dd)
- Range (for example, 1,000 to 5,400)
- Meaning (for example, serial number)
- Allowable values (for example, only grades S or U)
- Uniqueness

- Null support
- Default value
- Referential constraints

For information about how to define domains, see Chapter 3. For information about how to create your tables and database, see Chapter 4.

Determining Keys for Tables

The columns of a table are either *key* columns or *descriptor* columns. A key column is one that uniquely identifies a particular row in the table. For example, a social security number is unique for each employee. A descriptor column specifies the nonunique characteristics of a particular row in the table. For example, two employees can have the same first name, Sue. The first name Sue is a nonunique characteristic of an employee. The main types of keys in a table are primary keys and foreign keys.

You designate primary and foreign keys when you create your tables. Primary and foreign keys are used to relate tables physically. Your next task is to specify a primary key for each table. That is, you must identify some quantifiable characteristic of the table that distinguishes each row from every other row.

Primary Keys

The *primary key* of a table is the column whose values are different in every row. Because they are different, they make each row unique. If no one such column exists, the primary key is a *composite* of two or more columns whose values, taken together, are different in every row.

Every table in the model must have a primary key. This rule follows automatically from the rule that all rows must be unique. If necessary, the primary key is composed of all the columns taken together.

For efficiency, the primary key should be a numeric data type (INT or SMALLINT), SERIAL or SERIAL8 data type, or a short character string (as used for codes). It is recommended that you do not use long character strings as primary keys.

Null values are never allowed in a primary-key column. Null values are not comparable; that is, they cannot be said to be alike or different. Hence, they cannot make a row unique from other rows. If a column permits null values, it cannot be part of a primary key.

Some entities have ready-made primary keys such as catalog codes or identity numbers, which are defined outside the model. Sometimes more than one column or group of columns can be used as the primary key. All columns or

groups that qualify to be primary keys are called *candidate keys*. All candidate keys are worth noting because their property of uniqueness makes them predictable in a SELECT operation.

Composite Keys: Some entities lack features that are reliably unique. Different people can have identical names; different books can have identical titles. You can usually find a composite of attributes that work as a primary key. For example, people rarely have identical names and identical addresses, and different books rarely have identical titles, authors, and publication dates.

System-Assigned Keys: A system-assigned primary key is usually preferable to a composite key. A system-assigned key is a number or code that is attached to each instance of an entity when the entity is first entered into the database. The easiest system-assigned keys to implement are serial numbers because the database server can generate them automatically. Informix database servers offer the SERIAL and SERIAL8 data types for serial numbers. However, the people who use the database might not like a plain numeric code. Other codes can be based on actual data; for example, an employee identification code could be based on a person's initials combined with the digits of the date that they were hired. In the telephone directory example, a system-assigned primary key is used for the **name** table.

Foreign Keys (Join Columns)

A *foreign key* is a column or group of columns in one table that contains values that match the *primary key* in another table. Foreign keys are used to join tables. Figure 2-16 shows the primary and foreign keys of the **customer** and **order** tables from the demonstration database.

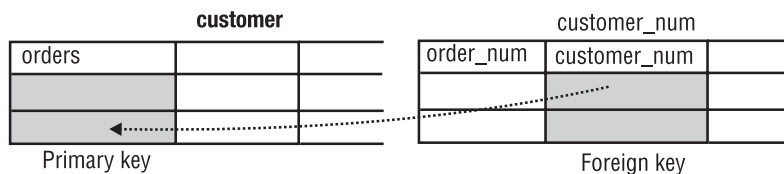


Figure 2-16. Primary and Foreign Keys in the Customer-Order Relationships

Tip: For ease in maintaining and using your tables, it is important to choose names for the primary and foreign keys so that the relationship is readily apparent. In Figure 2-16, both the primary and foreign key columns have the same name, **customer_num**. Alternatively, you might name the columns in Figure 2-16 **customer_custnum** and **orders_custnum**, so that each column has a distinct name.

Foreign keys are noted wherever they appear in the model because their presence can restrict your ability to delete rows from tables. Before you can delete a row safely, either you must delete all rows that refer to it through

foreign keys, or you must define the relationship with special syntax that allows you to delete rows from primary-key and foreign-key columns with a single delete command. The database server disallows deletes that violate referential integrity.

To preserve referential integrity, delete all foreign-key rows before you delete the primary key to which they refer. If you impose referential constraints on your database, the database server does not permit you to delete primary keys with matching foreign keys. It also does not permit you to add a foreign-key value that does not reference an existing primary-key value. For more information about referential integrity, see the *IBM Informix: Guide to SQL Tutorial*.

Adding Keys to the Telephone Directory Diagram

Figure 2-17 shows the initial choices of primary and foreign keys. This diagram reflects some important decisions.

For the **name** table, the primary key **rec_num** is chosen. The data type for **rec_num** is SERIAL. The values for **rec_num** are system generated. If you look at the other columns (or attributes) in the **name** table, you see that the data types that are associated with the columns are mostly character-based. None of these columns alone is a good candidate for a primary key. If you combine elements of the table into a composite key, you create a cumbersome key. The SERIAL data type gives you a key that you can also use to join other tables to the **name** table.

The **voice**, **fax**, **modem**, and **address** tables are each joined to **name** through the **rec_num** key.

For the **voice**, **fax**, and **modem** tables the telephone numbers are used as primary keys. The **address** table contains a special column (**id_num**) that serves no other purpose than to act as a primary key. This is done because if **id_num** did not exist then all of the other columns would have to be used together as a composite key in order to guarantee that no duplicate primary keys existed. Using all of the columns as a primary key would be very inefficient and confusing.

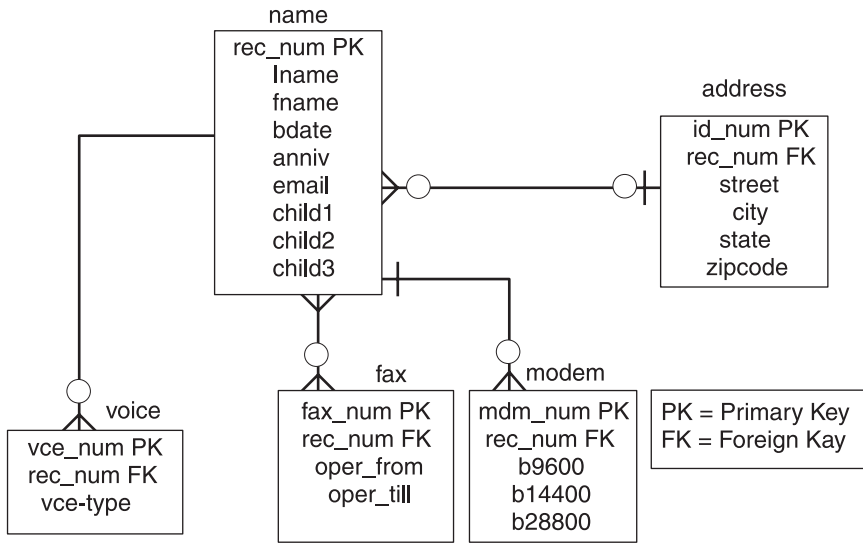


Figure 2-17. Telephone Directory Diagram with Primary and Foreign Keys Added

Resolving Relationships

The aim of a good data model is to create a structure that provides the database server with quick access. To further refine the telephone directory data model, you can resolve the relationships and normalize the data model. This section addresses how and why to resolve your database relationships. Normalizing your data model is discussed in “Normalizing a Data Model” on page 2-24.

Resolving m:n Relationships

Many-to-many (m:n) relationships add complexity and confusion to your model and to the application development process. The key to resolve m:n relationships is to separate the two entities and create two one-to-many (1:n) relationships between them with a third *intersect* entity. The intersect entity usually contains attributes from both connecting entities.

To resolve a m:n relationship, analyze your business rules again. Have you accurately diagrammed the relationship? The telephone directory example has a m:n relationship between the *name* and *fax* entities, as Figure 2-17 on page 2-22 shows. The business rules say, “One person can have zero, one, or many fax numbers; a fax number can be for several people.” Based on what we selected earlier as our primary key for the *voice* entity, an m:n relationship exists.

A problem exists in the *fax* entity because the telephone number, which is designated as the primary key, can appear more than one time in the *fax* entity; this violates the qualification of a primary key. Remember, the primary key must be unique.

To resolve this m:n relationship, you can add an intersect entity between the *name* and *fax* entities, as Figure 2-18 shows. The new intersect entity, *faxname*, contains two attributes, **fax_num** and **rec_num**. The primary key for the entity is a composite of both attributes. Individually, each attribute is a foreign key that references the table from which it came. The relationship between the **name** and **faxname** tables is 1:n because one name can be associated with many fax numbers; in the other direction, each **faxname** combination can be associated with one **rec_num**. The relationship between the **fax** and **faxname** tables is 1:n because each number can be associated with many **faxname** combinations.

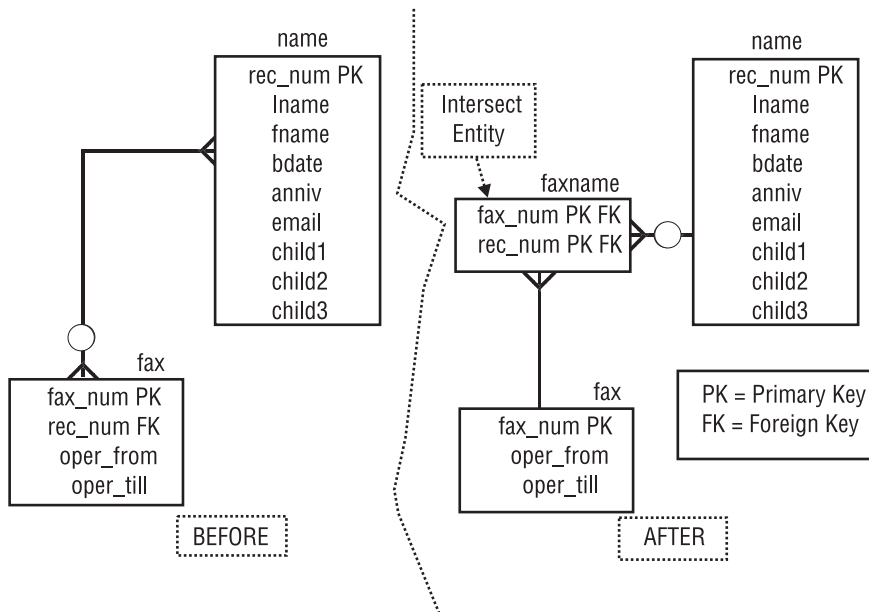


Figure 2-18. Resolving a Many-to-Many (m:n) Relationship

Resolving Other Special Relationships

You might encounter other special relationships that can hamper a smooth-running database. The following list shows these relationships:

- Complex relationships
- Recursive relationships
- Redundant relationships

A *complex* relationship is an association among three or more entities. All the entities must be present for the relationship to exist. To reduce this complexity, reclassify all complex relationships as an entity, related through binary relationships to each of the original entities.

A *recursive* relationship is an association between occurrences of the same entity type. These types of relationships do not occur often. Examples of recursive relationships are bills-of-materials (parts are composed of subparts) and organizational structures (employee manages other employees). You might choose not to resolve recursive relationships. For an extended example of a recursive relationship, see the *IBM Informix: Guide to SQL Tutorial*.

A *redundant* relationship exists when two or more relationships represent the same concept. Redundant relationships add complexity to the data model and lead a developer to place attributes in the model incorrectly. Redundant relationships might appear as duplicated entries in your E-R diagram. For example, you might have two entities that contain the same attributes. To resolve a redundant relationship, review your data model. Do you have more than one entity that contains the same attributes? You might need to add an entity to the model to resolve the redundancy. Your *IBM Informix: Performance Guide* discusses additional topics that are related to redundancy in a data model.

Normalizing a Data Model

The telephone directory example in this chapter appears to be a good model. You could implement it at this point into a database, but this example might present problems later with application development and data-manipulation operations. *Normalization* is a formal approach that applies a set of rules to associate attributes with entities.

When you normalize your data model, you can achieve the following goals. You can:

- Produce greater flexibility in your design.
- Ensure that attributes are placed in the proper tables.
- Reduce data redundancy.
- Increase programmer effectiveness.
- Lower application maintenance costs.
- Maximize stability of the data structure.

Normalization consists of several steps to reduce the entities to more desirable physical properties. These steps are called normalization rules, also referred to as *normal forms*. Several normal forms exist; this chapter discusses the first three normal forms. Each normal form constrains the data more than the last

form. Because of this, you must achieve first normal form before you can achieve second normal form, and you must achieve second normal form before you can achieve third normal form.

First Normal Form

An entity is in the first normal form if it contains no repeating groups. In relational terms, a table is in the first normal form if it contains no repeating columns. Repeating columns make your data less flexible, waste disk space, and make it more difficult to search for data. In the telephone directory example in Figure 2-19, it appears that the **name** table contains repeating columns, *child1*, *child2*, and *child3*.

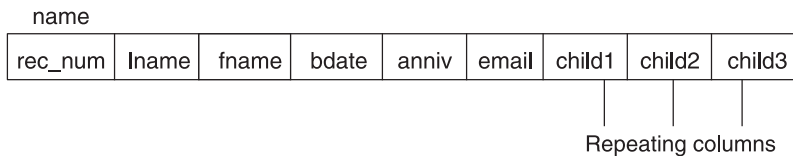


Figure 2-19. Name Entity Before Normalization

You can see some problems in the current table. The table always reserves space on the disk for three child records, whether the person has children or not. The maximum number of children that you can record is three, but some of your acquaintances might have four or more children. To look for a particular child, you have to search all three columns in every row.

To eliminate the repeating columns and bring the table to the first normal form, separate the table into two tables as Figure 2-20 shows. Put the repeating columns into one of the tables. The association between the two tables is established with a primary-key and foreign-key combination. Because a child cannot exist without an association in the **name** table, you can reference the **name** table with a foreign key, **rec_num**.

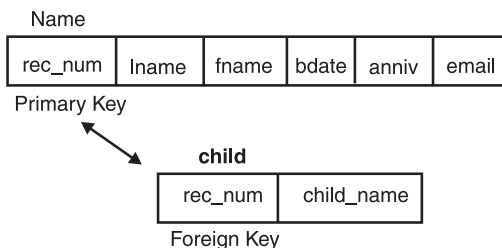


Figure 2-20. First Normal Form Reached for Name Entity

Now check Figure 2-17 on page 2-22 for groups that are not in the first normal form. The *name-modem* relationship is not in the first normal form because the columns **b9600**, **b14400**, and **b28800** are considered repeating columns. Add a

new attribute called **b_type** to the **modem** table to contain occurrences of **b9600**, **b14400**, and **b28800**. Figure 2-21 shows the data model normalized through the first normal form.

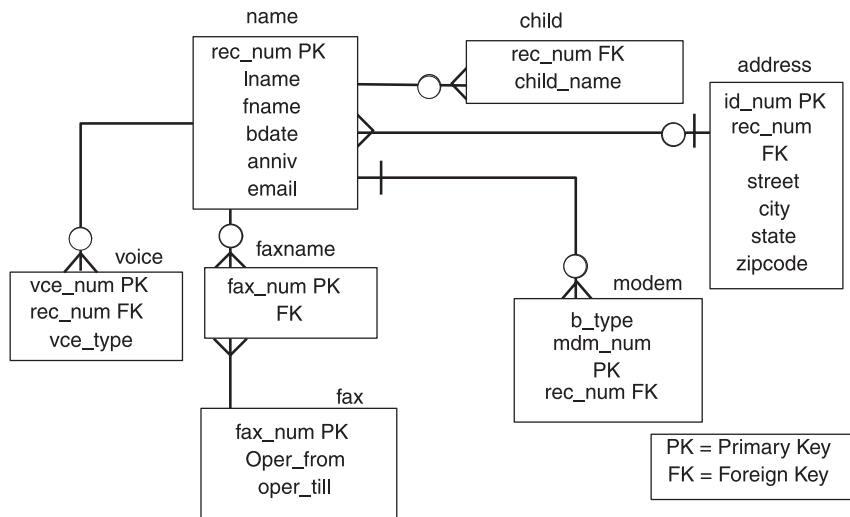


Figure 2-21. The Data Model of a Personal Telephone Directory

Second Normal Form

An entity is in the second normal form if all of its attributes depend on the whole (primary) key. In relational terms, every column in a table must be *functionally dependent* on the whole primary key of that table. Functional dependency indicates that a link exists between the values in two different columns.

If the value of an attribute *depends on* a column, the value of the attribute must change if the value in the column changes. The attribute is a function of the column. The following explanations make this more specific:

- If the table has a one-column primary key, the attribute must depend on that key.
- If the table has a composite primary key, the attribute must depend on the values in all its columns taken as a whole, not on one or some of them.
- If the attribute also depends on other columns, they must be columns of a candidate key; that is, columns that are unique in every row.

If you do not convert your model to the second normal form, you risk data redundancy and difficulty in changing data. To convert first-normal-form tables to second-normal-form tables, remove columns that are not dependent on the primary key.

Third Normal Form

An entity is in the third normal form if it is in the second normal form and all of its attributes are not transitively dependent on the primary key. *Transitive dependence* means that descriptor key attributes depend not only on the whole primary key, but also on other descriptor key attributes that, in turn, depend on the primary key. In SQL terms, the third normal form means that no column within a table is dependent on a descriptor column that, in turn, depends on the primary key.

To convert to third normal form, remove attributes that depend on other descriptor key attributes.

Summary of Normalization Rules

The following normal forms are discussed in this section:

- First normal form: A table is in the first normal form if it contains no repeating columns.
- Second normal form: A table is in the second normal form if it is in the first normal form and contains only columns that are dependent on the whole (primary) key.
- Third normal form: A table is in the third normal form if it is in the second normal form and contains only columns that are nontransitively dependent on the primary key.

When you follow these rules, the tables of the model are in the third normal form, according to E. F. Codd, the inventor of relational databases. When tables are not in the third normal form, either redundant data exists in the model, or problems exist when you attempt to update the tables.

If you cannot find a place for an attribute that observes these rules, you have probably made one of the following errors:

- The attribute is not well defined.
- The attribute is derived, not direct.
- The attribute is really an entity or a relationship.
- Some entity or relationship is missing from the model.

Chapter 3. Choosing Data Types

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In This Chapter

After you prepare your data model, you must implement it as a database and tables. To implement your data model, you first define a domain, or set of data values, for every column. This chapter discusses the decisions that you must make to define the column data types and constraints.

The second step uses the CREATE DATABASE and CREATE TABLE statements to implement the model and populate the tables with data, as Chapter 4 discusses.

Defining the Domains

To complete the data model that Chapter 2 describes, you must define a domain for each column. The *domain* of a column describes the constraints and identifies the set of valid values that attributes (columns) can assume.

The purpose of a domain is to guard the *semantic integrity* of the data in the model; that is, to ensure that it reflects reality in a sensible way. The integrity of the data model is at risk if you can substitute a name for a telephone number or if you can enter a fraction where only integers are valid values.

To define a domain, specify the *constraints* that a data value must satisfy before it can be part of the domain. To specify a column domain, use the following constraints:

- Data types
- Default values
- Check constraints
- Referential constraints

Data Types

The first constraint on any column is the one that is implicit in the data type for the column. When you choose a data type, you constrain the column so that it contains only values that can be represented by that data type.

Each data type represents certain kinds of information and not others. The correct data type for a column is the one that represents all the data values that are proper for that column but as few as possible of the values that are not proper for it.

This chapter describes built-in data types.

Dynamic Server

For information about the extended data types that Dynamic Server supports, see Chapter 8, “Creating and Using Extended Data Types in Dynamic Server,” on page 8-1.

End of Dynamic Server

Choosing a Data Type

Every column in a table must have a data type. The choice of data type is important for the following reasons:

- It establishes the set of valid data items that the column can store.
- It determines the kinds of operations that you can perform on the data.

For example, you cannot apply aggregate functions, such as SUM, to columns that are defined on a character data type.

- It determines how much space each data item occupies on disk.

The space required to accommodate data items is not as important for small tables as it is for tables with hundreds of thousands of rows. When a table reaches that many rows, the difference between a 4-byte and an 8-byte data type can be crucial.

Figure 3-1 on page 3-4 shows a decision tree that summarizes the choices among built-in data types. The choices are explained in the following sections.

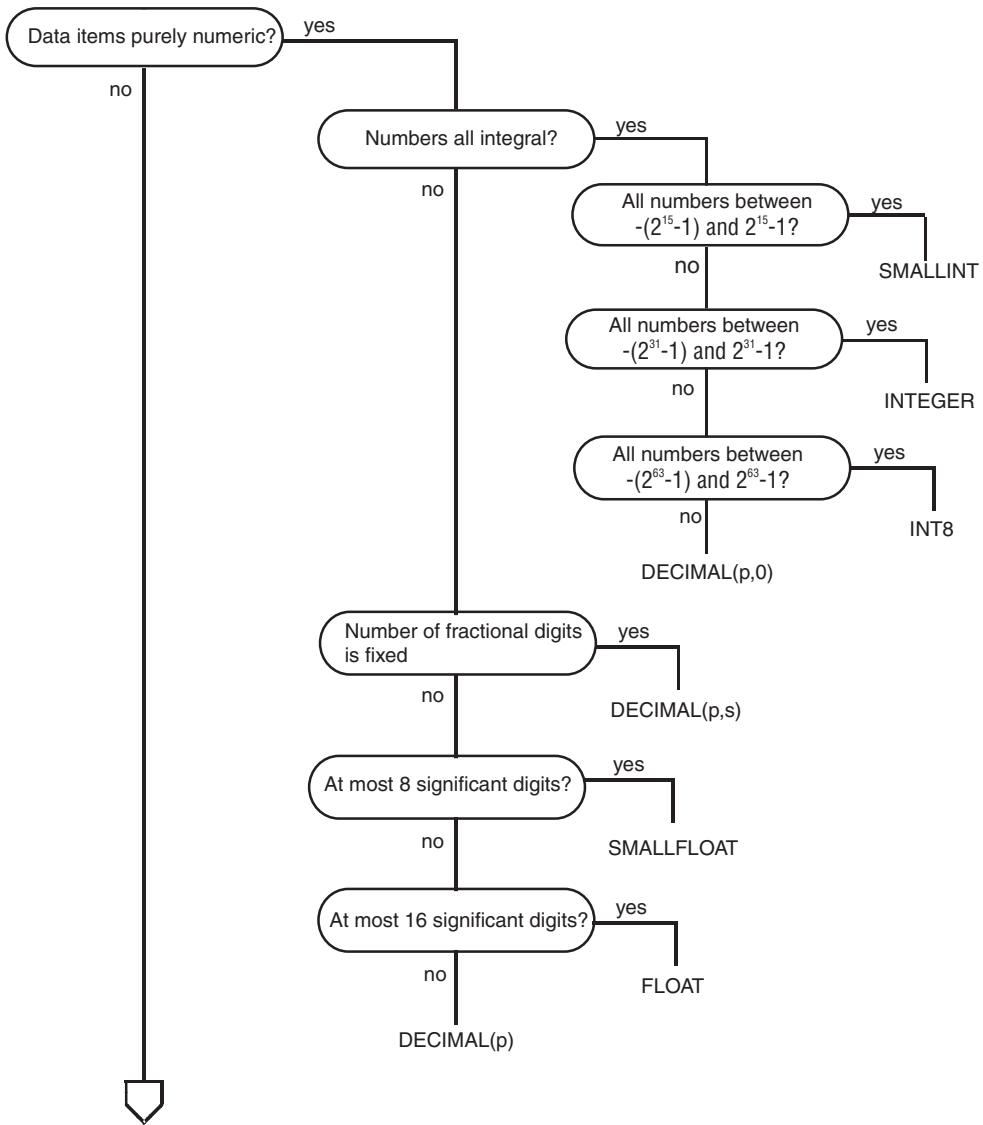


Figure 3-1. Choosing a Data Type (Part 1 of 2)

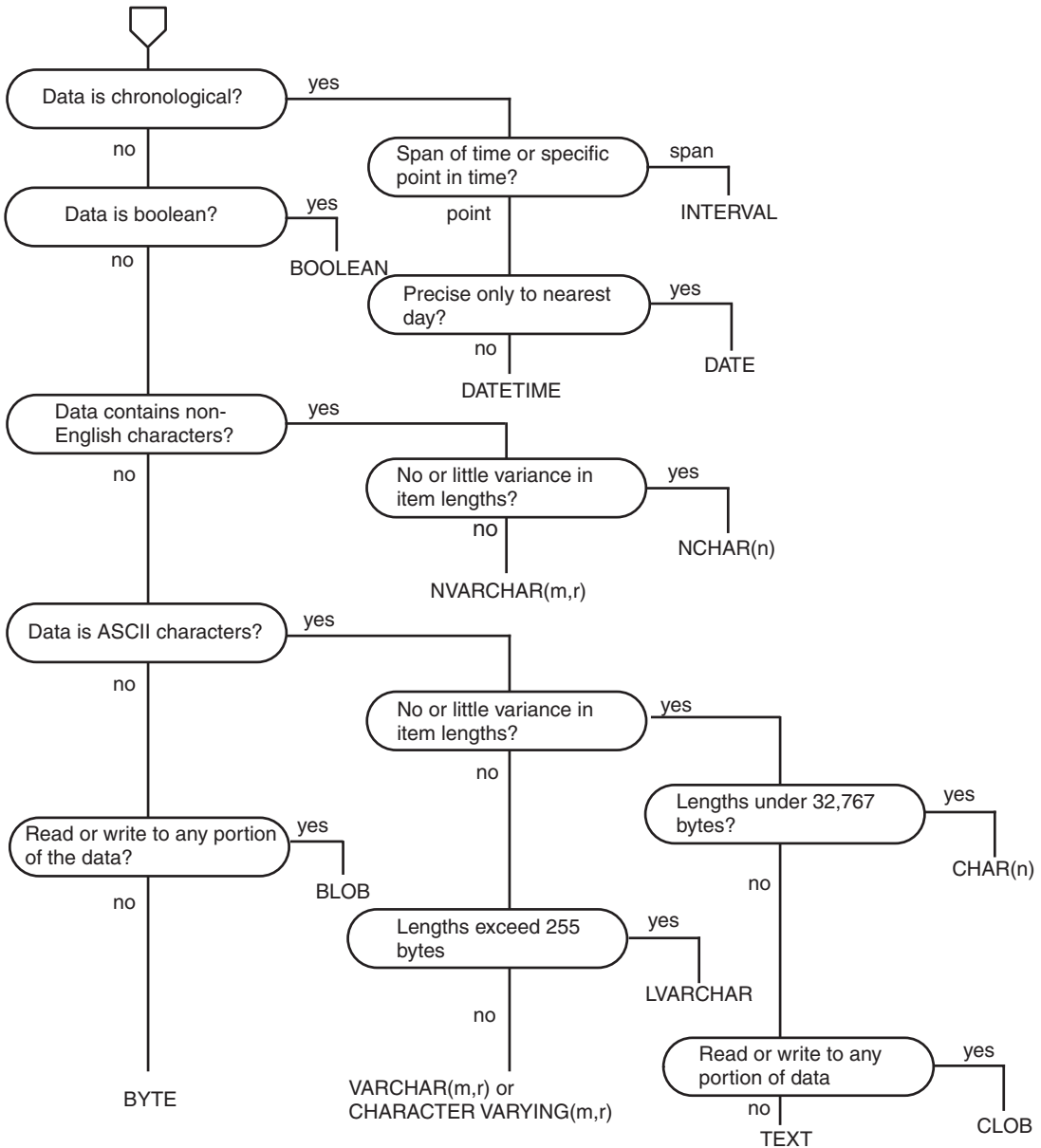


Figure 3-1. Choosing a Data Type (Part 2 of 2)

Numeric Types

Some numeric data types are best suited for counters and codes, some for engineering quantities, and some for money.

Counters and Codes: INTEGER, SMALLINT, and INT8

The INTEGER and SMALLINT data types hold small whole numbers. They are suited for columns that contain counts, sequence numbers, numeric identity codes, or any range of whole numbers when you know in advance the maximum and minimum values to be stored.

Both data types are stored as signed binary integers. INTEGER values have 32 bits and can represent whole numbers from $-2^{31}-1$ through $2^{31}-1$.

SMALLINT values have only 16 bits. They can represent whole numbers from $-32,767$ through $32,767$.

The INT and SMALLINT data types have the following advantages:

- They take up little space (2 bytes per value for SMALLINT and 4 bytes per value for INTEGER).
- You can perform arithmetic expressions such as SUM and MAX and sort comparisons on them.

The disadvantage to using INTEGER and SMALLINT is the limited range of values that they can store. The database server does not store a value that exceeds the capacity of an integer. Of course, such excess is not a problem when you know the maximum and minimum values to be stored.

If you need to store a broader range of values that will fill up an INTEGER, you can use an INT8.

The INT8 data type has the following advantages:

- It can hold a broad range of values. (Integers ranging from $-(2^{63}-1)$ through $2^{63}-1$.)
- You can perform arithmetic expressions such as SUM and MAX and sort comparisons on them.

The disadvantage of using an INT8 data type is that it uses more disk space than an INTEGER. IBM Informix Extended Parallel Server (XPS) uses 8 bytes of disk space to store an INT8, IBM Informix Dynamic Server (IDS) uses 10 bytes.

Automatic Sequences: SERIAL and SERIAL8

The SERIAL data type has the positive non-zero range of an INTEGER with a special feature. Similarly, the SERIAL8 data type has the positive non-zero range of an INT8 with a special feature. Whenever a new row is inserted into a table, the database server automatically generates a new value for a SERIAL or SERIAL8 column.

A table cannot have more than one SERIAL and one SERIAL8 column. Because the database server generates the values, the serial values in new rows are always different, even when multiple users are adding rows at the same time. This service is useful because it is quite difficult for an ordinary program to coin unique numeric codes under those conditions. (Dynamic Server, however, also supports sequence objects, which can also support this functionality through the CURRVAL and NEXTVAL operators. For more information about sequence objects, see the description of CREATE SEQUENCE in *IBM Informix: Guide to SQL Syntax*.)

The SERIAL data type can yield up to $2^{31}-1$ positive integers. Consequently, the database server uses all the positive serial numbers by the time it inserts $2^{31}-1$ rows in a table. For most users the exhaustion of the positive serial numbers is not a concern, however, because a single application would need to insert a row every second for 68 years, or 68 applications would need to insert a row every second for a year, to use all the positive serial numbers. However, if all the positive serial numbers were used, the database server would wrap around and start to generate integer values that begin with a 1.

The SERIAL8 data type can yield up to $2^{63}-1$ positive integers. With a reasonable starting value, it is virtually impossible to cause a SERIAL8 value to wrap around during insertions.

For SERIAL and SERIAL8 data types, the sequence of generated numbers always increases. When rows are deleted from the table, their serial numbers are not reused. Rows that are sorted on a SERIAL or SERIAL8 column are returned in the order in which they were created.

You can specify the initial value in a SERIAL or SERIAL8 column in the CREATE TABLE statement. This makes it possible to generate different subsequences of system-assigned keys in different tables. The **stores_demo** database uses this technique. In **stores_demo**, the customer numbers begin at 101, and the order numbers start at 1001. As long as this small business does not register more than 899 customers, all customer numbers have three digits and order numbers have four.

A SERIAL or SERIAL8 column is not automatically a unique column. If you want to be perfectly sure that no duplicate serial numbers occur, you must apply a unique constraint (see “Using CREATE TABLE” on page 4-4). If you define the table using the interactive schema editor in DB-Access, it automatically applies a unique constraint to any SERIAL or SERIAL8 column.

The SERIAL and SERIAL8 data types have the following advantages:

- They provide a convenient way to generate system-assigned keys.

- They store very large and very small numbers, including fractional ones.
- They represent numbers compactly in 4 or 8 bytes.
- Arithmetic functions such as AVG, MIN, and sort comparisons are efficient on these data types.

The main disadvantage of floating-point numbers is that digits outside their range of precision are treated as zeros.

Adjustable-Precision Floating Point: DECIMAL(*p*)

In a database that is not ANSI-compliant, the DECIMAL(*p*) data type is a floating-point data type similar to FLOAT and SMALLFLOAT. The important difference is that you specify how many significant digits it retains. The precision you write as *p* can range from 1 to 32, from fewer than SMALLFLOAT up to twice the precision of FLOAT. The magnitude of a DECIMAL(*p*) number can range from 10^{-130} to 10^{124} . The storage space that DECIMAL(*p*) numbers use depends on their precision; they occupy $1 + p/2$ bytes (rounded up to a whole number, if necessary).

In an ANSI-compliant database, however, DECIMAL(*p*) is a fixed-point data type with a scale of zero, so DECIMAL(*p*) always stores integer values of precision *p*, if the data value has *p* or more significant digits. Any fractional part is truncated.

Do not confuse the DECIMAL(*p*) data type with the DECIMAL(*p,s*) data type, which is discussed in the next section. The DECIMAL(*p*) data type has only the precision specified.

The DECIMAL(*p*) data type has the following advantages over FLOAT:

- Precision can be set to suit the application, from approximate to precise.
- Numbers with as many as 32 digits can be represented exactly.
- Storage is used in proportion to the precision of the number.
- Every Informix database server supports the same precision and range of magnitudes, regardless of the host operating system.

The DECIMAL(*p*) data type has the following disadvantages:

- Performance of arithmetic operations and sorts on DECIMAL(*p*) values is somewhat slower than on FLOAT values.
- Many programming languages do not support the DECIMAL(*p*) data format in the same way that they support FLOAT and INTEGER. When a program extracts a DECIMAL(*p*) value from the database, it might have to convert the value to another format for processing.
- The format and value of a DECIMAL(*p*) data type depends on whether the database is ANSI-compliant.

Fixed-Precision Numbers: DECIMAL and MONEY

Most commercial applications need to store numbers that have fixed numbers of digits on the right and left of the decimal point. For example, amounts in U.S. currencies are written with two digits to the right of the decimal point. Normally, you also know the number of digits needed on the left, depending on the kinds of transactions that are recorded: perhaps 5 digits for a personal budget, 7 digits for a small business, and 12 or 13 digits for a national budget.

These numbers are *fixed-point* numbers because the decimal point is fixed at a specific place, regardless of the value of the number. The DECIMAL(p,s) data type is designed to hold decimal numbers. When you specify a column of this type, you write its *precision* (p) as the total number of digits that it can store, from 1 to 32. You write its *scale* (s) as the number of those digits that fall to the right of the decimal point. (Figure 3-2 shows the relation between precision and scale.) Scale can be zero, meaning it stores only whole numbers. When only whole numbers are stored, DECIMAL(p,s) provides a way of storing integers of up to 32 digits.

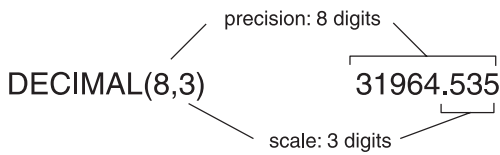


Figure 3-2. The Relation Between Precision and Scale in a Fixed-Point Number

Like the DECIMAL(p) data type, DECIMAL(p,s) takes up space in proportion to its precision. One value occupies $(p + 3)/2$ bytes (if scale is even) or $(p + 4)/2$ bytes (if scale is odd), rounded up to a whole number of bytes.

The MONEY type is identical to DECIMAL(p,s) but with one extra feature. Whenever the database server converts a MONEY value to characters for display, it automatically includes a currency symbol.

The advantages of DECIMAL(p,s) over INTEGER and FLOAT are that much greater precision is available (up to 32 digits as compared to 10 digits for INTEGER and 16 digits for FLOAT), and both the precision and the amount of storage required can be adjusted to suit the application.

The disadvantages of DECIMAL(p,s) are that arithmetic operations are less efficient and that many programming languages do not support numbers in this form. Therefore, when a program extracts a number, it usually must convert the number to another numeric form for processing.

Choosing a Currency Format:

Global Language Support

Each nation has its own way to display money values. When an Informix database server displays a MONEY value, it refers to a currency format that the user specifies. The default locale specifies a U.S. English currency format of the following form:

\$7,822.45

For non-English locales, you can use the MONETARY category of the locale file to change the current format. For more information on how to use locales, see the *IBM Informix: GLS User's Guide*.

End of Global Language Support

To customize this currency format, choose your locale appropriately or set the **DBMONEY** environment variable. For more information, see the *IBM Informix: Guide to SQL Reference*.

Chronological Data Types

The chronological data types record time. The DATE data type stores a calendar date. DATETIME records a point in time to any degree of precision from a year to a fraction of a second. The INTERVAL data type stores a span of time, that is, a duration.

Calendar Dates: DATE

The DATE data type stores a calendar date. A DATE value is actually a signed integer whose contents are interpreted as a count of full days since midnight on December 31, 1899.

The DATE format has ample precision to carry dates into the far future (58,000 centuries). Negative DATE values are interpreted as counts of days prior to the epoch date; that is, a DATE value of -1 represents December 30, 1899.

Because DATE values are integers, the values can be used in arithmetic expressions. For example, you can take the average of a DATE column, or you can add 7 or 365 to a DATE column. In addition, a rich set of functions exists specifically for manipulating DATE values. For more information, see the *IBM Informix: Guide to SQL Syntax*.

The DATE data type is compact, at 4 bytes per item. Arithmetic functions and comparisons execute quickly on a DATE column.

Choosing a Date Format (GLS): You can punctuate and order the components of a date in many ways. When an application displays a DATE value, it refers to a date format that the user specifies. The default locale specifies a U.S. English date format of the form:

10/25/2001

To customize this date format, choose your locale appropriately or set the **DBDATE** environment variable. For more information, see the *IBM Informix: Guide to SQL Reference*.

For non-default locales, you can use the **GL_DATE** environment variable to specify the date format. For more information on how to use locales, refer to the *IBM Informix: GLS User's Guide*.

Exact Points in Time: DATETIME

The DATETIME data type stores any moment in time in the era that began 1 A.D. In fact, DATETIME is really a family of 28 data types, each with a different precision. When you define a DATETIME column, you specify its precision. The column can contain any sequence from the list: *year, month, day, hour, minute, second, and fraction*. Thus, you can define a DATETIME column that stores only a year, only a month and day, or a date and time that is exact to the hour or even to the millisecond. Table 3-1 on page 3-12 shows that the size of a DATETIME value ranges from 2 to 11 bytes depending on its precision.

The advantage of DATETIME is that it can store specific date and time values. A DATETIME column typically requires more storage space than a DATE column, depending on the DATETIME qualifiers. Datetime also has an inflexible display format. For information about how to circumvent the display format, see “Forcing the Format of a DATETIME or INTERVAL Value” on page 3-14.

Table 3-1. Precisions for the DATETIME Data Type

| Precision | Size* | Precision | Size* |
|-------------------------------|-----------|------------------------------|-----------|
| year to year | 3 | day to hour | 3 |
| year to month | 4 | day to minute | 4 |
| year to day | 5 | day to second | 5 |
| year to hour | 6 | day to fraction(<i>f</i>) | $5 + f/2$ |
| year to minute | 7 | hour to hour | 2 |
| year to second | 8 | hour to minute | 3 |
| year to fraction (<i>f</i>) | $8 + f/2$ | hour to second | 4 |
| month to month | 2 | hour to fraction(<i>f</i>) | $4 + f/2$ |

Table 3-1. Precisions for the DATETIME Data Type (continued)

| Precision | Size* | Precision | Size* |
|-------------------------------|-----------|----------------------------------|-----------|
| month to day | 3 | minute to minute | 2 |
| month to hour | 4 | minute to second | 3 |
| month to minute | 5 | minute to fraction(<i>f</i>) | $3 + f/2$ |
| month to second | 6 | second to second | 2 |
| month to fraction(<i>f</i>) | $6 + f/2$ | second to fraction(<i>f</i>) | $2 + f/2$ |
| day to day | 2 | fraction to fraction(<i>f</i>) | $1 + f/2$ |

* When *f* is odd, round the size to the next full byte

Durations using INTERVAL: The INTERVAL data type stores a duration, that is, a length of time. The difference between two DATETIME values is an INTERVAL, which represents the span of time that separates them. The following examples might help to clarify the differences:

- An employee began working on January 21, 1997 (either a DATE or a DATETIME).
- She has worked for 254 days (an INTERVAL value, the difference between the TODAY function and the starting DATE or DATETIME value).
- She begins work each day at 0900 hours (a DATETIME value).
- She works 8 hours (an INTERVAL value) with 45 minutes for lunch (another INTERVAL value).
- Her quitting time is 1745 hours (the sum of the DATETIME when she begins work and the two INTERVALs).

Like DATETIME, INTERVAL is a family of data types with different precisions. An INTERVAL value can represent a count of years and months, or it can represent a count of days, hours, minutes, seconds, or fractions of seconds; 18 precisions are possible. The size of an INTERVAL value ranges from 2 to 12 bytes, depending on the formulas that Table 3-2 shows.

Table 3-2. Precisions for the INTERVAL Data Type

| Precision | Size* | Precision | Size* |
|----------------------------|-----------|--|-----------------|
| year(<i>p</i>) to year | $1 + p/2$ | hour(<i>p</i>) to minute | $2 + p/2$ |
| year(<i>p</i>) to month | $2 + p/2$ | hour(<i>p</i>) to second | $3 + p/2$ |
| month(<i>p</i>) to month | $1 + p/2$ | hour(<i>p</i>) to fraction(<i>f</i>) | $4 + (p + f)/2$ |
| day(<i>p</i>) to day | $1 + p/2$ | minute(<i>p</i>) to minute | $1 + p/2$ |
| day(<i>p</i>) to hour | $2 + p/2$ | minute(<i>p</i>) to second | $2 + p/2$ |
| day(<i>p</i>) to minute | $3 + p/2$ | minute(<i>p</i>) to fraction(<i>f</i>) | $3 + (p + f)/2$ |
| day(<i>p</i>) to second | $4 + p/2$ | second(<i>p</i>) to second | $1 + p/2$ |

Table 3-2. Precisions for the INTERVAL Data Type (continued)

| Precision | Size* | Precision | Size* |
|--|-----------------|--|-----------------|
| day(<i>p</i>) to fraction(<i>f</i>) | $5 + (p + f)/2$ | second(<i>p</i>) to fraction(<i>f</i>) | $2 + (p + f)/2$ |
| hour(<i>p</i>) to hour | $1 + p/2$ | fraction to fraction(<i>f</i>) | $1 + f/2$ |
| * Round a fractional size to the next full byte. | | | |

INTERVAL values can be negative as well as positive. You can add or subtract them, and you can scale them by multiplying or dividing by a number. This is not true of either DATE or DATETIME. You can reasonably ask, “What is one-half the number of days until April 23?” but not, “What is one-half of April 23?”

Forcing the Format of a DATETIME or INTERVAL Value: The database server always displays the components of an INTERVAL or DATETIME value in the order *year-month-day hour:minute:second.fraction*. It does not refer to the date format that is defined to the operating system, as it does when it formats a DATE value.

You can write a SELECT statement that displays the date part of a DATETIME value in the system-defined format. The trick is to isolate the component fields with the **EXTEND** function and pass them through the **MDY()** function, which converts them to a DATE. The following code shows a partial example:

```
SELECT ... MDY (
    EXTEND (DATE_RECEIVED, MONTH TO MONTH),
    EXTEND (DATE_RECEIVED, DAY TO DAY),
    EXTEND (DATE_RECEIVED, YEAR TO YEAR) )
FROM RECEIPTS ...
```

Choosing a DATETIME Format (GLS)

When an application displays a DATETIME value, it refers to a DATETIME format that the user specifies. The default locale specifies a U.S. English DATETIME format of the following form:

```
2001-10-25 18:02:13
```

For non-default locales, you can use the **GL_DATETIME** environment variable to specify the DATETIME format. For more information on how to use locales, see the *IBM Informix: GLS User's Guide*.

To customize this DATETIME format, choose your locale appropriately or set the **GL_DATETIME** or **DBTIME** environment variable. For more information about these environment variables, see the *IBM Informix: GLS User's Guide*.

BOOLEAN Data Type (IDS)

The BOOLEAN data type is a 1-byte data type. The legal values for Boolean are true ('t'), false ('f'), or NULL. The values are not case sensitive.

You can compare a BOOLEAN column against another BOOLEAN column or against Boolean values. For example, you might use these SELECT statements:

```
SELECT * FROM sometable WHERE bool_col = 't';  
SELECT * FROM sometable WHERE bool_col IS NULL;
```

Character Data Types (GLS)

Informix database servers support several character data types, including CHAR, NCHAR, and NVARCHAR, the special-use character data type.

Character Data: CHAR(*n*) and NCHAR(*n*)

The CHAR(*n*) data type contains a sequence of *n* bytes. These characters can be a mixture of English and non-English characters and can be either single byte or multibyte (Asian). The length *n* ranges from 1 to 32,767.

Whenever the database server retrieves or stores a CHAR(*n*) value, it transfers exactly *n* bytes. If an inserted value is shorter than *n*, the database server extends the value with single-byte ASCII space characters to make up *n* bytes. If an inserted value exceeds *n* bytes, the database server truncates the extra characters without returning an error message. Thus the semantic integrity of data for a CHAR(*n*) column or variable is not enforced when the value that is inserted or updated exceeds *n* bytes.

Data in CHAR columns is sorted in code-set order. For example, in the ASCII code set, the character *a* has a code-set value of 97, *b* has 98, and so forth. The database server sorts CHAR(*n*) data in this order.

The NCHAR(*n*) data type also contains a sequence of *n* bytes. These characters can be a mixture of English and non-English characters and can be either single byte or multibyte (Asian). The length of *n* has the same limits as the CHAR(*n*) data type. Whenever an NCHAR(*n*) value is retrieved or stored, exactly *n* bytes are transferred. The number of characters transferred can be fewer than the number of bytes if the data contains multibyte characters. If an inserted value is shorter than *n*, the database server extends the value with space characters to make up *n* bytes.

The database server sorts data in NCHAR(*n*) columns according to the order that the locale specifies. For example, the French locale specifies that the character *ê* is sorted after the value *e* but before the value *f*. In other words, the sort order that the French locale dictates is *e, ê, f*, and so on. For more information on how to use locales, refer to the *IBM Informix: GLS User's Guide*.

Tip: The only difference between CHAR(*n*) and NCHAR(*n*) data is how you sort and compare the data. You can store non-English characters in a CHAR(*n*) column. However, because the database server uses code-set order to perform any sorting or comparison on CHAR(*n*) columns, you might not obtain the results in the order that you expect.

A CHAR(*n*) or NCHAR(*n*) value can include tabs and spaces but normally contains no other nonprinting characters. When you insert rows with INSERT or UPDATE, or when you load rows with a utility program, no means exist for entering nonprintable characters. However, when a program that uses embedded SQL creates rows, the program can insert any character except the null (binary zero) character. It is not a good idea to store nonprintable characters in a character column because standard programs and utilities do not expect them.

The advantage of the CHAR(*n*) or NCHAR(*n*) data type is its availability on all database servers. The only disadvantage of CHAR(*n*) or NCHAR(*n*) is its fixed length. When the length of data values varies widely from row to row, space is wasted.

Variable-Length Strings: CHARACTER VARYING(*m,r*), VARCHAR(*m,r*), NVARCHAR(*m,r*), and LVARCHAR

Often the items in a character column are different lengths; that is, many are an average length and only a few are the maximum length. For each of the following data types, *m* represents the maximum number of bytes and *r* represents the minimum number of bytes. The following data types are designed to save disk space when you store such data:

- **CHARACTER VARYING (*m,r*).** The CHARACTER VARYING (*m,r*) data type contains a sequence of, at most, *m* bytes or at the least, *r* bytes. This data type is the ANSI-compliant format for character data of varying length. CHARACTER VARYING (*m,r*), supports code-set order for comparisons of its character data.
- **VARCHAR (*m,r*).** VARCHAR (*m,r*) is an Informix-specific data type for storing character data of varying length. In functionality, it is the same as CHARACTER VARYING(*m,r*).
- **NVARCHAR (*m,r*).** NVARCHAR (*m,r*) is also an Informix-specific data type for storing character data of varying length. It compares character data in the order that the locale specifies.

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- **LVARCHAR.** LVARCHAR is an Informix-specific data type for storing character data of varying length from 1 to 32,739 bytes. LVARCHAR

supports code-set order for comparisons of data.

End of Dynamic Server

Tip: The difference in the way data is compared distinguishes NVARCHAR(m,r) data from CHARACTER VARYING(m,r) or VARCHAR(m,r) data. For more information about how the locale determines code-set and sort order, see “Character Data: CHAR(n) and NCHAR(n)” on page 3-15.

When you define columns of these data types, you specify m as the *maximum* number of bytes. If an inserted value consists of fewer than m bytes, the database server does not extend the value with single-byte spaces (as with CHAR(n) and NCHAR(n) values). Instead, it stores only the actual contents on disk with a 1-byte length field. The limit on m is 254 bytes for indexed columns and 255 bytes for non-indexed columns.

The second parameter, r , is an optional *reserve* length that sets a lower limit on the number of bytes than a value being stored on disk requires. Even if a value requires fewer than r bytes, r bytes are nevertheless allocated to hold it. The purpose is to save time when rows are updated. (See “Variable-Length Execution Time” on page 3-17.)

The advantages of the CHARACTER VARYING(m,r) or VARCHAR(m,r) data type over the CHAR(n) data type are as follows:

- It conserves disk space when the number of bytes that data items require varies widely or when only a few items require more bytes than average.
- Queries on the more compact tables can be faster.

These advantages also apply to the NVARCHAR(m,r) data type in comparison to the NCHAR(n) data type.

The following list describes the disadvantages of using varying-length data types:

- They do not allow lengths that exceed 255 bytes.
- Table updates can be slower in some circumstances.
- They are not available with all Informix database servers.

Variable-Length Execution Time

When you use any of the CHARACTER VARYING(m,r), VARCHAR(m,r), or NVARCHAR(m,r) data types, the rows of a table have a varying number of bytes instead of a fixed number of bytes. The speed of database operations is affected when the rows of a table have varying numbers of bytes.

Because more rows fit in a disk page, the database server can search the table with fewer disk operations than if the rows were of a fixed number of bytes. As a result, queries can execute more quickly. Insert and delete operations can be a little quicker for the same reason.

When you update a row, the amount of work the database server must perform depends on the number of bytes in the new row as compared with the number of bytes in the old row. If the new row uses the same number of bytes or fewer, the execution time is not significantly different than it is with fixed-length rows. However, if the new row requires a greater number of bytes than the old one, the database server might have to perform several times as many disk operations. Thus, updates of a table that use CHARACTER VARYING(m,r), VARCHAR(m,r), or NVARCHAR(m,r) data can sometimes be slower than updates of a fixed-length field.

To mitigate this effect, specify r as a number of bytes that encompasses a high proportion of the data items. Then most rows use the reserve number of bytes, and padding wastes only a little space. Updates are slow only when a value that uses the reserve number of bytes is replaced with a value that uses more than the reserve number of bytes.

Large Character Objects: TEXT

The TEXT data type stores a block of text. It is designed to store self-contained documents: business forms, program source or data files, or memos. Although you can store any data in a TEXT item, Informix tools expect a TEXT item to be printable, so restrict this data type to printable ASCII text.

Extended Parallel Server

Extended Parallel Server supports the TEXT data type in columns but does not allow you to store a TEXT column in a blob space or use a TEXT value in an SPL routine.

End of Extended Parallel Server

TEXT values are not stored with the rows of which they are a part. They are allocated in whole disk pages, usually in areas separate from rows. For more information, see your *IBM Informix: Administrator's Guide*.

The advantage of the TEXT data type over CHAR(n) and VARCHAR(m,r) is that the size of a TEXT data item has no limit except the capacity of disk storage to hold it. The disadvantages of the TEXT data type are as follows:

- It is allocated in whole disk pages, so a short item wastes space.

- Restrictions apply on how you can use a TEXT column in an SQL statement. (For more information on this restriction, see “Using TEXT and BYTE Data Types” on page 3-19.)
- It is not available with all Informix database servers.

Binary Objects: BYTE

The BYTE data type is designed to hold any data a program can generate: graphic images, program object files, and documents saved by any word processor or spreadsheet. The database server permits any kind of data of any length in a BYTE column.

Extended Parallel Server

Extended Parallel Server supports the BYTE data type in columns, but does not allow you to store a BYTE column in a blob space or use a BYTE value in an SPL routine.

End of Extended Parallel Server

As with TEXT, BYTE data items usually are stored in whole disk pages in disk areas separate from normal row data.

The advantage of the BYTE data type, as opposed to TEXT or CHAR(*n*), is that it accepts any data. Its disadvantages are the same as those of the TEXT data type.

Using TEXT and BYTE Data Types

The database server stores and retrieves TEXT and BYTE columns. To fetch and store TEXT or BYTE values, you normally use programs written in a language that supports embedded SQL, such as IBM Informix ESQL/C. In such a program, you can fetch, insert, or update a TEXT or BYTE value in a manner similar to the way you read or write a sequential file.

In no SQL statement, interactive or programmed, can a TEXT or BYTE column be used in the following ways:

- In arithmetic or Boolean expressions
- In a GROUP BY or ORDER BY clause
- In a UNIQUE test
- For indexing, either by itself or as part of a composite index

In a SELECT statement that you enter interactively or in a form or report, you can perform the following operations on a TEXT or BYTE value:

- Select the column name, optionally with a subscript to extract part of it.
- Use LENGTH(*column_name*) to return the length of the column.

- Test the column with the IS [NOT] NULL predicate.

In an interactive INSERT statement, you can use the VALUES clause to insert a TEXT or BYTE value, but the only value that you can give that column is null. However, you can use the SELECT form of the INSERT statement to copy a TEXT or BYTE value from another table.

In an interactive UPDATE statement, you can update a TEXT or BYTE column to null or to a subquery that returns a TEXT or BYTE column.

Changing the Data Type

After the table is built, you can use the ALTER TABLE statement to change the data type that is assigned to a column. Although such alterations are sometimes necessary, you should avoid them for the following reasons:

- To change a data type, the database server must copy and rebuild the table. For large tables, copying and rebuilding can take a lot of time and disk space.
- Some data type changes can cause a loss of information. For example, when you change a column from a longer to a shorter character type, long values are truncated; when you change to a less-precise numeric type, low-order digits are truncated.
- Existing programs, forms, reports, and stored queries might also have to be changed.

Null Values

In most cases, columns in a table can contain null values. A null value means that the value for the column can be unknown or not applicable. For example, in the telephone directory example in Chapter 2, the **anniv** column of the **name** table can contain null values; if you do not know the person's anniversary, you do not specify it. Do not confuse null value with zero or a blank value. For example, the following statement inserts a row into the **manufact** table of the **stores_demo** database and specifies that the value for the **lead_time** column is null:

```
INSERT INTO manufact VALUES ('DRM', 'Drumm', NULL)
```

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Collection columns cannot contain null elements. Chapter 8 describes collection data types.

End of Dynamic Server

Default Values

A default value is the value that is inserted into a column when an explicit value is not specified in an INSERT statement. A default value can be a literal character string that you define or one of the following SQL constant expressions:

- USER
- CURRENT
- TODAY
- DBSERVERNAME

Not all columns need default values, but as you work with your data model, you might discover instances where the use of a default value saves data-entry time or prevents data-entry error. For example, the telephone directory model has a **state** column. While you look at the data for this column, you discover that more than 50 percent of the addresses list California as the state. To save time, specify the string CA as the default value for the **state** column.

Check Constraints

Check constraints specify a condition or requirement on a data value before data can be assigned to a column during an INSERT or UPDATE statement. If a row evaluates to *false* for any of the check constraints that are defined on a table during an insert or update, the database server returns an error. However, the database server does not report an error or reject the record when the check constraint evaluates to NULL. For this reason, you might want to use both a check constraint and a NOT NULL constraint when you create a table.

To define a constraint, use the CREATE TABLE or ALTER TABLE statements. For example, the following requirement constrains the values of an integer domain to a certain range:

```
Customer_Number >= 50000 AND Customer_Number <= 99999
```

To express constraints on character-based domains, use the MATCHES predicate and the regular-expression syntax that it supports. For example, the following constraint restricts a telephone domain to the form of a U.S. local telephone number:

```
vce_num MATCHES '[2-9][2-9][0-9]-[0-9][0-9][0-9][0-9]'
```

For additional information about check constraints, see the CREATE TABLE and ALTER TABLE statements in the *IBM Informix: Guide to SQL Syntax*.

Referential Constraints

You can identify the primary and foreign keys in each table to place referential constraints on columns. Chapter 2, “Building a Relational Data Model,” on page 2-1 discusses how you identify these keys.

When you are trying to pick columns for primary and foreign keys, almost all data type combinations must match. For example, if you define a primary key as a CHAR data type, you must also define the foreign key as a CHAR data type. However, when you specify a SERIAL data type on a primary key in one table, you specify an INTEGER on the foreign key of the relationship. Similarly, when you specify a SERIAL8 data type on a primary key in one table, you specify an INT8 on the foreign key of the relationship. The only data type combinations that you can mix in a relationship are as follows:

- SERIAL and INTEGER
- SERIAL8 and INT8

For information about how to create a table with referential constraints, see the CREATE TABLE and ALTER TABLE statements in the *IBM Informix: Guide to SQL Syntax*.

Chapter 4. Implementing a Relational Data Model

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In This Chapter

This chapter shows how to use SQL syntax to implement the data model that Chapter 2 describes. In other words, it shows you how to create a database and tables and populate the tables with data. This chapter also discusses database logging options, table synonyms, and command scripts.

Creating the Database

Now you are ready to create the data model as tables in a database. You do this with the `CREATE DATABASE`, `CREATE TABLE`, and `CREATE INDEX` statements. The syntax for these statements is described in the *IBM Informix: Guide to SQL Syntax*. This section discusses how to use the `CREATE DATABASE` and `CREATE TABLE` statements to implement a data model.

Remember that the telephone directory data model is used for illustrative purposes only. For the sake of the example, it is translated into SQL statements.

You might have to create the same database model more than once. You can store the statements that create the model and later re-execute those statements. For more information, see “Using Command Scripts” on page 4-9.

When the tables exist, you must populate them with rows of data. You can do this manually, with a utility program, or with custom programming.

Using CREATE DATABASE

A database is a container that holds all parts of a data model. These parts include not only the tables but also views, indexes, synonyms, and other objects that are associated with the database. You must create a database before you can create anything else.

When the database server creates a database, it stores the locale of the database that is derived from the **DB_LOCALE** environment variable in its system catalog. This locale determines how the database server interprets character data that is stored within the database. By default, the database locale is the U.S. English locale that uses the ISO8859-1 code set. For information on how to use alternative locales, see the *IBM Informix: GLS User's Guide*.

When the database server creates a database, it sets up records that show the existence of the database and its mode of logging. These records are not visible to operating-system commands because the database server manages disk space directly.

Avoiding Name Conflicts

Normally, only one copy of the database server is running on a computer, and the database server manages the databases that belong to all users of that computer. The database server keeps only one list of database names. The name of your database must be different from that of any other database that the database server manages. (It is possible to run more than one copy of the database server. You can create more than one copy of the database server, for example, to create a safe environment for testing apart from the operational data. In this case, be sure that you are using the correct database server when you create the database and again when you access it later.)

Selecting a Dbspace

The database server lets you create the database in a particular *dbspace*. A *dbspace* is a named area of disk storage. Ask your database server administrator whether you should use a particular *dbspace*. You can put a database in a separate *dbspace* to isolate it from other databases or to locate it on a particular disk device. For information about *dbspaces* and their relationship to disk devices, see your *IBM Informix: Administrator's Guide*. For information about how to fragment the tables of your database across

multiple dbspaces, or with multiple fragments in the same dbspace, see Chapter 11, “Building a Dimensional Data Model,” on page 11-1.

Some dbspaces are *mirrored* (duplicated on two disk devices for high reliability). You might put your database in a mirrored dbspace if its contents are of exceptional importance.

Choosing the Type of Logging

To specify a logging or nonlogging database, use the CREATE DATABASE statement. The database server offers the following choices for transaction logging:

- **No logging at all.** This is not a recommended choice. If you lose the database because of a hardware failure, you lose all data alterations since the last backup.

```
CREATE DATABASE db_with_no_log
```

When you do not choose logging, BEGIN WORK and other SQL statements that are related to transaction processing are not permitted in the database. This situation affects the logic of programs that use the database.

Extended Parallel Server does not support nonlogging databases. The database server does, however, support nonlogging tables. For more information, see “Configuring the Database Server to Use Distributed Queries” on page 7-3.

- **Regular (unbuffered) logging.** This choice is best for most databases. In the event of a failure, you lose only uncommitted transactions.

```
CREATE DATABASE a_logged_db WITH LOG
```

- **Buffered logging.** If you lose the database, you lose few or possibly none of the most recent alterations. In return for this small risk, performance during alterations improves slightly.

```
CREATE DATABASE buf_log_db WITH BUFFERED LOG
```

Buffered logging is best for databases that are updated frequently (so that speed of updating is important), but you can re-create the updates from other data in the event of a failure. Use the SET LOG statement to alternate between buffered and regular logging.

- **ANSI-compliant logging.** This logging is the same as regular logging, but the ANSI rules for transaction processing are also enforced. For more information, refer to “Using ANSI-Compliant Databases” on page 1-2.

```
CREATE DATABASE std_rules_db WITH LOG MODE ANSI
```

The design of ANSI SQL prohibits the use of buffered logging. When you create an ANSI-compliant database, you cannot turn off transaction logging.

For Dynamic Server databases that are not ANSI-compliant, the database server administrator (DBA) can turn transaction logging on and off or change

from buffered to unbuffered logging. For example, you might turn logging off before inserting a large number of new rows.

You can use IBM Informix Server Administrator (ISA) or the **ondblog** and **ontape** utilities to change the logging status or buffering mode. For information about these tools, refer to the *IBM Informix: Dynamic Server Administrator's Guide*. You can also use the SET LOG statement to change between buffered and unbuffered logging. For information about SET LOG, see your *IBM Informix: Guide to SQL Syntax*.

Using CREATE TABLE

Use the CREATE TABLE statement to create each table that you design in the data model. This statement has a complicated form, but it is basically a list of the columns of the table. For each column, you supply the following information:

- The name of the column
- The data type (from the domain list you made)

The statement might also contain one or more of the following constraints:

- A primary-key constraint
- A foreign-key constraint
- A NOT NULL constraint
- A unique constraint
- A default constraint
- A check constraint

In short, the CREATE TABLE statement is an image, in words, of the table as you drew it in the data-model diagram in Figure 2-21 on page 2-26. The following example shows the statements for the telephone directory data model:

```
CREATE TABLE name
(
  rec_num SERIAL PRIMARY KEY,
  lname CHAR(20),
  fname CHAR(20),
  bdate DATE,
  anniv DATE,
  email VARCHAR(25)
);

CREATE TABLE child
(
  child CHAR(20),
  rec_num INT,
  FOREIGN KEY (rec_num) REFERENCES NAME (rec_num)
);
```

```

CREATE TABLE address
(
  id_num      SERIAL PRIMARY KEY,
  rec_num     INT,
  street      VARCHAR (50,20),
  city        VARCHAR (40,10),
  state       CHAR(5) DEFAULT 'CA',
  zipcode     CHAR(10),
  FOREIGN KEY (rec_num) REFERENCES name (rec_num)
);

CREATE TABLE voice
(
  vce_num     CHAR(13) PRIMARY KEY,
  vce_type    CHAR(10),
  rec_num     INT,
  FOREIGN KEY (rec_num) REFERENCES name (rec_num)
);

CREATE TABLE fax
(
  fax_num     CHAR(13),
  oper_from   DATETIME HOUR TO MINUTE,
  oper_till   DATETIME HOUR TO MINUTE,
  PRIMARY KEY (fax_num)
);

CREATE TABLE faxname
(
  fax_num     CHAR(13),
  rec_num     INT,
  PRIMARY KEY (fax_num, rec_num),
  FOREIGN KEY (fax_num) REFERENCES fax (fax_num),
  FOREIGN KEY (rec_num) REFERENCES name (rec_num)
);

CREATE TABLE modem
(
  mdm_num     CHAR(13) PRIMARY KEY,
  rec_num     INT,
  b_type      CHAR(5),
  FOREIGN KEY (rec_num) REFERENCES name (rec_num)
);

```

In each of the preceding examples, the table data gets stored in the same dbspace that you specify for the database because the CREATE TABLE statement does not specify a storage option. You can specify a dbspace for the table that is different from the storage location of the database or fragment the table into multiple dbspaces. For information about the different storage options Informix database servers support, see the CREATE TABLE statement in the *IBM Informix: Guide to SQL Syntax*. The following section shows one way to fragment a table into multiple dbspaces.

Creating a Fragmented Table

To control where data is stored at the table level, you can use a `FRAGMENT BY` clause when you create the table. The following statement creates a fragmented table that stores data according to a round-robin distribution scheme. In this example, the rows of data are distributed more or less evenly across the fragments **dbspace1**, **dbspace2**, and **dbspace3**.

```
CREATE TABLE name
(
  rec_num SERIAL PRIMARY KEY,
  lname CHAR(20),
  fname CHAR(20),
  bdate DATE,
  anniv DATE,
  email VARCHAR(25)
) FRAGMENT BY ROUND ROBIN IN dbspace1, dbspace2, dbspace3;
```

For more information about the different distribution schemes that you can use to create fragmented tables, see Chapter 5.

Dropping or Modifying a Table

Use the `DROP TABLE` statement to remove a table with its associated indexes and data. To change the definition of a table, for example, by adding a check constraint, use the `ALTER TABLE` statement. Use the `TRUNCATE` statement to remove all rows from a table and all corresponding index data while preserving the definition of the table. For information about these statements, refer to *IBM Informix: Guide to SQL Syntax*.

Using CREATE INDEX

Use the `CREATE INDEX` statement to create an index on one or more columns in a table and, optionally, to cluster the physical table in the order of the index. This section describes some of the options available when you create indexes. For more information about the `CREATE INDEX` statement, see the *IBM Informix: Guide to SQL Syntax*.

Suppose you create table **customer**:

```
CREATE TABLE customer
(
  cust_num SERIAL(101) UNIQUE
  fname CHAR(15),
  lname CHAR(15),
  company CHAR(20),
  address1 CHAR(20),
  address2 CHAR(20),
  city CHAR(15),
  state CHAR(2),
  zipcode CHAR(5),
  phone CHAR(18)
);
```

The following statement shows how to create an index on the **lname** column of the **customer** table:

```
CREATE INDEX lname_index ON customer (lname);
```

Composite Indexes

You can create an index that includes multiple columns. For example, you might create the following index:

```
CREATE INDEX c_temp2 ON customer (cust_num, zipcode);
```

Bidirectional Traversal of Indexes

The ASC and DESC keywords specify the order in which the database server maintains the index. When you create an index on a column and omit the keywords or specify the ASC keyword, the database server stores the key values in ascending order. If you specify the DESC keyword, the database server stores the key values in descending order.

Ascending order means that the key values are stored in order from the smallest key to the largest key. For example, if you create an ascending index on the **lname** column of the **customer** table, last names are stored in the index in the following order: Albertson, Beatty, Currie.

Descending order means that the key values are stored in order from the largest key to the smallest key. For example, if you create a descending index on the **lname** column of the **customer** table, last names are stored in the index in the following order: Currie, Beatty, Albertson.

The bidirectional traversal capability of the database server lets you create just one index on a column and use that index for queries that specify sorting of results in either ascending or descending order of the sort column.

Using Synonyms for Table Names

A *synonym* is a name that you can use in place of another SQL identifier. You use the CREATE SYNONYM statement to declare an alternative name for a table, a view, or (for Dynamic Server) a sequence object.

Typically, you use a synonym to refer to tables that are not in the current database. For example, you might execute the following statements to create synonyms for the **customer** and **orders** table names:

```
CREATE SYNONYM mcust FOR masterdb@central:customer;  
CREATE SYNONYM bords FOR sales@boston:orders;
```

After you create the synonym, you can use it in many contexts where the original table name is valid, as the following example shows:

```

SELECT bords.order_num, mcust.fname, mcust.lname
FROM mcust, bords
WHERE mcust.customer_num = bords.Customer_num
INTO TEMP mycopy;

```

The CREATE SYNONYM statement stores the synonym name in the system catalog table **syssyntax** in the current database. The synonym is available to any query made in that database. (If the **USETABLENAME** environment variable is set, however, some DDL statements of SQL do not support synonyms in place of table names.)

A short synonym makes it easier to write queries, but synonyms can play another role. They allow you to move a table to a different database, or even to a different computer, and keep your queries the same.

Suppose you have several queries that refer to the tables **customer** and **orders**. The queries are embedded in programs, forms, and reports. The tables are part of the demonstration database, which is kept on database server **avignon**.

Now you decide to make the same programs, forms, and reports available to users of a different computer on the network (database server **nantes**). Those users have a database that contains a table named **orders** that contains the orders at their location, but they need access to the table **customer** at **avignon**.

To those users, the **customer** table is external. Does this mean you must prepare special versions of the programs and reports, versions in which the **customer** table is qualified with a database server name? A better solution is to create a synonym in the users' database, as the following example shows:

```

DATABASE stores_demo@nantes;
CREATE SYNONYM customer FOR stores_demo@avignon:customer;

```

When the stored queries are executed in your database, the name **customer** refers to the actual table. When they are executed in the other database, the name is resolved through the synonym into a reference to the table that exists on the database server **avignon**. (In a database that is not ANSI-compliant, a synonym must be unique among the names of synonyms, tables, views, and sequence objects in the database. In an ANSI-compliant database, the *owner.synonym* combination must be unique within the namespace of objects that have been registered in the database with a **tabid** value.)

Using Synonym Chains

To continue the preceding example, suppose that a new computer is added to your network. Its name is **db_crunch**. The **customer** table and other tables are moved to it to reduce the load on **avignon**. You can reproduce the table on the new database server easily enough, but how can you redirect all access to it? One way is to install a synonym to replace the old table, as the following example shows:


```
DATABASE stores_demo@avignon EXCLUSIVE;  
RENAME TABLE customer TO old_cust;  
CREATE SYNONYM customer FOR stores_demo@db_crunch:customer;  
CLOSE DATABASE;
```

When you execute a query within **stores_demo@avignon**, a reference to table **customer** finds the synonym and is redirected to the version on the new computer. Such redirection also happens for queries that are executed from database server **nantes** in the previous example. The synonym in the database **stores_demo@nantes** still redirects references to **customer** to database **stores_demo@avignon**; the new synonym there sends the query to database **stores_demo@db_crunch**.

Chains of synonyms can be useful when, as in this example, you want to redirect all access to a table in one operation. However, you should update the databases of all users as soon as possible so their synonyms point directly to the table. If you do not, you incur extra overhead when the database server handles the extra synonyms, and the table cannot be found if any computer in the chain is down.

You can run an application against a local database and later run the same application against a database on another computer. The program runs equally well in either case (although it can run more slowly on the network database). As long as the data model is the same, a program cannot tell the difference between one database and another.

Using Command Scripts

You can enter SQL statements interactively to create the database and tables. In some cases, you might have to create the database and tables two or more times. For example, you might have to create the database again to make a production version after a test version is satisfactory, or you might have to implement the same data model on several computers. To save time and reduce the chance of errors, you can put all the statements to create a database in a file and later re-execute those statements.

Capturing the Schema

The **dbschema** utility is a program that examines the contents of a database and generates all the SQL statements you require to re-create it. You can build the first version of your database, making changes until it is exactly as you want it. Then you can use **dbschema** to generate the SQL statements necessary to duplicate it. For information about the **dbschema** utility, see the *IBM Informix: Migration Guide*.

Executing the File

Programs that you use to enter SQL statements interactively, such as DB–Access, can be run from a file of commands. You can start DB–Access to

read and execute a file of commands that you or **dbschema** prepared. For more information, see the *IBM Informix: DB–Access User’s Guide*.

An Example

Most IBM Informix database server products come with a demonstration database (the database that most of the examples in this book use). The demonstration database is delivered as an operating-system command script that calls IBM Informix products to build the database. You can copy this command script and use it as the basis to automate your own data model.

Populating the Database

For your initial tests, the easiest way to populate the database is to type INSERT statements in DB–Access. For example, to insert a row into the **manufact** table of the demonstration database, enter the following command in DB–Access:

```
INSERT INTO manufact VALUES ('MKL', 'Martin', 15);
```

If you are preparing an application program, such as an application in C, you can use the application to enter rows into a database table.

The following table lists IBM Informix tools that you can use for entering information into your database. The acronyms in the Reference column are explained after the table.

| Tool | Purpose | Reference |
|---------------------------------|---|--------------|
| dbaccessdemo dbaccessdemo_ud | Prepare and populate sample databases. | DB-A SQLR |
| DB–Access | Edit a database by entering explicit commands. | DB-A SQLS |
| onunload & onload | Copy an entire database or selected database tables to or from files on tape or disk. | MG AR |
| dbload | Load data from one or more text files into one or more existing tables. | MG |
| High-Performance Loader | Copy an entire database, selected tables, or selected columns of selected tables. | HPL |
| LOAD & UNLOAD | Load data from (or into) a text file. | SQLS |
| dbexport , dbimport | Copy an entire database using text files. | MG |
| Enterprise Replication | Update selected databases each time a specified table is updated. | ER |
| onxfer | Copy data to an Extended Parallel Server from IBM Informix Dynamic Server. | MG |

| Tool | Purpose | Reference |
|----------------------|--|-----------------------|
| C application | Use SQL commands embedded in a C program to update databases. | ESQLC DAPI DBDK |
| Java application | Use SQL commands embedded in a Java program to update databases. | Java DBDK |
| Gateway applications | Access data from non-Informix databases. | GM GU |

| Mnemonic | Explanation of References Column |
|-----------------|--|
| SQLR | <i>IBM Informix: Guide to SQL Reference</i> |
| SQLS | <i>IBM Informix: Guide to SQL Syntax</i> |
| MG | <i>IBM Informix: Migration Guide</i> |
| AR | <i>IBM Informix: Administrator's Reference</i> |
| GM | <i>IBM Informix: Enterprise Gateway Manager User Manual</i> |
| GU | <i>IBM Informix: Enterprise Gateway User Manual</i> |
| DBDK | <i>IBM Informix: DataBlade Developer's Kit User's Guide</i> |
| ESQL/C | <i>IBM Informix: ESQL/C Programmer's Manual</i> |
| Java | <i>IBM Informix: J/Foundation Developer's Guide</i> |
| HPL | <i>IBM Informix: High-Performance Loader User's Guide</i> |
| DB-A | <i>IBM Informix: DB-Access User's Guide</i> |
| ER | <i>IBM Informix: Dynamic Server Enterprise Replication Guide</i> |
| DAPI | <i>IBM Informix: DataBlade API Programmer's Guide</i> |

Moving Data from Other Informix Databases

Often, the initial rows of a table can be derived from data that is stored in tables in another Informix database or in operating-system files. The following utilities let you move large quantities of data:

- **onunload/onload** utilities
- **dbexport/dbimport** utilities
- **dbload** utility
- SQL LOAD statement
- High Performance Loader (HPL)

You can also select the data you want from the other database on another database server as part of an INSERT statement in your database. As the

following example shows, you could select information from the **items** table in the demonstration database to insert into a new table:

```
INSERT INTO newtable
  SELECT item_num, order_num, quantity, stock_num,
         manu_code, total_price
  FROM stores_demo@otherserver:items;
```

Loading Source Data into a Table

When the data source is not an Informix database, you must find a way to convert it into a flat ASCII file; that is, a file of printable data in which each line represents the contents of one table row.

After you have the data in an ASCII file, you can use the **dbload** utility to load it into a table. For more information on **dbload**, see the *IBM Informix: Migration Guide*. The LOAD statement in DB–Access can also load rows from a flat ASCII file. For information about the LOAD and UNLOAD statements, see the *IBM Informix: Guide to SQL Syntax*.

Extended Parallel Server

After you have the data in a file, you can use *external tables* to load it into a table. For more information on external tables, see your *IBM Informix: Administrator's Guide*.

End of Extended Parallel Server

Performing Bulk-Load Operations

Inserting hundreds or thousands of rows goes much faster if you turn off transaction logging. Logging these insertions makes no sense because, in the event of a failure, you can easily re-create the lost work. The following list contains the steps of a large bulk-load operation:

- If any chance exists that other users are using the database, exclude them with the DATABASE EXCLUSIVE statement.
- Ask the administrator to turn off logging for the database.

The existing logs can be used to recover the database in its present state, and you can run the bulk insertion again to recover those rows if they are lost.

Extended Parallel Server

You cannot turn off logging for databases that use Extended Parallel Server. However, you can create nonlogging tables (raw permanent or static permanent) in the database.

End of Extended Parallel Server

- Perform the statements or run the utilities that load the tables with data.

- Back up the newly loaded database.
Either ask the administrator to perform a full or incremental backup or use the **onunload** utility to make a binary copy of your database only.
- Restore transaction logging and release the exclusive lock on the database.

Part 2. Managing Databases

Chapter 5. Table Fragmentation Strategies

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In This Chapter

This chapter describes the fragmentation strategies that your database server supports and provides examples of the different fragmentation strategies. It discusses fragmentation, distribution schemes for table fragmentation, creating and modifying fragmented tables, and providing privileges for fragmented tables.

For information about how to formulate a fragmentation strategy to reduce data contention and improve query performance, see your *IBM Informix: Performance Guide*.

What Is Fragmentation?

Fragmentation is a database server feature that allows you to control where data is stored at the table level. Fragmentation enables you to define groups of rows or index keys within a table according to some algorithm or *scheme*. You can store each group or *fragment* (also referred to as a *partition*) in a separate dbspace associated with a specific physical disk. You use SQL statements to create the fragments and assign them to dbspaces.

The scheme that you use to group rows or index keys into fragments is called the *distribution scheme*. The distribution scheme and the set of dbspaces in which you locate the fragments together make up the *fragmentation strategy*. The decisions that you must make to formulate a fragmentation strategy are discussed in your *IBM Informix: Performance Guide*.

After you decide whether to fragment table rows, index keys, or both, and you decide how the rows or keys should be distributed over fragments, you decide on a scheme to implement this distribution. For a description of the distribution schemes that Informix database servers support, see “Distribution Schemes for Table Fragmentation” on page 5-4.

When you create fragmented tables and indexes, the database server stores the location of each table and index fragment with other related information in the system catalog table named `sysfragments`. You can use this table to access information about your fragmented tables and indexes. If you use a user-defined routine as part of the fragmentation expression, that information is recorded in `sysfragexprudrdep`. For a description of the information that these system catalog tables contain, see the *IBM Informix: Guide to SQL Reference*.

From the perspective of an end user or client application, a fragmented table is identical to a nonfragmented table. Client applications do not require any modifications to allow them to access the data in fragmented tables.

For some distribution schemes, the database server has information on which fragments contain which data, so it can route client requests for data to the appropriate fragment without accessing irrelevant fragments. (The database server cannot route client requests for data to the appropriate fragment for round-robin and some expression-based distribution schemes.) For more information, see “Distribution Schemes for Table Fragmentation” on page 5-4.)

Why Use Fragmentation?

Consider fragmenting your tables if improving at least one of the following is your goal:

- Single-user response time
- Concurrency
- Availability
- Backup-and-restore characteristics
- Loading of data

Each of the preceding goals has its own implications for the fragmentation strategy that you ultimately implement. Your primary fragmentation goal determines, or at least influences, how you implement your fragmentation strategy. When you decide whether to use fragmentation to meet any of the preceding goals, keep in mind that fragmentation requires some additional administration and monitoring activity.

For more information about the preceding goals and how to plan a fragmentation strategy, see your *IBM Informix: Performance Guide*.

Whose Responsibility Is Fragmentation?

Some overlap exists between the responsibilities of the database server administrator and those of the database administrator (DBA) with respect to fragmentation. The DBA creates the database schema, which can include table fragmentation. The database server administrator, on the other hand, is responsible for allocating the disk space in which the fragmented tables will reside. Because neither of these responsibilities can be performed in isolation from the other, to implement fragmentation requires a cooperative effort between the DBA and the database server administrator. This manual describes only those tasks that the DBA performs to implement a fragmentation strategy. For information about the tasks the database server administrator performs to implement a fragmentation strategy, see your *IBM Informix: Administrator's Guide* and *IBM Informix: Performance Guide*.

Enhanced Fragmentation (XPS)

Extended Parallel Server can fragment tables and indexes across disks that belong to different coservers. Each table fragment can reside in a separate dbspace that is associated with physical disks that belong to different coservers. A *dbslice* provides the mechanism to manage many dbspaces across multiple coservers. Once you create the dbspaces and dbspaces, you can create tables and indexes that are fragmented across multiple coservers.

For information on the advantages of fragmenting tables across coservers, see your *IBM Informix: Extended Parallel Server Performance Guide*. For information about how to create dbspaces and dbspaces, see your *IBM Informix: Extended Parallel Server Administrator's Guide*.

Fragmentation and Logging

Dynamic Server

With Dynamic Server, fragmented tables can belong to either a logging database or a nonlogging database. As with nonfragmented tables, if a fragmented table is part of a nonlogging database, a potential for data inconsistencies arises if a failure occurs.

End of Dynamic Server

Extended Parallel Server

With Extended Parallel Server, fragmented tables always belong to a logging database. However, Extended Parallel Server does support several logging and nonlogging table types. For more information, see “Configuring the Database Server to Use Distributed Queries” on page 7-3.

End of Extended Parallel Server

Distribution Schemes for Table Fragmentation

A *distribution scheme* is a method that the database server uses to distribute rows or index entries to fragments. Informix database servers support the following distribution schemes:

- **Expression-based.** This distribution scheme puts rows that contain specified values in the same fragment. You specify a *fragmentation expression* that defines criteria for assigning a set of rows to each fragment, either as a range rule or some arbitrary rule. You can specify a *remainder fragment* that holds all rows that do not match the criteria for any other fragment, although a remainder fragment reduces the efficiency of the expression-based distribution scheme.
- **Round-robin.** This distribution scheme places rows one after another in fragments, rotating through the series of fragments to distribute the rows evenly. The database server defines the rule internally.

For INSERT statements, the database server uses a hash function on a random number to determine the fragment in which to place the row. For INSERT cursors, the database server places the first row in a random fragment, the second in the next sequential fragment, and so on. If one of the fragments is full, it is skipped.

Extended Parallel Server

- **Range distribution.** This distribution scheme ensures that rows are fragmented evenly across dbspaces. In range distribution, the database

server determines the distribution of rows among fragments based on minimum and maximum integer values that the user specifies. Use a range distribution scheme when the data distribution is both dense and uniform.

- **System-defined hash.** This distribution scheme uses an internal, system-defined rule that distributes rows with the objective of keeping the same number of rows in each fragment.
- **Hybrid.** This distribution scheme combines two distribution schemes. The *primary* distribution scheme chooses the dbslice. The *secondary* distribution scheme puts rows in specific dbspaces within the dbslice. The dbspaces usually reside on different coservers.

End of Extended Parallel Server

For complete descriptions of the SQL syntax you use to specify a distribution scheme, see the CREATE TABLE and CREATE INDEX statements in the *IBM Informix: Guide to SQL Syntax*. For a discussion about the performance aspects of fragmentation, refer to your *IBM Informix: Performance Guide*.

Expression-Based Distribution Scheme

To specify an expression-based distribution scheme, use the FRAGMENT BY EXPRESSION clause of the CREATE TABLE or CREATE INDEX statement. The following example includes a FRAGMENT BY EXPRESSION clause to create a fragmented table with an expression-based distribution scheme:

```
CREATE TABLE accounts (id_num INT, name char(15))
FRAGMENT BY EXPRESSION
id_num <= 100 IN dbspace_1,
id_num <100 AND id_num <= 200 IN dbspace_2,
id_num > 200 IN dbspace_3
```

When you use the FRAGMENT BY EXPRESSION clause of the CREATE TABLE statement to create a fragmented table, you must supply one condition for each fragment of the table that you are creating.

You can define *range rules* or *arbitrary rules* that indicate to the database server how rows are to be distributed to fragments. The following sections describe the different types of expression-based distribution schemes.

Range Rule

A range rule uses SQL relational and logical operators to define the boundaries of each fragment in a table. A range rule can contain the following restricted set of operators:

- The relational operators >, <, >=, <=
- The logical operators AND and OR
- Algebraic expressions including built-in functions

A range rule can be based on a simple algebraic expression as shown in the following example. In this example, the expression is a simple reference to a column.

```
FRAGMENT BY EXPRESSION
id_num > 0 AND id_num <= 20 IN dbsp1,
id_num > 20 AND id_num <= 40 IN dbsp2,
id_num > 40 IN dbsp3
```

The expression in a range rule can be a conjunction or disjunction of more algebraic expressions. The next example shows two algebraic expressions used to define two sets of ranges. The first set of ranges is based on the algebraic expression: "YEAR(Died) - YEAR(Born)"; the second set of ranges is based on "MONTH(Born)."

```
FRAGMENT BY EXPRESSION
YEAR(Died) - YEAR(Born) < 21 AND MONTH(Born) >= 1 AND MONTH(Born) < 4 IN dbsp1,
YEAR(Died) - YEAR(Born) < 40 AND MONTH(Born) >= 4 AND MONTH(Born) < 7 IN dbsp2,
```

Arbitrary Rule

An arbitrary rule uses SQL relational and logical operators. Unlike range rules, arbitrary rules allow you to use any relational operator and any logical operator to define the rule. In addition, you can reference any number of table columns in the rule. Arbitrary rules typically include the use of the OR logical operator to group data, as the following example shows:

```
FRAGMENT BY EXPRESSION
zip_num = 95228 OR zip_num = 95443 IN dbsp2,
zip_num = 91120 OR zip_num = 92310 IN dbsp4,
REMAINDER IN dbsp5
```

Using the MOD Function (IDS)

You can use the MOD function in a FRAGMENT BY EXPRESSION clause to map each row in a table to a set of integers (hash values). The database server uses these values to determine in which fragment it will store a given row. The following example shows how you might use the MOD function in an expression-based distribution scheme:

```
FRAGMENT BY EXPRESSION
MOD(id_num, 3) = 0 IN dbsp1,
MOD(id_num, 3) = 1 IN dbsp2,
MOD(id_num, 3) = 2 IN dbsp3
```

Inserting and Updating Rows

When you insert or update a row, the database server evaluates fragment expressions, in the order specified, to see if the row belongs in any of the fragments. If so, the database server inserts or updates the row in one of the fragments. If the row does not belong in any of the fragments, the row is put into the fragment that the remainder clause specified. If the distribution scheme does not include a remainder clause, and the row does not match the criteria for any of the existing fragment expressions, the database server returns an error.

Round-Robin Distribution Scheme

To specify a round-robin distribution scheme, use the `FRAGMENT BY ROUND ROBIN` clause of the `CREATE TABLE` statement. The following statement illustrates a fragmented table with a round-robin distribution scheme:

```
CREATE TABLE account_2
...
...
FRAGMENT BY ROUND ROBIN IN dbspace1, dbspace2, dbspace3
```

When the database server receives a request to insert a number of rows into a table that uses round-robin distribution, it distributes the rows in such a way that the number of rows in each of the fragments remains approximately the same. Round-robin distributions are also called *even distributions* because information is distributed evenly among the fragments. The rule for distributing rows to tables that use round-robin distribution is internal to the database server.

Important: You can use the round-robin distribution scheme only for table fragmentation. You cannot fragment an index with this distribution scheme.

Range Distribution Scheme (XPS)

When data distribution is dense and uniform and the fragmentation column contains no duplicates, you can use a range distribution scheme to distribute rows evenly across dbspaces. Range distribution uses `MIN` and `MAX` values that the user specifies to determine the distribution of rows among the fragments.

The following statement includes a `FRAGMENT BY RANGE` clause to specify a range distribution scheme:

```
CREATE TABLE cust_account (cust_id INT)
...
...
FRAGMENT BY RANGE (cust_id MIN 1000 MAX 5000)
  IN dbsp_1, dbsp_2, dbsp_3, dbsp_4)
```

The `MIN` and `MAX` values specify the total range of expected values in the column. You must specify a `MAX` value in the `FRAGMENT BY RANGE` clause. If you omit the `MIN` value, the default `MIN` value is 0. In the preceding example, the database server uses `cust_id` values to distribute table rows across four dbspaces. The database server fragments the rows as follows.

Storage Space For Rows with Column Values

| | |
|--------|------------------------|
| dbsp_1 | 1000 <= cust_id < 2000 |
| dbsp_2 | 2000 <= cust_id < 3000 |

```
dbbsp_3      3000 <= cust_id < 4000
dbbsp_4      4000 <= cust_id < 5000
```

You can use range fragmentation on a single column or, in a hybrid distribution scheme, you can specify a range scheme on different columns for each FRAGMENT BY RANGE clause. For information about how to use range fragmentation in a hybrid distribution scheme, see “Hybrid Distribution Scheme (XPS)” on page 5-8.

System-Defined Hash Distribution Scheme (XPS)

The database server uses a system-defined hash algorithm to distribute data evenly by hashing a specified key. In addition to even data distribution, system-defined hash fragmentation permits the automatic elimination of fragments for queries that use the hashed key. You can use hash fragmentation for several tables to provide fragment elimination when the tables are joined in queries and to perform more processing on the local coserver.

A system-defined hash distribution scheme is the preferred method for distributing data evenly across fragments, except in the following cases:

- Range queries are used.
A range distribution scheme might lead to better fragment elimination and therefore better query performance.
- The specified column contains a very uneven number of duplicate values or a very small number of different values.

Either condition can result in data skew, in which some fragments become larger than others. Data skew can lead to uneven performance because the amount of data that the database server needs to process is larger in some fragments than in other fragments.

To specify a system-defined hash distribution scheme, use the FRAGMENT BY HASH clause in the CREATE TABLE statement as follows:

```
CREATE TABLE new_tab (id INT, name CHAR(30))
  FRAGMENT BY HASH (id) IN dbspace1, dbspace2, dbspace3;
```

In a system-defined hash distribution scheme, specify at least two dbspaces where you want the fragments to be placed or specify a dbslice.

You can also specify a composite key for a system-defined hash distribution scheme.

Hybrid Distribution Scheme (XPS)

A *hybrid* distribution scheme combines a base strategy and second-level strategy on the same table. The base strategy can be expression-based or range

fragmentation. You can use a hybrid distribution scheme to apply different fragmentation strategies on one or two columns.

When you define a hybrid distribution scheme you can specify a single dbslice, a single dbspace, or multiple dbspaces as the storage domain of the fragmentation expression.

The following statement defines a hybrid scheme based on two columns of the table:

```
CREATE TABLE hybrid_tab (col_1 INT, col_2 DATE, col_3 CHAR(4))
  FRAGMENT BY HYBRID (col_1) EXPRESSION
    col_1 >= 0 AND col_1 < 20 IN dbspace_1,
    col_1 >= 20 AND col_1 < 40 IN dbspace_2,
    col_1 >= 40 IN dbspace_3;
```

Creating a Fragmented Table

This section explains how to use SQL statements to create and manage fragmented tables. You can fragment a table at the same time that you create it, or you can fragment existing nonfragmented tables. An overview of both alternatives is given in the following sections. For the complete syntax of the SQL statements that you use to create fragmented tables, see the *IBM Informix: Guide to SQL Syntax*.

Before you create a fragmented table, you must decide on an appropriate fragmentation strategy. For information about how to formulate a fragmentation strategy, see your *IBM Informix: Performance Guide*.

Creating a New Fragmented Table

To create a fragmented table, use the FRAGMENT BY clause of the CREATE TABLE statement. Suppose that you want to create a fragmented table similar to the orders table of the **stores_demo** database. You decide on a round-robin distribution scheme with three fragments and consult with your database server administrator to set up three dbspaces, one for each of the fragments: dbspace1, dbspace2, and dbspace3. The following SQL statement creates the fragmented table:

```
CREATE TABLE my_orders (
  order_num      SERIAL(1001),
  order_date     DATE,
  customer_num   INT,
  ship_instruct  CHAR(40),
  backlog        CHAR(1),
  po_num         CHAR(10),
  ship_date      DATE,
  ship_weight    DECIMAL(8,2),
  ship_charge    MONEY(6),
  paid_date      DATE,
```

```
PRIMARY KEY (order_num),
FOREIGN KEY (customer_num) REFERENCES customer(customer_num))
FRAGMENT BY ROUND ROBIN IN dbspace1, dbspace2, dbspace3
```

Dynamic Server

If the **my_orders** table resides in a Dynamic Server database, you might decide instead to create the table with expression-based fragmentation. Suppose that your **my_orders** table has 30,000 rows, and you want to distribute rows evenly across three fragments stored in `dbspace1`, `dbspace2`, and `dbspace3`. The following statement shows how you might use the `order_num` column to define an expression-based fragmentation strategy:

```
CREATE TABLE my_orders (order_num SERIAL, ...)
FRAGMENT BY EXPRESSION
    order_num < 10000 IN dbspace1,
    order_num >= 10000 and order_num < 20000 IN dbspace2,
    order_num >= 20000 IN dbspace3
```

End of Dynamic Server

Extended Parallel Server

If the **my_orders** table resides in an Extended Parallel Server database, you might create the table with a system-defined hash distribution scheme to get even distribution across fragments. Suppose that the **my_orders** table has 120,000 rows, and you want to distribute rows evenly across six fragments stored in different `dbspaces`. You decide to use the `SERIAL` column `order_num` to define the fragments.

The following example shows how to use the `order_num` column to define a system-defined hash fragmentation strategy:

```
CREATE TABLE my_orders (order_num SERIAL, ...)
FRAGMENT BY HASH (order_num) IN dbspace1, dbspace2,
    dbspace3, dbspace4, dbspace5, dbspace6;
```

You might notice a difference between `SERIAL` column values in a fragmented table and unfragmented tables. Extended Parallel Server assigns `SERIAL` values sequentially within fragments, but fragments might contain values from noncontiguous ranges. You cannot specify what these ranges are. Extended Parallel Server controls these ranges and guarantees only that they do not overlap.

Tip: You can store table fragments in `dbspaces` or `dbslices` on Extended Parallel Server.

End of Extended Parallel Server

Creating a Fragmented Table from Nonfragmented Tables

You might need to convert nonfragmented tables into fragmented tables in the following circumstances:

- You have an application-implemented version of table fragmentation.
You will probably want to convert several small tables into one large fragmented table. The following section tells you how to proceed when this is the case. Follow the instructions in the section “Using More Than One Nonfragmented Table” on page 5-11.
- You have an existing large table that you want to fragment.
Follow the instructions in the section “Using a Single Nonfragmented Table” on page 5-12.

Remember that before you perform the conversion, you must set up an appropriate number of dbspaces to contain the newly created fragmented tables.

Using More Than One Nonfragmented Table

You can combine two or more nonfragmented tables into a single fragmented table. The nonfragmented tables must have identical table structures and must be stored in separate dbspaces. To combine nonfragmented tables, use the `ATTACH` clause of the `ALTER FRAGMENT` statement.

For example, suppose that you have three nonfragmented tables, `account1`, `account2`, and `account3`, and that you store the tables in dbspaces `dbspace1`, `dbspace2`, and `dbspace3`, respectively. All three tables have identical structures, and you want to combine the three tables into one table that is fragmented by the expression on the common column `acc_num`.

You want rows with `acc_num` less than or equal to 1120 to be stored in `dbspace1`. Rows with `acc_num` greater than 1120 but less than or equal to 2000 are to be stored in `dbspace2`. Finally, rows with `acc_num` greater than 2000 are to be stored in `dbspace3`.

To fragment the tables with this fragmentation strategy, execute the following SQL statement:

```
ALTER FRAGMENT ON TABLE tab1 ATTACH
  tab1 AS acc_num <= 1120,
  tab2 AS acc_num > 1120 and acc_num <= 2000,
  tab3 AS acc_num > 2000;
```

The result is a single table, `tab1`. The other tables, `tab2` and `tab3`, were consumed and no longer exist.

For information about how to use the ATTACH and DETACH clauses of the ALTER FRAGMENT statement to improve performance, see your *IBM Informix: Performance Guide*.

Using a Single Nonfragmented Table

To create a fragmented table from a nonfragmented table, use the INIT clause of the ALTER FRAGMENT statement. For example, suppose you want to convert the table orders to a table fragmented by round-robin. The following SQL statement performs the conversion:

```
ALTER FRAGMENT ON TABLE orders INIT
  FRAGMENT BY ROUND ROBIN IN dbspace1, dbspace2, dbspace3;
```

Any existing indexes on the nonfragmented table become fragmented with the same fragmentation strategy as the table.

Rowids in a Fragmented Table

The term *rowid* refers to an integer that defines the physical location of a row. The rowid of a row in a nonfragmented table is a unique and constant value. Rows in fragmented tables, in contrast, are *not* assigned a rowid.

Important: Use primary keys as a method of access in your applications rather than rowids. Because primary keys are defined in the ANSI specification of SQL, using primary keys to access data makes your applications more portable.

Extended Parallel Server

The database server does not support rowids for fragmented tables.

End of Extended Parallel Server

Dynamic Server

To accommodate applications that must reference a rowid for a fragmented table, Dynamic Server allows you to explicitly create a rowid column for a fragmented table. However, Dynamic Server does not support the WITH ROWIDS clause for typed tables.

To create the rowid column, use the following SQL syntax:

- The WITH ROWIDS clause of the CREATE TABLE statement
- The ADD ROWIDS clause of the ALTER TABLE statement
- The INIT clause of the ALTER FRAGMENT statement

When you create the rowid column, the database server takes the following actions:

- Adds the 4-byte unique value to each row in the table
- Creates an internal index that it uses to access the data in the table by rowid
- Inserts a row in the **sysfragments** system catalog table for the internal index

End of Dynamic Server

Fragmenting Smart Large Objects (IDS)

You can specify multiple sbspaces in the PUT clause of the CREATE TABLE statement to achieve round-robin fragmentation of smart large objects on a column. If you specify multiple sbspaces for a CLOB or BLOB column, the database server distributes the smart large objects for the column to the specified sbspaces in round-robin fashion. Given the following CREATE TABLE statement, the database server can distribute large objects from the **cat_photo** column to **sbcat1**, **sbcat2**, and **sbcat3** in round-robin fashion.

```
CREATE TABLE catalog (
    catalog_num SERIAL,
    stock_num SMALLINT,
    manu_code CHAR(3),
    cat_descr LVARCHAR,
    cat_photo BLOB)
PUT cat_photo in (sbcat1, sbcat2, sbcat3);
```

Modifying Fragmentation Strategies

You can make two general types of modifications to a fragmented table. The first type consists of the modifications that you can make to a nonfragmented table. Such modifications include adding a column, dropping a column, changing a column data type, and so on. For these modifications, use the ALTER TABLE statements that you would normally use on a nonfragmented table. The second type of modification consists of changes to a fragmentation strategy. This section explains how to use SQL statements to modify fragmentation strategies.

At times, you might need to alter a fragmentation strategy after you implement fragmentation. Most frequently, you will need to modify your fragmentation strategy when you use fragmentation with intraquery or interquery parallelization. Modifying your fragmentation strategy in these circumstances is one of several ways you can improve the performance of your database server system.

Reinitializing a Fragmentation Strategy

You can use the ALTER FRAGMENT statement with an INIT clause to define and initialize a new fragmentation strategy on a nonfragmented table or convert an existing fragmentation strategy on a fragmented table. You can also use the INIT clause to change the order of evaluation of fragment expressions.

The following example shows how you might use the INIT clause to reinitialize a fragmentation strategy completely.

Suppose that you initially create the following fragmented table:

```
CREATE TABLE account (acc_num INTEGER, ...)
  FRAGMENT BY EXPRESSION
    acc_num <= 1120 in dbspace1,
    acc_num > 1120 and acc_num < 2000 in dbspace2,
    REMAINDER IN dbspace3;
```

Suppose that after several months of operation with this distribution scheme, you find that the number of rows in the fragment contained in dbspace2 is twice the number of rows that the other two fragments contain. This imbalance causes the disk that contains dbspace2 to become an I/O bottleneck.

To remedy this situation, you decide to modify the distribution so that the number of rows in each fragment is approximately even. You want to modify the distribution scheme so that it contains four fragments instead of three fragments. A new dbspace, dbspace2a, is to contain the new fragment that stores the first half of the rows that previously were contained in dbspace2. The fragment in dbspace2 contains the second half of the rows that it previously stored.

To implement the new distribution scheme, first create the dbspace dbspace2a and then execute the following statement:

```
ALTER FRAGMENT ON TABLE account INIT
  FRAGMENT BY EXPRESSION
    acc_num <= 1120 in dbspace1,
    acc_num > 1120 and acc_num <= 1500 in dbspace2a,
    acc_num > 1500 and acc_num < 2000 in dbspace2,
    REMAINDER IN dbspace3;
```

As soon as you execute this statement, the database server discards the old fragmentation strategy, and the rows that the table contains are redistributed according to the new fragmentation strategy.

You can also use the INIT clause of ALTER FRAGMENT to perform the following actions:

- Convert a single nonfragmented table into a fragmented table
- Convert a fragmented table into a nonfragmented table
- Convert a table fragmented by any strategy to any other fragmentation strategy

For more information, see the ALTER FRAGMENT statement in the *IBM Informix: Guide to SQL Syntax*.

Modifying Fragmentation Strategies for Dynamic Server

Dynamic Server allows you to use the ADD, DROP, and MODIFY clauses to change the fragmentation strategy on a table or index. For syntax information about these options, see the ALTER FRAGMENT statement in the *IBM Informix: Guide to SQL Syntax*.

Using the ADD Clause

When you define a fragmentation strategy, you might need to add one or more fragments. You can use the ADD clause of the ALTER FRAGMENT statement to add a new fragment to a table. Suppose that you want to add a fragment to a table that you create with the following statement:

```
CREATE TABLE sales (acc_num INT, ...)
    FRAGMENT BY ROUND ROBIN IN dbspace1, dbspace2, dbspace3;
```

To add a new fragment **dbspace4** to the table **sales**, execute the following statement:

```
ALTER FRAGMENT ON TABLE sales ADD dbspace4;
```

If the fragmentation strategy is expression based, the ADD clause of ALTER FRAGMENT contains options to add a dbspace before or after an existing dbspace.

Using the DROP Clause

When you define a fragmentation strategy, you might need to drop one or more fragments. With Dynamic Server, you can use the DROP clause of the ALTER FRAGMENT ON TABLE statement to drop a fragment from a table. Suppose you want to drop a fragment from a table that you create with the following statement:

```
CREATE TABLE sales (col_a INT), ...)
    FRAGMENT BY ROUND ROBIN IN dbspace1, dbspace2, dbspace3;
```

The following ALTER FRAGMENT statement uses a DROP clause to drop the third fragment **dbspace3** from the **sales** table:

```
ALTER FRAGMENT ON TABLE sales DROP dbspace3;
```

When you issue this statement, all the rows in **dbspace3** are moved to the remaining dbspaces, **dbspace1** and **dbspace2**.

Using the MODIFY Clause

Use the ALTER FRAGMENT statement with the MODIFY clause to modify one or more of the expressions in an existing fragmentation strategy.

Suppose that you initially create the following fragmented table:

```
CREATE TABLE account (acc_num INT, ...)
  FRAGMENT BY EXPRESSION
    acc_num <= 1120 IN dbspace1,
    acc_num > 1120 AND acc_num < 2000 IN dbspace2,
    REMAINDER IN dbspace3;
```

When you execute the following ALTER FRAGMENT statement, you ensure that no account numbers with a value less than or equal to zero are stored in the fragment that dbspace1 contains:

```
ALTER FRAGMENT ON TABLE account
  MODIFY dbspace1 TO acc_num > 0 AND acc_num <=1120;
```

You cannot use the MODIFY clause to alter the number of fragments that your distribution scheme contains. Use the INIT or ADD clause of ALTER FRAGMENT instead.

Modifying Fragmentation Strategies for XPS

Extended Parallel Server supports the following options for the ALTER FRAGMENT ON TABLE statement:

- ATTACH clause
- DETACH clause
- INIT clause

Tables that use HASH fragmentation support only the INIT option.

Extended Parallel Server does not support the DROP or MODIFY options, the ALTER FRAGMENT ON INDEX statement or explicit rowids columns. To handle drop or modify operations, you can use the supported options in place of DROP and MODIFY.

Using the INIT Clause

If changes to a fragmentation strategy require data movement, you can specify the INIT clause with an ALTER FRAGMENT ON TABLE statement. When you use the INIT clause, the database server creates a copy of the table with the new fragmentation scheme and inserts rows from the original table into the new table.

Suppose you create the following **prod_info** table that distributes fragments by hash on the **id** column because your queries typically use an equality search on the **id** column:

```
CREATE TABLE prod_info
  (id      INT,
   color  INT,
   details CHAR(100))
  FRAGMENT BY HASH(id) IN dbs1;
```


Suppose at some point you recognize a need to perform other important queries that specify **color** column values but not **id** values. To handle this type of scenario, you might modify the data layout of the **prod_info** table to allow for better fragment elimination. The following ALTER FRAGMENT statement shows how you might use an INIT clause to change from a hash to a hybrid distribution scheme:

```
ALTER FRAGMENT ON TABLE prod_info INIT
  FRAGMENT BY HYBRID(id)
  EXPRESSION color = 1 IN dbs1, color = 2 IN dbs12, ...
  REMAINDER IN dbs18;
```

Using ATTACH and DETACH Clauses

If you need to move data, you can use an ALTER FRAGMENT statement with the INIT clause. Otherwise, you can use ALTER FRAGMENT with the following options to modify the expression of an existing fragment:

- Use the DETACH clause to remove the fragment whose expression you want to modify.
- Use the ATTACH clause to reattach the fragment with the new expression.

Suppose that you initially create the following fragmented table:

```
CREATE TABLE account (acc_num INT, ...)
  FRAGMENT BY EXPRESSION
  acc_num <= 1120 IN dbspace1,
  acc_num > 1120 AND acc_num < 2000 IN dbspace2,
  REMAINDER IN dbspace3;
```

The following statements modify the fragment that dbspace1 contains to ensure that no account numbers with a value less than or equal to zero are stored in the fragment:

```
ALTER FRAGMENT ON TABLE account DETACH dbspace1 det_tab;
CREATE TABLE new_tab (acc_num INT, ...)
  FRAGMENT BY EXPRESSION
  acc_num > 0 AND acc_num <=1120 IN dbspace1;
ALTER FRAGMENT ON TABLE account ATTACH account, new_tab;
INSERT INTO account SELECT * FROM det_tab;
DROP TABLE det_tab;
```

Important: You cannot use the ALTER TABLE statement with an ATTACH clause or DETACH clause when the table has hash fragmentation. However, you can use the ALTER TABLE statement with an INIT clause on tables with hash fragmentation.

Using the ATTACH Clause to Add a Fragment: You can use the ATTACH clause of the ALTER FRAGMENT ON TABLE statement to add a fragment from a table. Suppose that you want to add a fragment to a table that you create with the following statement:

```
CREATE TABLE sales (acc_num INT, ...)
  FRAGMENT BY ROUND ROBIN IN dbspace1, dbspace2, dbspace3
```

To add a new fragment **dbspace4** to the **sales** table, you first create a new table with a structure identical to **sales** that specifies the new fragment. You then use an **ATTACH** clause with the **ALTER FRAGMENT** statement to add the new fragment to the table. The following statements add a new fragment to the **sales** table:

```
CREATE TABLE new_tab (acc_num INT, ...) IN dbspace4;
ALTER FRAGMENT ON TABLE sales ATTACH sales, new_tab;
```

After you execute the **ATTACH** clause, the database server fragments the **sales** table into four **dbspaces**: the three **dbspaces** of **sales** and the **dbspace** of **new_tab**. The **new_tab** table is consumed.

Using the DETACH Clause to Drop a Fragment: You can use the **DETACH** clause of the **ALTER FRAGMENT ON TABLE** statement to drop a fragment from a table. Suppose that you want to drop a fragment from a table that you create with the following statement:

```
CREATE TABLE sales (acc_num INT)...
  FRAGMENT BY EXPRESSION
    acc_num <= 1120 IN dbspace1,
    acc_num > 1120 AND acc_num <= 2000 IN dbspace2,
    acc_num > 2000 AND acc_num < 3000 IN dbspace3,
    REMAINDER IN dbspace4;
```

To drop the third fragment **dbspace3** from the **sales** table without losing any data, execute the following statements:

```
ALTER FRAGMENT ON TABLE sales DETACH dbspace3 det_tab;
INSERT INTO sales SELECT * FROM det_tab;
DROP TABLE det_tab;
```

The **ALTER FRAGMENT** statement detaches **dbspace3** from the distribution scheme of the **sales** table and places the rows in a new table **det_tab**. The **INSERT** statement reinserts rows previously in **dbspace3** into the new **sales** table, which now has three fragments: **dbspace1**, **dbspace2**, and **dbspace4**. The **DROP TABLE** statement drops the **det_tab** table because it is no longer needed.

Granting and Revoking Privileges on Fragments (IDS)

You need a strategy to control data distribution if you want to grant useful fragment privileges. One effective strategy is to fragment data records by expression. The round-robin data-record distribution strategy, on the other hand, is not a useful strategy because each new data record is added to the next fragment. A round-robin distribution **NULLifies** any clean method of tracking data distribution and therefore eliminates any real use of fragment

authority. Because of this difference between expression-based distribution and round-robin distribution, the GRANT FRAGMENT and REVOKE FRAGMENT statements apply only to tables that have expression-based fragmentation.

When you create a fragmented table, no default fragment authority exists. Use the GRANT FRAGMENT statement to grant insert, update, or delete authority on one or more of the fragments. If you want to grant all three privileges at once, use the ALL keyword of the GRANT FRAGMENT statement. However, you cannot grant fragment privileges by merely naming the table that contains the fragments. You must name the specific fragments.

When you want to revoke insert, update, or delete privileges, use the REVOKE FRAGMENT statement. This statement revokes privileges from one or more users on one or more fragments of a fragmented table. If you want to revoke all privileges that currently exist for a table, you can use the ALL keyword. If you do not specify any fragments in the command, the permissions being revoked apply to all fragments in the table that currently have permissions.

For more information, see the GRANT FRAGMENT, REVOKE FRAGMENT, and SET statements in the *IBM Informix: Guide to SQL Syntax*.

Chapter 6. Granting and Limiting Access to Your Database

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In This Chapter

This chapter describes how you can control access to your database. In some databases, all data is accessible to every user. In others, some users are denied access to some or all the data.

Using SQL to Restrict Access to Data

You can restrict access to data at the following levels:

- You can use the GRANT and REVOKE statements to give or deny access to the database or to specific tables, and you can control the kinds of uses that people can make of the database.
- You can use the CREATE PROCEDURE or CREATE FUNCTION statement to write and compile a user-defined routine, which controls and monitors the users who can read, modify, or create database tables.
- You can use the CREATE VIEW statement to prepare a restricted or modified view of the data. The restriction can be vertical, which excludes certain columns, or horizontal, which excludes certain rows, or both.
- You can combine GRANT and CREATE VIEW statements to achieve precise control over the parts of a table that a user can modify and with what data.
- With Dynamic Server, you can use the SET ENCRYPTION PASSWORD statement and built-in encryption and decryption functions of SQL to implement column-level encryption of sensitive data. Unauthorized users who succeed in viewing an encrypted character, BLOB, or CLOB column value cannot recover the plain text of your data without the DES or triple-DES encryption key, which is not stored in the database.

Controlling Access to Databases

The normal database-privilege mechanisms are based on the GRANT and REVOKE statements, which “Granting Privileges” on page 6-3 discusses. You can sometimes use the facilities of the operating system, however, as an additional way to control access to a database.

No matter what access controls the operating system gives you, when the contents of an entire database are highly sensitive, you might not want to leave it on a public disk that is fixed to the computer. You can circumvent normal software controls when the data must be secure.

When you or another authorized person is not using the database, it does not have to be available online. You can make it inaccessible in one of the following ways, which have varying degrees of inconvenience:

- Detach the physical medium from the computer and take it away. If the disk itself is not removable, the disk drive might be removable.
- Copy the database directory to tape and take possession of the tape.
- Use an encryption utility to copy the database files. Keep only the encrypted version.

Important: In the latter two cases, after making the copies, you must remember to erase the original database files with a program that overwrites an erased file with NULL data.

Instead of removing the entire database directory, you can copy and then erase the files that represent individual tables. Do not overlook the fact that index files contain copies of the data from the indexed column or columns. Remove and erase the index files as well as the table files.

Granting Privileges

The authorization to use a database is called an *access privilege*. For example, the authorization to use a database is called the Connect privilege; authorization to insert a row into a table is called the Insert privilege. Use the GRANT statement to grant privileges on a database, table, view, or procedure, or to grant a role to a user or another role. Use the REVOKE statement to revoke privileges on a database or database object, or to revoke a role from a user or from another role.

A *role* is a classification of access privileges that the DBA assigns, such as **payroll**. After a role is created with the CREATE ROLE statement, the DBA can use the GRANT statement to assign access privileges to the role, and to assign the role to individual users (or to other roles), so that users with similar work tasks can hold the set of access privileges that their work tasks require. By assigning privileges to roles and roles to users, you can simplify the management of privileges. See also “External Routines” on page 6-12 and “Using Roles” on page 6-14 for additional information about the role of roles in managing access privileges.

The following groups of privileges control the actions a user can perform on data and on database objects:

- Database-level privileges
- Ownership privileges
- Table-level privileges
- Column-level privileges

- Type-level privileges
- Routine-level privileges
- Language-level privileges

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- Automating privileges

For the syntax of the GRANT and REVOKE statements, see the *IBM Informix: Guide to SQL Syntax*.

Database-Level Privileges

The three levels of database privileges provide an overall means of controlling who accesses a database. Only individual users, not roles, can hold database-level privileges

Connect Privilege

The least of the privilege levels is Connect, which gives a user the basic ability to query and modify tables. Users with the Connect privilege can perform the following functions:

- Execute the SELECT, INSERT, UPDATE, and DELETE statements, provided that they have the necessary table-level privileges.
- Execute an SPL routine, provided that they have the necessary table-level privileges.
- Create views, provided that they are permitted to query the tables on which the views are based.
- Create temporary tables and create indexes on the temporary tables.

Before users can access a database, they must have the Connect privilege. Ordinarily, in a database that does not contain highly sensitive or private data, you give the GRANT CONNECT TO PUBLIC privilege shortly after you create the database.

If you do not grant the Connect privilege to PUBLIC, the only users who can access the database through the database server are those to whom you specifically grant the Connect privilege. If limited users should have access, this privilege lets you provide it to them and deny it to all others.

Users and the Public: Privileges are granted to single users by name or to all users under the name of PUBLIC. Any privileges granted to PUBLIC serve as default privileges.

Prior to executing a statement, the database server determines whether a user has the necessary privileges. The information is in the system catalog. For more information, see “Privileges in the System Catalog Tables” on page 6-7.

The database server looks first for privileges that are granted specifically to the requesting user. If it finds such a grant, it uses that information. It then checks to see if less restrictive privileges were granted to PUBLIC. If they were, the database server uses the less restrictive privileges. If no grant has been made to that user, the database server looks for privileges granted to PUBLIC. If it finds a relevant privilege, it uses that one.

Thus, to set a minimum level of privilege for all users, grant privileges to PUBLIC. You can override that, in specific cases, by granting higher individual privileges to users.

Resource Privilege

The Resource privilege carries the same authorization as the Connect privilege. In addition, users with the Resource privilege can create new, permanent tables, indexes, and SPL routines, thus permanently allocating disk space.

Database-Administrator Privilege

The highest level of database privilege is database administrator, or DBA. When you create a database, you are automatically the DBA. Holders of the DBA privilege can perform the following functions:

- Execute the DROP DATABASE, START DATABASE, and ROLLFORWARD DATABASE statements.
- Drop or alter any object regardless of who owns it.
- Create tables, views, and indexes to be owned by other users.
- Grant database privileges, including the DBA privilege, to another user.

In releases of Dynamic Server earlier than Version 10.0, the DBA could execute DML and DDL statements that directly modified rows in tables of the system catalog. In this release, however, only user **informix** can modify system catalog tables directly. But if you are user **informix**, IBM strongly recommends that you refrain from modifying the contents or schema of any system catalog table, because such actions can destroy the integrity of the database.

Ownership Rights

The database, and every table, view, index, procedure, and synonym in it, has an owner. The owner of an object is usually the person who created it, although a user with the DBA privilege can create objects to be owned by others.

The owner of a database object has all rights to that object and can alter or drop it without additional privileges.

For Generalized Key (GK) indexes of Extended Parallel Server, ownership rights are handled somewhat differently than they are for other objects. Any

table that appears in the FROM clause of a GK index cannot be dropped until that GK index is dropped, even when someone other than the creator of the table creates the GK index. For more information, refer to “Using GK Indexes in a Data-Warehousing Environment” on page 12-13.

Table-Level Privileges

You can apply seven privileges, table by table, to allow nonowners the privileges of owners. Four of them, the Select, Insert, Delete, and Update privileges, control DML access to data in the table. The Index privilege controls index creation. The Alter privilege gives authorization to change the table definition. The References privilege gives authorization to specify referential constraints on a table.

In an ANSI-compliant database, only the table owner has any privileges. In other databases, the database server, as part of creating a table, automatically grants to PUBLIC all table privileges except Alter and References, unless the **NODEFDAC** environment variable has been set to ‘yes’ to withhold all table privileges from PUBLIC. When you allow the database server to automatically grant all table privileges to PUBLIC, a newly created table is accessible to any user with the Connect privilege. If this is not what you want (if users exist with the Connect privilege who should not be able to access this table), you must revoke all privileges on the table from PUBLIC after you create the table.

Access Privileges

Four privileges govern how users can access a table. As the owner of the table, you can grant or withhold the following privileges independently:

- Select allows selection, including selecting into temporary tables.
- Insert allows a user to add new rows.
- Update allows a user to modify existing rows.
- Delete allows a user to delete rows.

The Select privilege is necessary for a user to retrieve the contents of a table. However, the Select privilege is not a precondition for the other privileges. A user can have Insert or Update privileges without having the Select privilege.

For example, your application might have a usage table. Every time a certain program is started, it inserts a row into the usage table to document that it was used. Before the program terminates, it updates that row to show how long it ran and perhaps to record counts of work its user performs.

If you want any user of the program to be able to insert and update rows in this usage table, grant Insert and Update privileges on it to PUBLIC. However, you might grant the Select privilege to only a few users.

Privileges in the System Catalog Tables: Privileges are recorded in the system catalog tables. Any user with the Connect privilege can query the system catalog tables to determine what privileges are granted and to whom.

Database privileges are recorded in the **sysusers** system catalog table, in which the primary key is user ID, and the only other column contains a single character C, R, or D for the privilege level. A grant to the keyword of PUBLIC is reflected as a user name of PUBLIC (lowercase).

Table-level privileges are recorded in **systabauth**, which uses a composite primary key of the table number, grantor, and grantee. In the **tabauth** column, the privileges are encoded in the list as follows.

| Code | Meaning |
|-------------|----------------------|
| s | unconditional select |
| u | update |
| - | ungranted privileges |
| i | insert |
| d | delete |
| x | index |
| a | alter |
| r | references |

A hyphen means an ungranted privilege, so that a grant of all privileges is shown as `su-idxr`, and `-u-----` shows a grant of only Update. The code letters are normally lowercase, but they are uppercase when the keywords WITH GRANT OPTION are used in the GRANT statement.

When an asterisk (*) appears in the third position, some column-level privilege exists for that table and grantee. The specific privilege is recorded in **syscolauth**. Its primary key is a composite of the table number, the grantor, the grantee, and the column number. The only attribute is a three-letter list that shows the type of privilege: s, u, or r.

Index, Alter, and References Privileges

The Index privilege permits its holder to create and alter indexes on the table. The Index privilege, similar to the Select, Insert, Update, and Delete privileges, is granted automatically to PUBLIC when you create a table.

You can grant the Index privilege to anyone, but to exercise the privilege, the user must also hold the Resource database privilege. So, although the Index privilege is granted automatically (except in ANSI-compliant databases), users who have only the Connect privilege to the database cannot exercise their Index privilege. Such a limitation is reasonable because an index can fill a large amount of disk space.

The Alter privilege permits its holder to use the ALTER TABLE statement on the table, including the power to add and drop columns, reset the starting point for SERIAL columns, and so on. You should grant the Alter privilege only to users who understand the data model well and whom you trust to exercise their power carefully.

The References privilege allows you to impose referential constraints on a table. As with the Alter privilege, you should grant the References privilege only to users who understand the data model well.

Under Privileges for Typed Tables (IDS)

You can grant or revoke the Under privilege to control whether users can use a typed table as a supertable in an inheritance hierarchy. The Under privilege is granted to PUBLIC automatically when a table is created (except in ANSI-compliant databases). In an ANSI-compliant database, the Under privilege on a table is granted to the owner of the table. To restrict which users can define a table as a supertable in an inheritance hierarchy, you must first revoke the Under privilege for PUBLIC and then specify the users to whom you want to grant the Under privilege. For example, to specify that only a limited group of users can use the **employee** table as a supertable in an inheritance hierarchy, you might execute the following statements:

```
REVOKE UNDER ON employee
  FROM PUBLIC;
```

```
GRANT UNDER ON employee
  TO johns, cmiles, paulz
```

For information about how to use the UNDER clause to create tables in an inheritance hierarchy, see “Table Inheritance” on page 9-7.

Privileges on Table Fragments (IDS)

Use the GRANT FRAGMENT statement to grant insert, update, and delete privileges on individual fragments of a fragmented table. The GRANT FRAGMENT statement is valid only for tables that are fragmented with expression-based distribution schemes.

Suppose you create a **customer** table that is fragmented by expression into three fragments, which reside in the dbspaces **dbsp1**, **dbsp2**, and **dbsp3**. The following statement shows how to grant insert privileges on the first two fragments only (**dbsp1** and **dbsp2**) to users **jones**, **reed**, and **mathews**.

```
GRANT FRAGMENT INSERT ON customer (dbsp1, dbsp2)
  TO jones, reed, mathews
```

To grant privileges on all fragments of a table, use the GRANT statement or the GRANT FRAGMENT statement.

For information on the GRANT FRAGMENT and REVOKE FRAGMENT statements, see the *IBM Informix: Guide to SQL Syntax*.

Column-Level Privileges

You can qualify the Select, Update, and References privileges with the names of specific columns. Naming specific columns allows you to grant specific access to a table. You can permit a user to see only certain columns, to update only certain columns, or to impose referential constraints on certain columns.

You can use the GRANT and REVOKE statements to grant or restrict access to table data. This feature solves the problem that only certain users should know the salary, performance review, or other sensitive attributes of an employee. Suppose a table of employee data is defined as the following example shows:

```
CREATE TABLE hr_data
(
  emp_key INTEGER,
  emp_name CHAR(40),
  hire_date DATE,
  dept_num SMALLINT,
  user-id CHAR(18),
  salary DECIMAL(8,2)
  performance_level CHAR(1),
  performance_notes TEXT
)
```

Because this table contains sensitive data, you execute the following statement immediately after you create it:

```
REVOKE ALL ON hr_data FROM PUBLIC
```

For selected persons in the Human Resources department, and for all managers, execute the following statement:

```
GRANT SELECT ON hr_data TO harold_r
```

In this way, you permit certain users to view all columns. (The final section of this chapter discusses a way to limit the view of managers to their employees only.) For the first-line managers who carry out performance reviews, you could execute a statement such as the following one:

```
GRANT UPDATE (performance_level, performance_notes)
  ON hr_data TO wallace_s, margot_t
```

This statement permits the managers to enter their evaluations of their employees. You would execute a statement such as the following one only for the manager of the Human Resources department or whomever is trusted to alter salary levels:

```
GRANT UPDATE (salary) ON hr_data to willard_b
```

For the clerks in the Human Resources department, you could execute a statement such as the following one:

```
GRANT UPDATE (emp_key, emp_name, hire_date, dept_num)
  ON hr_data TO marvin_t
```

This statement gives certain users the ability to maintain the nonsensitive columns but denies them authorization to change performance ratings or salaries. The person in the MIS department who assigns computer user IDs is the beneficiary of a statement such as the following one:

```
GRANT UPDATE (user_id) ON hr_data TO eudora_b
```

On behalf of all users who are allowed to connect to the database, but who are not authorized to see salaries or performance reviews, execute statements such as the following one to permit them to see the nonsensitive data:

```
GRANT SELECT (emp_key, emp_name, hire_date, dept_num, user-id)
  ON hr_data TO george_b, john_s
```

These users can perform queries such as the following one:

```
SELECT COUNT(*) FROM hr_data WHERE dept_num IN (32,33,34)
```

However, any attempt to execute a query such as the following one produces an error message and no data:

```
SELECT performance_level FROM hr_data
  WHERE emp_name LIKE '*Smythe'
```

Type-Level Privileges

Dynamic Server supports user-defined data types (UDTs). When a user-defined data type is created, only the DBA or owner of the data type can grant or revoke type-level privileges that control who can use the UDT.

Dynamic Server supports the following type-level privileges:

- Usage privilege, which is authorization to use a user-defined data type
- Under privilege, which is the authorization to define a named ROW type as a supertype within an inheritance hierarchy

Usage Privileges for User-Defined Types

To control who can use an opaque type, distinct type, or named row type, specify the Usage privilege on the data type. The Usage privilege allows the DBA or owner of the type to restrict a user's ability to assign a data type to a column, program variable (or table or view for a named row type), or assign a cast to the data type. The Usage privilege is granted to PUBLIC automatically when a data type is created (except in ANSI-compliant databases). In an ANSI-compliant database, the Usage privilege on a data type is granted to the owner of the data type.

To limit who can use an opaque, distinct, or named row type, you must first revoke the Usage privilege for PUBLIC and then specify the names of the users to whom you want to grant the Usage privilege. For example, to limit the use of a data type named **circle** to a group of users, you might execute the following statements:

```
REVOKE USAGE ON circle
  FROM PUBLIC;

GRANT USAGE ON circle
  TO dawn, steve, terry, camber;
```

Under Privileges for Named Row Types

For named row types, you can grant or revoke the Under privilege, which controls whether users can assign a named row type as the supertype of another named row type in an inheritance hierarchy. The Under privilege is granted to PUBLIC automatically when a named row type is created (except in ANSI-compliant databases). In an ANSI-compliant database, the Under privilege on a named row type is granted to the owner of the type.

To restrict certain users' ability to define a named row type as a supertype in an inheritance hierarchy, you must first revoke the Under privilege for PUBLIC and then specify the names of the users to whom you want to grant the Under privilege. For example, to specify that only a limited group of users can use the named row type **person_t** as a supertype in an inheritance hierarchy, you might execute the following statements:

```
REVOKE UNDER ON person_t
  FROM PUBLIC;

GRANT UNDER ON person_t
  TO howie, jhana, alison
```

For information about how to use the UNDER clause to create named row types in an inheritance hierarchy, see "Type Inheritance" on page 9-2.

Routine-Level Privileges

You can apply the Execute privilege on a user-defined routine (UDR) to authorize nonowners to execute the UDR. If you create a UDR in a database that is not ANSI compliant, the default routine-level privilege is PUBLIC; you do not need to grant the Execute privilege to specific users unless you have first revoked it. If you create a routine in an ANSI-compliant database, no other users have the Execute privilege by default; you must grant specific users the Execute privilege. The following example grants the Execute privilege to the user **orion** so that **orion** can use the UDR that is named **read_address**:

```
GRANT EXECUTE ON read_address TO orion;
```

The **sysprocauth** system catalog table records routine-level privileges. The **sysprocauth** system catalog table uses a primary key of the routine number, grantor, and grantee. In the **procauth** column, the execute privilege is indicated by a lowercase *e*. If the execute privilege was granted with the WITH GRANT option, the privilege is represented by an uppercase *E*.

For more information on routine-level privileges, see the *IBM Informix: Guide to SQL Tutorial*.

Language-Level Privileges

Dynamic Server supports UDRs written in the built-in Stored Procedure Language (SPL) and also UDRs (referred to as *external routines*) that are written the C and Java languages. To create any UDR, a user must have RESOURCE privileges in the database. In addition, to create a UDR in the SPL language, a user must also hold the Usage privilege on the SPL language.

SPL Routines

By default, language usage privilege on SPL is granted to user **informix** and to users who hold the DBA privilege. Only user **informix**, however, can grant language usage privileges to other users. Users with the DBA privilege hold language usage privileges, but cannot grant these privileges to other users. Usage privilege to create SPL routines is granted to PUBLIC by default.

The following statement shows how user **informix** might revoke from PUBLIC but grant to users **mays**, **jones**, and **freeman** permission to create UDRs in SPL:

```
REVOKE USAGE ON LANGUAGE SPL FROM PUBLIC
GRANT USAGE ON LANGUAGE SPL TO mays, jones, freeman
```

Suppose the default Usage privileges on an SPL routine have been revoked from PUBLIC. The following statement shows how a user with the DBA privilege might grant Usage privilege to register SPL routines to users **franklin**, **reeves**, and **wilson**:

```
GRANT USAGE ON LANGUAGE SPL TO franklin, reeves, wilson
```

External Routines

This release of Dynamic Server does not support language-level privileges on external routines that are written in the C or Java language. When the IFX_EXTEND_ROLE configuration parameter to ON, however, equivalent functionality is provided through the built-in EXTEND role, which is required for any user to register, drop, or replace a UDR or a DataBlade module that is written in the C or Java language.

Only the Database Server Administrator (DBSA), by default user **informix**, can grant the EXTEND role. Unlike other roles, it is not necessary to activate the EXTEND role with the SET ROLE statement, nor to assign privileges to

the EXTEND role with the GRANT statement. When this feature is enabled, however, only users who hold this role can create or drop an external UDR or a DataBlade module.

The DBSA also has the option of disabling this restriction by setting the IFX_EXTEND_ROLE configuration parameter to OFF, or to leave it unset. In this case, any user who holds the RESOURCE privilege on the database can create a UDR written in the C or Java language.

Automating Privileges

This design might seem to force you to execute a tedious number of GRANT statements when you first set up the database. Furthermore, privileges require constant maintenance, as people change jobs. For example, if a clerk in Human Resources is terminated, you want to revoke the Update privilege as soon as possible, otherwise the unhappy employee might execute a statement such as the following one:

```
UPDATE hr_data
  SET (emp_name, hire_date, dept_num) = (NULL, NULL, 0)
```

Less dramatic, but equally necessary, privilege changes are required daily, or even hourly, in any model that contains sensitive data. If you anticipate this need, you can prepare some automated tools to help maintain privileges.

Your first step should be to specify privilege classes that are based on the jobs of the users, not on the structure of the tables. For example, a first-line manager needs the following privileges:

- The Select and limited Update privileges on the hypothetical **hr_data** table
- The Connect privilege to this and other databases
- Some degree of privilege on several tables in those databases

When a manager is promoted to a staff position or sent to a field office, you must revoke all those privileges and grant a new set of privileges.

Define the privilege classes you support, and for each class specify the databases, tables, and columns to which you must give access. Then devise two automated routines for each class, one to grant the class to a user and one to revoke it.

Automating with a Command Script

Your operating system probably supports automatic execution of command scripts. In most operating environments, interactive SQL tools such as DB–Access accept commands and SQL statements to execute from the command line. You can combine these two features to automate privilege maintenance.

The details depend on your operating system and the version of the interactive SQL tool that you are using. You must create a command script that performs the following functions:

- Takes a user ID whose privileges are to be changed as its parameter
- Prepares a file of GRANT or REVOKE statements customized to contain that user ID
- Invokes the interactive SQL tool (such as DB–Access) with parameters that tell it to select the database and execute the prepared file of GRANT or REVOKE statements

In this way, you can reduce the change of the privilege class of a user to one or two commands.

Using Roles

Another way to avoid the difficulty of changing user privileges on a case-by-case basis is to use roles. The concept of a role in the database environment is similar to the group concept in an operating system. A role is a database feature that lets the DBA standardize and change the privileges of many users by treating them as members of a class.

For example, you can create a role called *news_mes* that grants connect, insert, and delete privileges for the databases that handle company news and messages. When a new employee arrives, you need only add that person to the role *news_mes*. The new employee acquires the privileges of the role *news_mes*. This process also works in reverse. To change the privileges of all the members of *news_mes*, change the privileges of the role.

Creating a Role: To start the role creation process, determine the name of the role and the connections and privileges that you want to grant to users who hold that role. Although the connections and privileges are strictly in your domain, you need to consider some factors when you declare the name of a new role. Do not use any of the following SQL keywords as role names:

| | | | |
|---------|---------|--------|------------|
| alter | delete | insert | references |
| connect | execute | none | resource |
| DBA | extend | null | select |
| default | index | public | update |

A role name must be different from existing role names in the database. A role name must also be different from user names that are known to the operating system, including network users known to the server computer. To make sure your role name is unique, check the names of the users in the shared memory structure who are currently using the database as well as the following system catalog tables:

- **sysusers**
- **systabauth**
- **syscolauth**
- **sysfragauth**
- **sysprocauth**
- **sysfragauth**
- **sysroleauth**
- **sysxdttypeauth**

When the situation is reversed and you are adding a user to the database, check that the user name is not the same as any of the existing role names.

After you approve the role name, use the CREATE ROLE statement to create a new role. After the role is created, all privileges for role administration are, by default, given to the DBA.

Important: The scope of a role is the current database only, so when you execute a SET ROLE statement, the role is set in the current database only.

Manipulating User Privileges and Granting Roles to Other Roles: As DBA, you can use the GRANT statement to grant role privileges to users. You can also give a user the option to grant privileges to other users. Use the WITH GRANT OPTION clause of the GRANT statement to do this. You can also use the WITH GRANT OPTION clause when granting privileges to roles as in this example:

```
GRANT rol1 TO usr1 WITH GRANT OPTION;
```

When you grant role privileges, you can substitute a role name for the user name in the GRANT statement. You can grant a role to another role. For example, say that role A is granted to role B. When a user enables role B, the user gets privileges from both role A and role B.

However, a cycle of role granting cannot be transitive. If role A is granted role B, and role B is granted role C, then granting C to A returns an error.

If you need to change privileges, use the REVOKE statement to delete the existing privileges and then use the GRANT statement to add the new privileges.

Enabling Default Roles and Non-default Roles: After the DBA grants privileges and adds users to a role, there are two possible ways to enable roles.

- The DBSA can specify a *default role* for PUBLIC or for individual users by using the GRANT DEFAULT ROLE statement. This role is automatically activated as the initial role setting when the user connects to the database.
- Any role that a user holds can also be activated when the user specifies that role in the SET ROLE statement.

When a role is enabled, all privileges that have been granted to the role become available, as well as all privileges explicitly granted to you or to PUBLIC.

Assigning privileges to a role, and then granting that role as the default role to specified users is convenient for sessions in which those users run an application that requires a specific set of access privileges. Use default roles when it is impractical to recompile an application to include GRANT and SET ROLE statements that specifically assign to users the necessary access privileges.

Confirming Membership In Roles and Dropping Roles: You can find yourself in a situation where you are uncertain which user is included in a role. Perhaps you did not create the role, or the person who created the role is not available. Issue queries against the **sysroleauth** and **sysusers** system catalog tables to find who is authorized for which table and how many roles exist.

After you determine which users hold which roles, you might discover that some roles are no longer useful. To remove a role, use the DROP ROLE statement. Before you remove a role, the following conditions must be met:

- Only roles that are listed in the **sysusers** system catalog table as a role can be destroyed, but you cannot drop a built-in role (such as NONE or EXTEND).
- You must have DBA privileges, or you must be given the grantable option in the role to drop a role.

Determining Current Role at Runtime

If you experience unexpected privilege errors with a role that was granted appropriate privileges, make sure that the role was enabled during runtime. To obtain this information while you are connected to the database, you can use the **onstat -g sql** or **onstat -g ses** command, or you can call the **CURRENT_ROLE()** function or the **DEFAULT_ROLE()** function of SQL.

Using SPL Routines to Control Access to Data

You can use an SPL routine to control access to individual tables and columns in the database. Use a routine to accomplish various degrees of access control. A powerful feature of SPL is the ability to designate an SPL routine as a DBA-privileged routine. When you write a DBA-privileged routine, you can

allow users who have few or no table privileges to have DBA privileges when they execute the routine. In the routine, users can carry out specific tasks with their temporary DBA privilege. The DBA-privileged routine lets you accomplish the following tasks:

- You can restrict how much information individual users can read from a table.
- You can restrict all the changes that are made to the database and ensure that entire tables are not emptied or changed accidentally.
- You can monitor an entire class of changes made to a table, such as deletions or insertions.
- You can restrict all object creation (data definition) to occur within an SPL routine so that you have complete control over how tables, indexes, and views are built.

For information about routines in SPL, see the *IBM Informix: Guide to SQL Tutorial*.

Restricting Data Reads

The routine in the following example hides the SQL syntax from users, but it requires that users have the Select privilege on the **customer** table. If you want to restrict what users can select, write your routine to work in the following environment:

- You are the DBA of the database.
- The users have the Connect privilege to the database. They do not have the Select privilege on the table.
- You use the DBA keyword to create the SPL routine (or set of SPL routines).
- Your SPL routine (or set of SPL routines) reads from the table for users.

If you want users to read only the name, address, and telephone number of a customer, you can modify the procedure as the following example shows:

```
CREATE DBA PROCEDURE read_customer(cnum INT)
RETURNING CHAR(15), CHAR(15), CHAR(18);

DEFINE p_lname,p_fname CHAR(15);
DEFINE p_phone CHAR(18);

SELECT fname, lname, phone
      INTO p_fname, p_lname, p_phone
      FROM customer
      WHERE customer_num = cnum;

RETURN p_fname, p_lname, p_phone;

END PROCEDURE;
```

Restricting Changes to Data

When you use SPL routines, you can restrict changes made to a table. Channel all changes through an SPL routine. The SPL routine makes the changes, rather than users making the changes directly. If you want to limit users to deleting one row at a time to ensure that they do not accidentally remove all the rows in the table, set up the database with the following privileges:

- You are the DBA of the database.
- All the users have the Connect privilege to the database. They might have the Resource privilege. They do not have the Delete privilege (for this example) on the table being protected.
- You use the DBA keyword to create the SPL routine.
- Your SPL routine performs the deletion.

Write an SPL procedure similar to the following one, which uses a WHERE clause with the **customer_num** that the user provides, to delete rows from the **customer** table:

```
CREATE DBA PROCEDURE delete_customer(cnum INT)

DELETE FROM customer
    WHERE customer_num = cnum;

END PROCEDURE;
```

Monitoring Changes to Data

When you use SPL routines, you can create a record of changes made to a database. You can record changes that a particular user makes, or you can make a record each time a change is made.

You can monitor all the changes a single user makes to the database. Channel all changes through SPL routines that keep track of changes that each user makes. If you want to record each time the user **acctclrk** modifies the database, set up the database with the following privileges:

- You are the DBA of the database.
- All other users have the Connect privilege to the database. They might have the Resource privilege. They do not have the Delete privilege (for this example) on the table being protected.
- You use the DBA keyword to create an SPL routine.
- Your SPL routine performs the deletion and records that a certain user makes a change.

Write an SPL routine similar to the following example (for a UNIX platform), which uses a customer number the user provides to update a table. If the user happens to be **acctclrk**, a record of the deletion is put in the file **updates**.

```

CREATE DBA PROCEDURE delete_customer(cnum INT)

DEFINE username CHAR(8);

DELETE FROM customer
  WHERE customer_num = cnum;

IF username = 'acctclrk' THEN
  SYSTEM 'echo Delete from customer by acctclrk >>
/mis/records/updates' ;
END IF
END PROCEDURE;

```

To monitor all the deletions made through the procedure, remove the IF statement and make the SYSTEM statement more general. The following procedure changes the previous routine to record all deletions:

```

CREATE DBA PROCEDURE delete_customer(cnum INT)

DEFINE username CHAR(8);
LET username = USER ;
DELETE FROM tname WHERE customer_num = cnum;

SYSTEM
'echo Deletion made from customer table, by '||username
||'>>/hr/records/deletes';

END PROCEDURE;

```

Restricting Object Creation

To put restraints on what objects are built and how they are built, use SPL routines within the following setting:

- You are the DBA of the database.
- All the other users have the Connect privilege to the database. They do not have the Resource privilege.
- You use the DBA keyword to create an SPL routine (or set of SPL routines).
- Your SPL routine (or set of SPL routines) creates tables, indexes, and views in the way you define them. You might use such a routine to set up a training database environment.

Your SPL routine might include the creation of one or more tables and associated indexes, as the following example shows:

```

CREATE DBA PROCEDURE all_objects()

CREATE TABLE learn1 (intone SERIAL, inttwo INT NOT NULL,
  charcol CHAR(10) );
CREATE INDEX learn_ix ON learn1 (inttwo);
CREATE TABLE toys (name CHAR(15) NOT NULL UNIQUE,
  description CHAR(30), on_hand INT);
END PROCEDURE;

```

To use the **all_objects** procedure to control additions of columns to tables, revoke the Resource privilege on the database from all users. When users try to create a table, index, or view with an SQL statement outside your procedure, they cannot do so. When users execute the procedure, they have a temporary DBA privilege so the CREATE TABLE statement, for example, succeeds, and you are guaranteed that every column that is added has a constraint placed on it. In addition, objects that users create are owned by those users. For the **all_objects** procedure, whoever executes the procedure owns the two tables and the index.

Using Views

A *view* is a synthetic table. You can query it as if it were a table, and in some cases, you can update it as if it were a table. However, it is not a table. It is a synthesis of the data that exists in real tables and other views.

The basis of a view is a SELECT statement. When you create a view, you define a SELECT statement that generates the contents of the view at the time you access the view. A user also queries a view with a SELECT statement. In some cases, the database server merges the select statement of the user with the one defined for the view and then actually performs the combined statements. For information about the performance of views, see your *IBM Informix: Performance Guide*.

Because you write a SELECT statement that determines the contents of the view, you can use views for any of the following purposes:

- To restrict users to particular columns of tables
You name only permitted columns in the select list in the view.
- To restrict users to particular rows of tables
You specify a WHERE clause that returns only permitted rows.
- To constrain inserted and updated values to certain ranges
You can use the WITH CHECK OPTION (discussed on page 6-26) to enforce constraints.
- To provide access to derived data without having to store redundant data in the database
You write the expressions that derive the data into the select list in the view. Each time you query the view, the data is derived anew. The derived data is always up to date, yet no redundancies are introduced into the data model.
- To hide the details of a complicated SELECT statement
You hide complexities of a multitable join in the view so that neither users nor application programmers need to repeat them.

Creating Views

The following example creates a view based on a table in the **stores_demo** database:

```
CREATE VIEW name_only AS
SELECT customer_num, fname, lname FROM customer
```

The view exposes only three columns of the table. Because it contains no WHERE clause, the view does not restrict the rows that can appear.

The following example is based on the join of two tables:

```
CREATE VIEW full_addr AS
SELECT address1, address2, city, state.sname,
       zipcode, customer_num
FROM customer, state
WHERE customer.state = state.code
```

The table of state names reduces the redundancy of the database; it lets you store the full state names only once, which can be useful for long state names such as Minnesota. This **full_addr** view lets users retrieve the address as if the full state name were stored in every row. The following two queries are equivalent:

```
SELECT * FROM full_addr WHERE customer_num = 105
```

```
SELECT address1, address2, city, state.sname,
       zipcode, customer_num
FROM customer, state
WHERE customer.state = state.code AND customer_num = 105
```

However, be careful when you define views that are based on joins. Such views are not *modifiable*; that is, you cannot use them with UPDATE, DELETE, or INSERT statements. For a discussion about how to modify with views, see page 6-24.

The following example restricts the rows that can be seen in the view:

```
CREATE VIEW no_cal_cust AS
SELECT * FROM customer WHERE NOT state = 'CA'
```

This view exposes all columns of the **customer** table, but only certain rows. The following example is a view that restricts users to rows that are relevant to them:

```
CREATE VIEW my_calls AS
SELECT * FROM cust_calls WHERE user_id = USER
```

All the columns of the **cust_calls** table are available but only in those rows that contain the user IDs of the users who can execute the query.

Typed Views (IDS)

You can create a typed view when you want to distinguish between two views that display data of the same data type. For example, suppose you want to create two views on the following table:

```
CREATE TABLE emp
( name    VARCHAR(30),
  age     INTEGER,
  salary  INTEGER);
```

The following statements create two typed views, **name_age** and **name_salary**, on the **emp** table:

```
CREATE ROW TYPE name_age_t
( name  VARCHAR(20),
  age   INTEGER);
```

```
CREATE VIEW name_age OF TYPE name_age_t AS
  SELECT name, age FROM emp;
```

```
CREATE ROW TYPE name_salary_t
( name  VARCHAR(20),
  salary INTEGER);
```

```
CREATE VIEW name_salary OF TYPE name_salary_t AS
  SELECT name, salary FROM emp
```

When you create a typed view, the data that the view displays is of a named row type. For example, the **name_age** and **name_salary** views contain VARCHAR and INTEGER data. Because the views are typed, a query against the **name_age** view returns a column view of type **name_age** whereas a query against the **name_salary** view returns a column view of type **name_salary**. Consequently, the database server is able to distinguish between rows that the **name_age** and **name_salary** views return.

In some cases, a typed view has an advantage over an untyped view. For example, suppose you overload the function **myfunc()** as follows:

```
CREATE FUNCTION myfunc(aa name_age_t) .....;
CREATE FUNCTION myfunc(aa name_salary_t) .....;
```

Because the **name_age** and **name_salary** views are typed views, the following statements resolve to the appropriate **myfunc()** function:

```
SELECT myfunc(name_age) FROM name_age;
SELECT myfunc(name_salary) FROM name_salary;
```

You can also write the preceding SELECT statements using an alias for the table name:

```
SELECT myfunc(p) FROM name_age p;
SELECT myfunc(p) FROM name_salary p;
```

If two views that contain the same data types are not created as typed views, the database server cannot distinguish between the rows that the two views display. For more information about function overloading, see *IBM Informix: User-Defined Routines and Data Types Developer's Guide*.

Duplicate Rows from Views

A view might produce duplicate rows, even when the underlying table has only unique rows. If the view SELECT statement can return duplicate rows, the view itself can appear to contain duplicate rows.

You can prevent this problem in two ways. One way is to specify DISTINCT in the projection list in the view. However, when you specify DISTINCT, it is impossible to modify with the view. The alternative is to always select a column or group of columns that is constrained to be unique. (You can be sure that only unique rows are returned if you select the columns of a primary key or of a candidate key. Chapter 2 discusses primary and candidate keys.)

Restrictions on Views

Because a view is not really a table, it cannot be indexed, and it cannot be the object of such statements as ALTER TABLE and RENAME TABLE. You cannot rename the columns of a view with RENAME COLUMN. To change anything about the definition of a view, you must drop the view and re-create it.

Because it must be merged with the user's query, the SELECT statement on which a view is based cannot contain the following clauses or keywords:

- INTO TEMP The user's query might contain INTO TEMP; if the view also contains it, the data would not know where to go.
- ORDER BY The user's query might contain ORDER BY. If the view also contains it, the choice of columns or sort directions could be in conflict.

A SELECT statement on which you base a view can contain the UNION keyword. In such cases, the database server stores the view in an implicit temporary table where the unions are evaluated as necessary. The user's query uses this temporary table as a base table.

When the Basis Changes

The tables and views on which you base a view can change in several ways. The view automatically reflects most of the changes.

When you drop a table or view, any views in the same database that depend on it are automatically dropped.

The only way to alter the definition of a view is to drop and re-create it. Therefore, if you change the definition of a view on which other views depend, you must also re-create the other views (because they all are dropped).

When you rename a table, any views in the same database that depend on it are modified to use the new name. When you rename a column, views in the same database that depend on that table are updated to select the proper column. However, the names of columns in the views themselves are not changed. For an example, recall the following view on the **customer** table:

```
CREATE VIEW name_only AS
  SELECT customer_num, fname, lname FROM customer
```

Now suppose that you change the **customer** table in the following way:

```
RENAME COLUMN customer.lname TO surname
```

To select last names of customers directly, you must now select the new column name. However, the name of the column as seen through the view is unchanged. The following two queries are equivalent:

```
SELECT fname, surname FROM customer
```

```
SELECT fname, lname FROM name_only
```

When you drop a column to alter a table, views are not modified. If views are used, error -217 (Column not found in any table in the query) occurs. The reason views are not modified is that you can change the order of columns in a table by dropping a column and then adding a new column of the same name. If you do this, views based on that table continue to work. They retain their original sequence of columns.

The database server permits you to base a view on tables and views in external databases. Changes to tables and views in other databases are not reflected in views. Such changes might not be apparent until someone queries the view and gets an error because an external table changed.

Modifying with a View

You can modify views as if they were tables. Some views can be modified and others not, depending on their SELECT statements. The restrictions are different, depending on whether you use DELETE, UPDATE, or INSERT statements.

A view is *modifiable* if the SELECT statement that defined it did not contain any of the following items:

- A join of two or more tables
- An aggregate function or the GROUP BY clause

- The DISTINCT keyword or its synonym UNIQUE
- The UNION keyword

When a view avoids all these restricted features, each row of the view corresponds to exactly one row of one table.

Deleting with a View

You can use a DELETE statement on a modifiable view as if it were a table. The database server deletes the proper row of the underlying table.

Updating a View

You can use an UPDATE statement on a modifiable view. However, the database server does not support updating any derived column. A *derived* column is a column produced by an expression in the select list of the CREATE VIEW statement (for example, `order_date + 30`).

The following example shows a modifiable view that contains a derived column and an UPDATE statement that can be accepted against it:

```
CREATE VIEW response(user_id, received, resolved, duration) AS
  SELECT user_id, call_dtime, res_dtime, res_dtime - call_dtime
     FROM cust_calls
     WHERE user_id = USER;
```

```
UPDATE response SET resolved = TODAY
  WHERE resolved IS NULL;
```

You cannot update the duration column of the view because it represents an expression (the database server cannot, even in principle, decide how to distribute an update value between the two columns that the expression names). But as long as no derived columns are named in the SET clause, you can perform the update as if the view were a table.

A view can return duplicate rows even though the rows of the underlying table are unique. You cannot distinguish one duplicate row from another. If you update one of a set of duplicate rows (for example, if you use a cursor to update WHERE CURRENT), you cannot be sure which row in the underlying table receives the update.

Inserting into a View

You can insert rows into a view only if the view is modifiable *and* contains no derived columns. The reason for the second restriction is that an inserted row must provide values for all columns, but the database server cannot tell how to distribute an inserted value through an expression. An attempt to insert into the **response** view, as the previous example shows, would fail.

When a modifiable view contains no derived columns, you can insert into it as if it were a table. The database server, however, uses NULL as the value for

any column that is not exposed by the view. If such a column does not allow NULL values, an error occurs, and the insert fails.

Another mechanism for inserting rows (or performing UPDATE or DELETE operations) on Dynamic Server views, including complex views, is to create INSTEAD OF triggers, as described in the *IBM Informix Guide to SQL: Syntax*.

Using the WITH CHECK OPTION Keywords

You can insert into a view a row that does not satisfy the conditions of the view; that is, a row that is not visible through the view. You can also update a row of a view so that it no longer satisfies the conditions of the view.

To avoid updating a row of a view so that it no longer satisfies the conditions of the view, add the WITH CHECK OPTION keywords when you create the view. This clause asks the database server to test every inserted or updated row to ensure that it meets the conditions set by the WHERE clause of the view. The database server rejects the operation with an error if the conditions are not met.

Important: You cannot include the WITH CHECK OPTION keywords when a UNION operator is included in the view definition.

In the previous example, the view named **response** is defined as the following example shows:

```
CREATE VIEW response (user_id, received, resolved, duration) AS
  SELECT user_id, call_dtime, res_dtime, res_dtime - call_dtime
  FROM cust_calls
  WHERE user_id = USER
```

You can update the **user_id** column of the view, as the following example shows:

```
UPDATE response SET user_id = 'lenora'
  WHERE received BETWEEN TODAY AND TODAY - 7
```

The view requires rows in which **user_id** equals USER. If user **tony** performs this update, the updated rows vanish from the view. You can create the view, however, as the following example shows:

```
CREATE VIEW response (user_id, received, resolved, duration) AS
  SELECT user_id, call_dtime, res_dtime, res_dtime - call_dtime
  FROM cust_calls
  WHERE user_id = USER
WITH CHECK OPTION
```

The preceding UPDATE operation by user **tony** is rejected as an error.

You can use the WITH CHECK OPTION feature to enforce any kind of data constraint that can be stated as a Boolean expression. In the following

example, you can create a view of a table for which you express all the logical constraints on data as conditions of the WHERE clause. Then you can require all modifications to the table to be made through the view.

```
CREATE VIEW order_insert AS
  SELECT * FROM orders O
    WHERE order_date = TODAY -- no back-dated entries
      AND EXISTS -- ensure valid foreign key
        (SELECT * FROM customer C
          WHERE O.customer_num = C.customer_num)
      AND ship_weight < 1000 -- reasonableness checks
      AND ship_charge < 1000
WITH CHECK OPTION
```

Because of EXISTS and other tests, which are expected to be successful when the database server retrieves existing rows, this view displays data from **orders** inefficiently. However, if insertions to **orders** are made only through this view (and you do not already use integrity constraints to constrain data), users cannot insert a back-dated order, an invalid customer number, or an excessive shipping weight and shipping charge.

Re-Execution of a Prepared Statement When the View Definition Changes

The database server uses the definition of the view that exists when you prepare a SELECT statement with that view. If the definition of a view changes after you prepare a SELECT statement on that view, the execution of the prepared statement gives incorrect results because it does not reflect the new view definition. No SQL error is generated.

Privileges and Views

When you *create* a view, the database server tests your privileges on the underlying tables and views. When you *use* a view, only your privileges with regard to the view are tested.

Privileges When Creating a View

The database server tests to make sure that you have all the privileges that you need to execute the SELECT statement in the view definition. If you do not, the database server does not create the view.

This test ensures that users cannot create a view on the table and query the view to gain unauthorized access to a table.

After you create the view, the database server grants you, the creator and owner of the view, at least the Select privilege on it. No automatic grant is made to PUBLIC, as is the case with a newly created table.

The database server tests the view definition to see if the view is modifiable. If it is, the database server grants you the Insert, Delete, and Update

privileges on the view, provided that you also have those privileges on the underlying table or view. In other words, if the new view is modifiable, the database server copies your Insert, Delete, and Update privileges from the underlying table or view and grants them on the new view. If you have only the Insert privilege on the underlying table, you receive only the Insert privilege on the view.

This test ensures that users cannot use a view to gain access to any privileges that they did not already have.

Because you cannot alter or index a view, the Alter and Index privileges are never granted on a view.

Privileges When Using a View

When you attempt to use a view, the database server tests only the privileges that you are granted on the view. It does *not* test your right to access the underlying tables.

If you create the view, your privileges are the ones noted in the preceding section. If you are not the creator, you have the privileges that the creator (or someone who had the WITH GRANT OPTION privilege) granted you.

Therefore, you can create a table and revoke access of PUBLIC to it; then you can grant limited access privileges to the table through views. Suppose you want to grant access privileges on the following table:

```
CREATE TABLE hr_data
(
  emp_key INTEGER,
  emp_name CHAR(40),
  hire_date DATE,
  dept_num SMALLINT,
  user-id CHAR(18),
  salary DECIMAL(8,2),
  performance_level CHAR(1),
  performance_notes TEXT
)
```

The section “Column-Level Privileges” on page 6-9 shows how to grant access privileges directly on the **hr_data** table. The examples that follow take a different approach. Assume that when the table was created, this statement was executed:

```
REVOKE ALL ON hr_data FROM PUBLIC
```

(Such a statement is not necessary in an ANSI-compliant database.) Now you create a series of views for different classes of users. For users who should have read-only access to the nonsensitive columns, you create the following view:


```
CREATE VIEW hr_public AS
  SELECT emp_key, emp_name, hire_date, dept_num, user_id
  FROM hr_data
```

Users who are given the Select privilege for this view can see nonsensitive data and update nothing. For Human Resources personnel who must enter new rows, you create a different view, as the following example shows:

```
CREATE VIEW hr_enter AS
  SELECT emp_key, emp_name, hire_date, dept_num
  FROM hr_data
```

You grant these users both Select and Insert privileges on this view. Because you, the creator of both the table and the view, have the Insert privilege on the table and the view, you can grant the Insert privilege on the view to others who have no privileges on the table.

On behalf of the person in the MIS department who enters or updates new user IDs, you create still another view, as the following example shows:

```
CREATE VIEW hr_MIS AS
  SELECT emp_key, emp_name, user_id
  FROM hr_data
```

This view differs from the previous view in that it does not expose the department number and date of hire.

Finally, the managers need access to all columns and they need the ability to update the performance-review data for their own employees only. You can meet these requirements by creating a table, **hr_data**, that contains a department number and computer user IDs for each employee. Let it be a rule that the managers are members of the departments that they manage. Then the following view restricts managers to rows that reflect only their employees:

```
CREATE VIEW hr_mgr_data AS
  SELECT * FROM hr_data
  WHERE dept_num =
    (SELECT dept_num FROM hr_data
     WHERE user_id = USER)
  AND NOT user_id = USER
```

The final condition is required so that the managers do not have update access to their own row of the table. Therefore, you can safely grant the Update privilege to managers for this view, but only on selected columns, as the following statement shows:

```
GRANT SELECT, UPDATE (performance_level, performance_notes)
  ON hr_mgr_data TO peter_m
```

Chapter 7. Using Distributed Queries

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In This Chapter

This chapter provides an overview of distributed queries. Distributed queries allow shared access to data across multiple databases within a network of IBM Informix database servers. Different database servers can manage multiple databases, which can be referenced in a single distributed query.

Overview of Distributed Queries

The IBM Informix database servers allows you to query more than one database of the same database server or across multiple database servers. This type of query is called a *distributed query*. The database servers can reside on a single host computer, on different computers of the same network, or on a

gateway. (In general, most features and restrictions that this chapter describes for distributed queries also apply to function calls and to distributed INSERT, DELETE, or UPDATE operations that reference objects or data in more than one database.)

Note: IBM Informix Extended Parallel Server, Version 8.40 supports participant functionality only. Distributed queries cannot originate from this version. IBM Informix Extended Parallel Server, Version 8.50 supports both participant and coordinator functionality. Restrictions apply.

Distributed Queries across Databases of One Dynamic Server Instance

Distributed operations across databases of the same IBM Informix Dynamic Server instance are subject to the following restrictions on returned data types:

- The query, DML operation, or function call can return any built-in data type, including BLOB, BOOLEAN, CLOB, and LVARCHAR built-in opaque types.
- The query, DML operation, or function call cannot return DISTINCT or OPAQUE data types unless these are explicitly cast to a built in data type, and all the DISTINCT and OPAQUE data types and all the explicit casts are defined in each participating database that stores or receives the data types.

Coordinator and Participant in a Distributed Query

To support distributed operations across multiple database servers, IBM Informix servers maintain hierarchical relationships consisting of a coordinator and one or more participants. Coordinator and participant are defined as follows:

- The coordinator directs the resolution of the query. It also decides whether the query should be committed or aborted.
- The participant directs the execution of the distributed query on one branch. The branch is the part of the distributed query involving only that participant database server.

The following examples refer to a multi-server environment where db is the local database, db2 is an external database residing on the same server, and master_db is an external database on the remote server new_york.

The following examples shows a query that could be used to access data on another server using database db as the coordinator.

```
database db; select col1, col2 from db2:tab1, master_db@newyork:tab2;
```

A session will have only one local database, but can open multiple external databases. Distributed queries must always originate on a coordinator.

Configuring the Database Server to Use Distributed Queries

To use multiple IBM Informix servers for distributed queries, you must make sure that all of the database servers involved are configured to enable server-to-server communications over the network. The following configuration files may need to be edited to allow distributed queries:

- The sqlhosts file
- The onconfig file
- /etc/hosts.equiv or .rhosts
- /etc/services
- /etc/hosts

The sqlhosts file contains connectivity information for each database server. To set up several database servers to use distributed queries, use one of the following ways to store the sqlhosts information for all the databases:

- In one sqlhosts file, pointed to by INFORMIXSQLHOSTS
- In separate sqlhosts files in each database server directory

Note: IBM Informix Extended Parallel Server Version 8.40 supports participant functionality only; distributed queries cannot originate from this version. IBM Informix Extended Parallel Server Version 8.50 supports both participant and coordinator functionality. Restrictions apply.

For more information on configuring the sqlhosts file, see your *Administrator's Guide*.

The Syntax of a Distributed Query

This section describes how to specify a remote server, database, and database object within a distributed query.

Note: When designing distributed queries, be aware that some SQL syntax will not work across all server versions. Syntax that is valid on Dynamic Server but not on Extended Parallel Server is not supported on Extended Parallel Server and vice-versa.

Due to these potential syntax incompatibilities, an SQL statement may pass the checking stage on the coordinator, but return an error once that statement is passed to the participant.

Accessing a Remote Server and Database

The core element of any statement within a distributed query is the database segment. Using the syntax of both of these segments, you can specify a remote database server, database, or database object.

Database Name

The Database Name segment is used to specify the name of a database. The following examples show different ways of specifying a remote database:

```
empinfo@personnel '//personnel/empinfo'
```

Database Object Name

The Database Object Name segment is used to specify the name of a database object, including constraints, indexes, triggers, any synonyms. The following examples show how to access remote objects:

```
empinfo@personnel:markg.emp_names empinfo@personnel:emp_names
```

Specifying a Coserver ID (XPS)

If you are running a distributed query where Extended Parallel Server is both the coordinator and participant, you can specify a coserver as part of the database and database object segments. The following examples show how to specify the coserver id:

```
orders@stores.2 empinfo@personnel.3:emp_names
```

Note: In any given session, the first reference to a remote server determines how subsequent references for objects on that server must be specified. Once a coserver-id is used to qualify an object on a server, subsequent references to the same server, even for any other object, must also specify the same coserver-id. Coserver-ids used for different remote servers are independent.

Valid Statements for Accessing Remote Objects

The following statements support remote objects as part of the Database and Database Object segments and can be used within a distributed query:

- INSERT
- SELECT
- UPDATE
- DELETE
- CREATE VIEW
- CREATE SYNONYM
- CREATE DATABASE
- DATABASE
- LOAD
- UNLOAD
- LOCK
- UNLOCK
- INFO

For Extended Parallel Server (XPS) 8.50 you cannot refer to remote objects in statements that change or add data. For instance, INSERT, UPDATE, and DELETE statements operating on a remote object are not supported. For more aspects of distributed queries not supported by Extended Parallel Server, see “Cross Server Compatibility Issues (XPS)” on page 7-7.

Accessing Remote Tables

A remote table is a table on a database server other than the current server. The general syntax for accessing a table on another server is:

```
database@server:[owner.]table
```

Here, a table can be a table name, view name or synonym. You have the option of specifying the table owner. For the complete syntax options, see the documentation of the Database and Database Object segments in the *IBM Informix Guide to SQL: Syntax*.

The following example shows a query that accesses a remote table:

```
DATABASE locdb; SELECT l.name, r.assignment FROM rdb@rsys:rtab r,  
loctab l WHERE l.empid = r.empid;
```

This query accesses the name and empid columns from a the local table loctab, and the assignment and empid columns from the remote table rtab. The data is joined using empid as the join column.

The following example shows a query that accesses data on a remote table and inserts it into a local table:

```
DATABASE locdb; INSERT INTO loctab SELECT * FROM rdb@rsys:rtab;
```

This query selects all data from the remote table rtab, and inserts it into the local table loctab.

The following example creates a view in the local database using the empid and priority columns from the remote database rdb.

```
DATABASE locdb; CREATE VIEW myview (empid, empprty)  
AS SELECT empid, priority FROM rdb@rsys:rtab;
```

Table Permissions

Permissions for accessing table in other databases and remote tables are controlled at the table location. When accessing a remote server, the connection is made using the login name and password of the user executing the query. To access remote data, the user must have the appropriate permissions on the remote table.

When processing distributed queries, the database server ignores the active role on the current local database when accessing a remote object. On the

remote server, the default role applied to each remote database is used. If a default role is not defined, the user's privilege define the access permissions for the objects in each remote database.

Qualifying Table References

References to tables may be qualified with the current database and server name. If no qualification is specified, the current database and server context is implied. For example, if the current database is locdb and the current server is currsys, the following references to loctab are equivalent:

```
locdb@currsys:loctab
locdb:loctab
loctab
```

Other Remote Operations

In addition to querying and updating data, there are other remote operations that you can perform using the distributed query framework.

Opening a Remote Database

By specifying a remote object in the DATABASE statement, you can open a remote database as in the following examples:

```
DATABASE dbname@servername;
DATABASE "//servernam/database";
```

Creating a Remote Database

You can create a remote database by qualifying the database name with a server name when using the CREATE DATABASE statement.

```
CREATE DATABASE remfoo@rsys;
```

Creating a Remote Synonym

You can create a remote synonym for a table in another database or a remote table using a qualified name in the CREATE SYNONYM statement. For example, the following statement creates a synonym for **rdb@srsys:rtab**:

```
CREATE SYNONYM myrtab FOR rdb@rsys:rtab;
```

It is possible for a synonym to exist in both the local and remote server. In the example above, it is possible that rtab is itself a synonym for rdb2@rsys2:rtab2. The chain of synonyms is followed when retrieving catalog information until the physical database and server where the table resides is found. If a synonym ultimately points back to itself, an error is returned.

Monitoring Distributed Queries

Use the **onstat -x** utility to display transaction information originating on the coordinator of a distributed query.

The following flag codes in position 5 are used for distributed queries:

| | |
|---|--|
| C | Distributed query coordinator |
| S | Distributed query participant |
| B | Both distributed query coordinator and participant |
| R | Transaction with remote object references (XPS) |

For more information on using `onstat -x` see your *Administrator's Reference*.

Server Environment and Distributed Queries

This section lists the configuration parameters and environment variables that affect the behavior of distributed queries.

PDQPRIORITY Environment Variable

The effective value of PDQPRIORITY for a session is sent to the remote site when a connection is established. Subsequent changes to this parameter in the coordinator are not reflected on the remote site. However, the exact behavior of this environment variable depends on the role of the database server in the distributed query (coordinator or participant).

PDQPRIORITY has different syntax and semantics for different server versions. For information on setting PDQPRIORITY, see the *Performance Guide* for your server.

DEADLOCK_TIMEOUT

This configuration parameter is used to specify the amount of time a transaction will wait for a lock. If a distributed transaction is forced to wait longer than the number of seconds specified, the thread that owns the transaction assumes that a multi-server deadlock exists. The following error message is returned:

```
-143 ISAM error: deadlock detected.
```

For more information on using this configuration parameter, see your *Administrator's Guide*

Database Access Restrictions

To execute distributed queries in an Informix database server environment, all databases must have compatible ANSI modes and logging.

Distributed queries can be performed on databases that are not ANSI-compliant or that are ANSI-compliant, but each participating database must have the same ANSI mode. In other words, all databases involved in a distributed query must be ANSI-compliant, or none should be. Distributed queries can be performed on databases with either buffered or unbuffered logging, as long as they are consistent.

Extended Parallel Server does not support databases without logging. Therefore, all databases in a distributed query with Extended Parallel Server as the coordinator or participant must be logged.

Transaction Processing

This section describes some of the considerations involved when using distributed queries in a transaction processing environment.

Isolation Levels

The isolation level of a transaction is sent to the remote server at the start of the transaction at the remote site. If an isolation level changes during a transaction, the new value is sent to the remote site.

DEADLOCK_TIMEOUT and SET LOCK MODE

When using distributed queries, you can use the SET LOCK MODE statement in conjunction with the DEADLOCK_TIMEOUT configuration parameter to help prevent server deadlock.

When you request the WAIT option of SET LOCK MODE, the database server protects against the possibility of a deadlock. However, if the database server discovers that a deadlock could occur, it terminates the operation and returns an error.

The DEADLOCK_TIMEOUT configuration parameter specifies the maximum number of seconds that a database server thread can wait to acquire a lock. This value is the default value used by the SET LOCK MODE WAIT statement. This value applies only if you acquire locks on the current and remote database server within the same transaction.

For more information on the SET LOCK MODE statement, see the *IBM Informix Guide to SQL: Syntax*. For more information on the DEADLOCK_TIMEOUT configuration parameter, see “DEADLOCK_TIMEOUT” on page 7-7 and the chapter on multi-phase commit protocols in the *IBM Informix Dynamic Server Administrator's Guide*.

Two-phase Commit and Recovery

The two-phase commit protocol is used to ensure that distributed queries are uniformly committed or rolled back across multiple database servers. A database server automatically uses the two-phase commit protocol for any transaction that modifies data on multiple database servers.

Because Extended Parallel Server does not support remote updates, multi-site updates within a single transaction are not possible. Therefore, the two-phase commit protocol does not apply to queries originating on Extended Parallel Server. In this case, distributed transactions are treated the same as local transactions and are rolled-back or committed depending upon the point the

failure occurs. Any statement that would require the use of the two-phase commit protocol is aborted and an error message returned.

For more information, see the chapter on multi-phase commit protocols in the *IBM Informix Dynamic Server Administrator's Guide*.

Cross Server Compatibility Issues (XPS)

This section lists elements of distributed queries not supported by Extended Parallel Server.

BYTE and TEXT Data Types

The BYTE or TEXT data types are not supported when accessing remote table data. Only Extended Parallel Server's built-in data types are supported. Dynamic Server built-in data types which are based on user-defined types are not supported.

Other Restrictions

Extended Parallel Server has the following restrictions when using distributed queries:

- Remote stored procedures are not supported.
- Triggers cannot reference remote objects in the trigger definition
- IBM Informix gateway products are not supported.

Part 3. Object-Relational Databases

Chapter 8. Creating and Using Extended Data Types in Dynamic Server

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In This Chapter

This chapter describes extended data types that you can use to build an object-relational database. The term *object-relational* is not associated with a particular method or model of database design, but instead refers to any database that uses Dynamic Server features to extend the functionality of the database.

An object-relational database is not antithetical to a relational database but rather is an extension of functionality already present in a relational database. Typically, you use some combination of features from Dynamic Server to extend the kinds of data that your database can store and manipulate. These features include extended data types, smart large objects, type and table inheritance, user-defined casts, and user-defined routines (UDRs). The chapters in this section of the manual describe many of these features. For information about UDRs, see *IBM Informix: User-Defined Routines and Data Types Developer's Guide* and the *IBM Informix: Guide to SQL Tutorial*.

For an example of an object-relational database, you can create the **superstores_demo** database, which contains examples of some of the features available with Dynamic Server. For information about how to create the **superstores_demo** database, refer to the *IBM Informix: DB–Access User's Guide*.

Informix Data Types

Figure 3-1 in Chapter 3, “Choosing Data Types,” on page 3-1 provides a chart for selecting appropriate data types for the columns of a table depending on the type of data that will be stored. Figure 8-1 on page 8-3 shows a hierarchy of data types that reflects how the database server manages the data types.

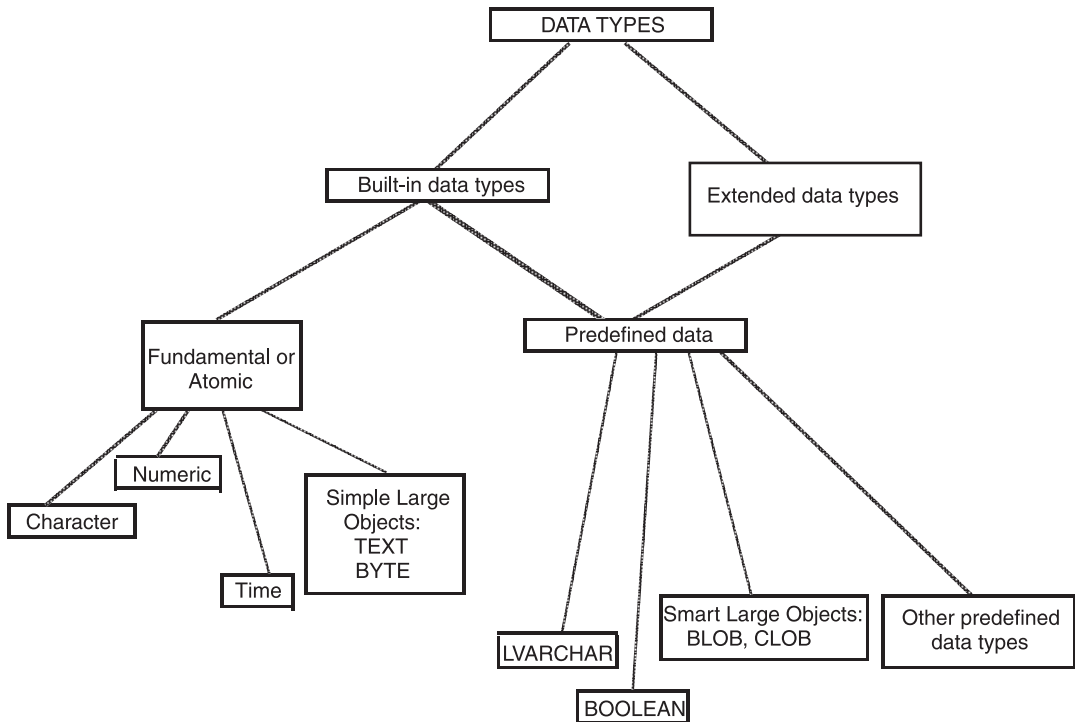


Figure 8-1. Informix Data Types

Fundamental or Atomic Data Types

All Informix database servers support the *fundamental*, or *atomic*, data types. These types are fundamental because they are the smallest units that you can specify in a SELECT statement. Only Dynamic Server supports extended and predefined data types. The predefined data types are in a separate category because they share certain characteristics with extended data types but are provided by the database server.

For a discussion of the fundamental data types, refer to Chapter 3, “Choosing Data Types,” on page 3-1.

Predefined Data Types

The database server provides the predefined data types, just as it provides the fundamental data types. However, the predefined data types have certain characteristics in common with the extended data types.

BOOLEAN and LVARCHAR Data Types

BOOLEAN and LVARCHAR data types behave like built-in data types except that the system catalog tables define them as extended data types.

For more information, refer to Chapter 3, “Choosing Data Types,” on page 3-1 and to the system catalog tables in the *IBM Informix: Guide to SQL Reference*.

BLOB and CLOB Data Types

The BLOB and CLOB data types are not fundamental data types because you can randomly access data from within the BLOB or CLOB. You can create a table with BLOB and CLOB columns, but you cannot insert data directly into the column. You must use functions to insert and manipulate the data.

For more information, see “Smart Large Objects” on page 8-6.

Other Predefined Data Types

With the exception of BLOB, BOOLEAN, CLOB, and LVARCHAR, the predefined data types usually do not appear as data types for the columns of a table. Instead, the predefined data types are used with the functions associated with complex and user-defined data types and user-defined routines. The following table lists the remaining predefined data types.

| | | |
|--------------|---------------|----------|
| clientbinval | indexkeyarray | sendrecv |
| ifx_lo_spec | lolist | stat |
| ifx_lo_stat | pointer | stream |
| impexp | rtnparamtypes | |
| impexpbin | selfuncargs | |

For more information about these predefined data types, refer to *IBM Informix: User-Defined Routines and Data Types Developer’s Guide*.

Extended Data Types

Extended data types let you create data types to characterize data that cannot be easily represented with the built-in data types. However, you cannot use extended data types in distributed transactions. Figure 8-2 shows the extended data types.

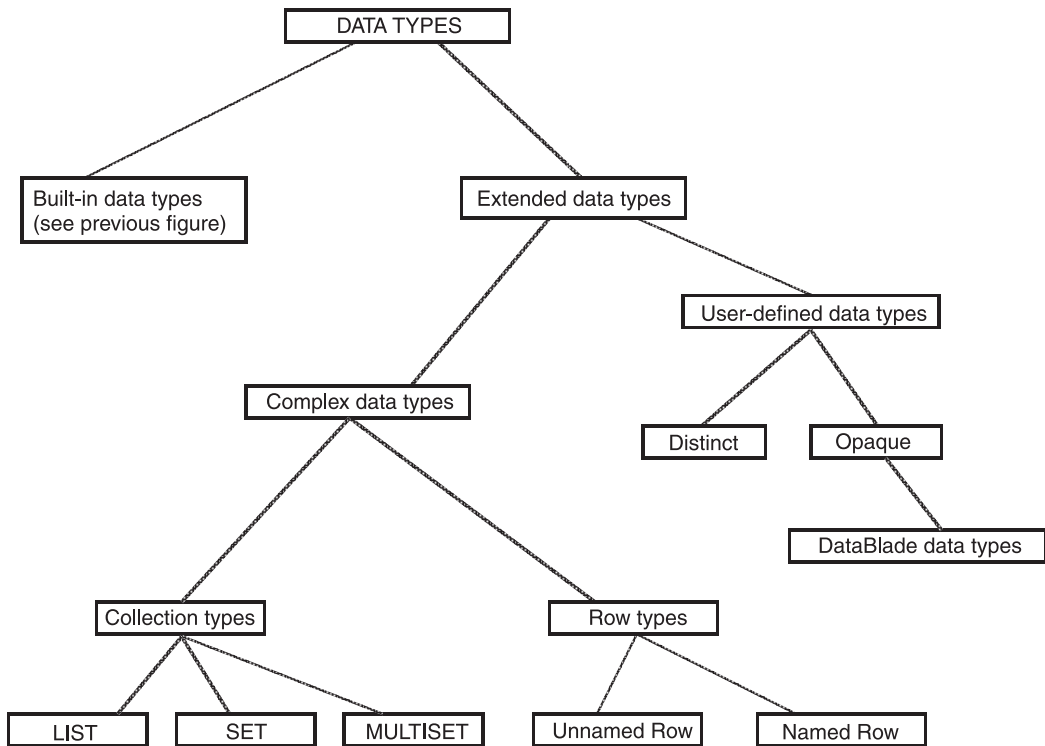


Figure 8-2. Extended Data Types

Complex Data Types

Complex data types describe either a collection of data objects, all of one type (LIST, SET, and MULTISET), or groups of objects of different types (named and unnamed rows.)

User-Defined Data Types

A user-defined data type is a data type that is not provided by the database server. You must provide all of the information that the database server needs to manage opaque data types or distinct data types.

Distinct Data Types

A distinct data type is an encapsulated data type that you create with the CREATE DISTINCT TYPE statement. A distinct data type has the same representation as, but is distinct from, the data type on which it is based. You can create a distinct data type from built-in types, opaque types, named row types, or other distinct types. You cannot create a distinct data type from any of the following data types:

- SERIAL

- SERIAL8
- Collection types
- Unnamed row types

When you create a distinct data type, you implicitly define the structure of the data type because a distinct data type inherits the structure of its source data type. You can also define functions, operators, and aggregates that operate on the distinct data type.

For information about distinct data types, see “Casting Distinct Data Types” on page 10-10, the *IBM Informix: Guide to SQL Syntax*, and the *IBM Informix: Guide to SQL Reference*.

Opaque Data Types

An opaque data type is an encapsulated data type that you create with the CREATE OPAQUE TYPE statement. When you create an opaque data type, you must explicitly define the structure of the data type as well as the functions, operators, and aggregates that operate on the opaque data type. You can use an opaque data type to define columns and program variables in the same way that you use built-in types.

For information about creating opaque data types, see *IBM Informix: User-Defined Routines and Data Types Developer’s Guide* and the *IBM Informix: Guide to SQL Syntax*.

DataBlade Data Types

The diagram in Figure 8-2 on page 8-5 includes DataBlade data types although they are not actually data types. A DataBlade is a suite of user-defined data types and user-defined routines that provides tools for a specialized application. For example, different DataBlades provide tools for managing images, time-series, and astronomical observations. Such applications often require opaque data types as well as other user-defined data types. For information about developing a DataBlade, refer to the *IBM Informix: DataBlade API Programmer’s Guide* and the DataBlade Developers Kit. For information about the DataBlades that IBM provides, contact your customer representative.

Smart Large Objects

Smart large objects are objects that are defined on a BLOB or CLOB data type. A smart large object allows an application program to randomly access column data, which means that you can read or write to any part of a BLOB or CLOB column in any arbitrary order. You can create BLOB or CLOB columns to store binary data or character data.

BLOB Data Type

You can use a BLOB data type to store any data that a program can generate: graphic images, satellite images, video clips, audio clips, or formatted documents saved by any word processor or spreadsheet. The database server permits any kind of data of any length in a BLOB column.

Like CLOB objects, BLOB objects are stored in whole disk pages in separate disk areas from normal row data.

The advantage of the BLOB data type, as opposed to CLOB, is that it accepts any data. Otherwise, the advantages and disadvantages of the BLOB data type are the same as for the CLOB data type.

CLOB Data type

You can use the CLOB data type to store a block of text. It is designed to store ASCII text data, including formatted text such as HTML or PostScript. Although you can store any data in a CLOB object, IBM Informix tools expect a CLOB object to be printable, so restrict this data type to printable ASCII text.

CLOB values are not stored with the rows of which they are a part. They are allocated in whole disk pages, usually areas away from rows. (For more information, see your *IBM Informix: Administrator's Guide*.)

The CLOB data type is similar to the TEXT data type except that the CLOB data type provides the following advantages:

- An application program can read from or write to any portion of the CLOB object.
- Access times can be significantly faster because an application program can access any portion of a CLOB object.
- Default characteristics are relatively easy to override. Database administrators can override default characteristics for sbspace at the column level. Application programmers can override some default characteristics for the column when they create a CLOB object.
- You can use the equals operator (=) to test whether two CLOB values are equal.
- A CLOB object is recoverable in the event of a system failure and obeys transaction isolation modes when the DBA or application programmer specifies it. (Recovery of CLOB objects requires that your database system has the necessary resources to provide buffers large enough to handle CLOB objects.)
- You can use the CLOB data type to provide large storage for a user-defined data type.
- DataBlade developers can create indexes on CLOB data types.

The disadvantages of the CLOB data type are as follows:

- It is allocated in whole disk pages, so a short item wastes space.
- Restrictions apply on how you can use a CLOB column in an SQL statement. (See “Using Smart Large Objects” on page 8-8.)
- It is not available with all Informix database servers.

Using Smart Large Objects

To store columns of a BLOB or CLOB data type, you must allocate an *sbspace*. An *sbspace* is a logical storage unit that stores BLOB and CLOB data in the most efficient way possible. You can write IBM Informix ESQL/C programs that allow users to fetch and store BLOB or CLOB data. Application programmers who want to access and manipulate smart large objects directly can consult the *IBM Informix: ESQL/C Programmer's Manual*.

In any SQL statement, interactive or programmed, a BLOB or CLOB column *cannot* be used in the following ways:

- In arithmetic or Boolean expressions
- In a GROUP BY or ORDER BY clause
- In a UNIQUE test
- For indexing, as part of an Informix B-tree index

However, DataBlade developers have the capability to create indexes on CLOB columns.

In a SELECT statement entered interactively, a BLOB or CLOB column can:

- Specify NULL values as a default when you create a table with the DEFAULT NULL clause
- Disallow NULL values using the NOT NULL constraint when you create a table
- Be tested with the IS [NOT] NULL predicate

From an ESQL/C program, you can use the `ifx_lo_stat()` function to determine the length of BLOB or CLOB data.

Copying Smart Large Objects

Dynamic Server provides functions that you can call from within an SQL statement to import and export smart large objects. Table 8-1 shows the smart-large-object functions.

Table 8-1. SQL Functions for Smart Large Objects

| Function Name | Purpose |
|---------------------|---|
| FILETOBLOB() | Copies a file into a BLOB column |
| FILETOCLOB() | Copies a file into a CLOB column |
| LOCOPY() | Copies BLOB or CLOB data into another BLOB or CLOB column |
| LOTOFILE() | Copies BLOB or CLOB data into a file |

For detailed information and the syntax of smart-large-object functions, see the Expression segment in the *IBM Informix: Guide to SQL Syntax*

Important: Casts between BLOB and CLOB data types are not permitted.

Complex Data Types

A complex data type is usually a composite of other existing data types. For example, you might create a complex data type whose components include built-in types, opaque types, distinct types, or other complex types. An important advantage that complex data types have over user-defined types is that users can access and manipulate the individual components of a complex data type.

In contrast, built-in types and user-defined types are self-contained (encapsulated) data types. Consequently, the only way to access the component values of an opaque data type is through functions that you define on the opaque type.

Figure 8-3 shows the complex data types that Dynamic Server supports and the syntax that you use to create the complex data types.

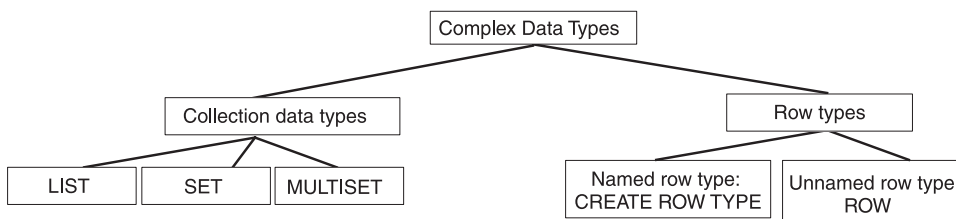


Figure 8-3. Complex Data Types

The complex data types that Figure 8-3 illustrates provide the following extended data type support:

- **Collection types.** You can use a collection type whenever you need to store and manipulate collections of data within a table cell. You can assign collection types to columns.
- **Row types.** A row type typically contains multiple fields. When you want to store more than one kind of data in a column or variable, you can create a row type. Row types come in two kinds: named row types and unnamed row types. You can assign an unnamed row type to columns and variables. You can assign a named row type to columns, variables, tables, or views. When you assign a named row type to a table, the table is a *typed table*. A primary advantage of typed tables is that they can be used to define an inheritance hierarchy.

For more information about how to perform SELECT, INSERT, UPDATE, and DELETE operations on the complex data types that this chapter describes, see the *IBM Informix: Guide to SQL Tutorial*.

Collection Data Types

Collection data types enable you to store and manipulate collections of data within a single row of a table. A collection data type has two components: a *type constructor*, which determines whether the collection type is a SET, MULTISET, or LIST, and an *element type*, which specifies the type of data that the collection can contain. (The SET, MULTISET, and LIST collection types are described in detail in the following sections.)

The elements of a collection can be of most any data type. (For a list of exceptions, see “Restrictions on Collections” on page 8-14.) The *elements* of a collection are the values that the collection contains. In a collection that contains the values: {'blue', 'green', 'yellow', and 'red'}, 'blue' represents a single element in the collection. Every element in a collection must be of the same type. For example, a collection whose element type is INTEGER can contain only integer values.

The element type of a collection can represent a single data type (column) or multiple data types (row). In the following example, the **col_1** column represents a SET of integers:

```
col_1 SET(INTEGER NOT NULL)
```

To define a collection data type that contains multiple data types, you can use a named row type or an unnamed row type. In the following example, the **col_2** column represents a SET of rows that contain **name** and **salary** fields:

```
col_2 SET(ROW(name VARCHAR(20), salary INTEGER) NOT NULL)
```

Important: When you define a collection data type, you must include the NOT NULL constraint as part of the type definition. No other column constraints are allowed on a collection data type.

After you define a column as a collection data type, you can perform the following operations on the collection:

- Select and modify individual elements of a collection (from ESQL/C programs only).
- Count the number of elements that a collection contains.
- Determine if certain values are in a collection.

For information on the syntax that you use to create collection data types, see the Data Type segment in the *IBM Informix: Guide to SQL Syntax*. For information about how to convert a value of one collection type to another collection type, see the *IBM Informix: Guide to SQL Tutorial*.

Null Values in Collections

A collection cannot contain NULL elements. However, when the collection is a row type, you can insert NULL values for any or all fields of a row type that a collection contains. Suppose you create the following table that has a collection column:

```
CREATE TABLE tab1 (col1 INT,  
                  col2 SET(ROW(a INT, b INT) NOT NULL));
```

The following statements are allowed because only the component fields of the row type specify NULL values:

```
INSERT INTO tab1 VALUES ( 25,"SET{ROW(NULL, NULL)}");
```

```
INSERT INTO tab1 VALUES ( 35,"SET{ROW(4, NULL)}");
```

```
INSERT INTO tab1 VALUES ( 45,"SET{ROW(14, NULL), ROW(NULL,5)}");
```

```
UPDATE tab1 SET col2 = "SET{ROW(NULL, NULL)}" WHERE col1 = 45;
```

However, each of the following statements returns an error message because the collection element specifies a NULL value:

```
INSERT INTO tab1 VALUES ( 45, "SET{NULL}");
```

```
UPDATE tab1 SET col2 = "SET{NULL}" WHERE col1 = 55;
```

Using SET Collection Types

A SET is an unordered collection of elements in which each element is unique. You define a column as a SET collection type when you want to store collections whose elements have the following characteristics:

- The elements contain no duplicate values.
- The elements have no specific order associated with them.

To illustrate how you might use a SET, imagine that your human resources department needs information about the dependents of each employee in the company. You can use a collection type to define a column in an **employee**

table that stores the names of an employee's dependents. The following statement creates a table in which the **dependents** column is defined as a SET:

```
CREATE TABLE employee
(
    name          CHAR(30),
    address       CHAR (40),
    salary        INTEGER,
    dependents    SET(VARCHAR(30) NOT NULL)
);
```

A query against the **dependents** column for any given row returns the names of all the dependents of the employee. In this case, SET is the appropriate collection type because the collection of dependents for each employee should not contain any duplicate values. A column that is defined as a SET ensures that each element in a collection is unique.

To illustrate how to define a collection type whose elements are a row type, suppose that you want the **dependents** column to include the name and birthdate of an employee's dependents. In the following example, the **dependents** column is defined as a SET whose element type is a row type:

```
CREATE TABLE employee
(
    name          CHAR(30),
    address       CHAR (40),
    salary        INTEGER,
    dependents    SET(ROW(name VARCHAR(30), bdate DATE) NOT NULL)
);
```

Each element of a collection from the **dependents** column contains values for the **name** and **bdate**. Each row of the **employee** table contains information about the employee as well as a collection with the names and birthdates of the employee's dependents. For example, if an employee has no dependents, the collection for the **dependents** column is empty. If an employee has 10 dependents, the collection should contain 10 elements.

Using MULTISSET Collection Types

A MULTISSET is a collection of elements in which the elements can have duplicate values. For example, a MULTISSET of integers might contain the collection {1,3,4,3,3}, which has duplicate elements. You can define a column as a MULTISSET collection type when you want to store collections whose elements have the following characteristics:

- The elements might not be unique.
- The elements have no specific order associated with them.

To illustrate how you might use a MULTISSET, suppose that your human resources department wants to keep track of the bonuses awarded to employees in the company. To track each employee's bonuses over time, you

can use a **MULTISET** to define a column in a table that records all the bonuses that each employee receives. In the following example, the **bonus** column is a **MULTISET**:

```
CREATE TABLE employee
(
    name      CHAR(30),
    address   CHAR (40),
    salary    INTEGER,
    bonus     MULTISET(MONEY NOT NULL)
);
```

You can use the **bonus** column in this statement to store and access the collection of bonuses for each employee. A query against the **bonus** column for any given row returns the dollar amount for each bonus that the employee has received. Because an employee might receive multiple bonuses of the same amount (resulting in a collection whose elements are not all unique), the **bonus** column is defined as a **MULTISET**, which allows duplicate values.

Using LIST Collection Types

A **LIST** is an ordered collection of elements that allows duplicate values. A **LIST** differs from a **MULTISET** in that each element in a **LIST** has an ordinal position in the collection. The order of the elements in a list corresponds with the order in which values are inserted into the **LIST**. You can define a column as a **LIST** collection type when you want to store collections whose elements have the following characteristics:

- The elements have a specific order associated with them.
- The elements might not be unique.

To illustrate how you might use a **LIST**, suppose your sales department wants to keep a monthly record of the sales total for each salesperson. You can use a **LIST** to define a column in a table that contains the monthly sales totals for each salesperson. The following example creates a table in which the **month_sales** column is a **LIST**. The first entry (element) in the **LIST**, with an ordinal position of 1, might correspond to the month of January, the second element, with an ordinal position of 2, February, and so forth:

```
CREATE TABLE sales_person
(
    name      CHAR(30),
    month_sales LIST(MONEY NOT NULL)
);
```

You can use the **month_sales** column in this statement to store and access the monthly sales totals for each salesperson. More specifically, you might perform queries on the **month_sales** column to find out:

- The total sales that a salesperson generated during a specified month
- The total sales for every salesperson during a specified month

Nesting Collection Types

A *nested collection* is a collection type that contains another collection type. You can nest any collection type within another collection type. There is no practical limit on how deeply you can nest a collection type. However, performing inserts or updates on a collection that has been nested more than one or two levels can be difficult. The following example shows several ways in which you might create columns that are defined on nested collection types:

```
col_1 SET(MULTISET(VARCHAR(20) NOT NULL) NOT NULL);
```

```
col_2 MULTISET(ROW(x CHAR(5), y SET(INTEGER NOT NULL))  
NOT NULL);
```

```
col_3 LIST(MULTISET(ROW(a CHAR(2), b INTEGER) NOT NULL)  
NOT NULL);
```

For information about how to access a nested collection, see the *IBM Informix: Guide to SQL Tutorial*.

Adding a Collection Type to an Existing Table

You can use the ALTER TABLE statement to add or drop a column that is a collection type (or any other data type). For example, the following statement adds the **flowers** column, which is defined as a SET, to the **nursery** table:

```
ALTER TABLE nursery ADD flower SET(VARCHAR(30) NOT NULL)
```

You cannot modify an existing column that is a collection type or convert a non-collection type column into a collection type.

For more information on adding and dropping collection-type columns, see the ALTER TABLE statement in the *IBM Informix: Guide to SQL Syntax*.

Restrictions on Collections

You cannot use any of the following data types as the element type of a collection:

- TEXT
- BYTE
- SERIAL
- SERIAL8

You cannot use a CREATE INDEX statement to create an index on collection, nor can you create a functional index for a collection column.

Named Row Types

A *named row type* is a group of fields that are defined under a single name. A *field* refers to a component of a row type and should not be confused with a column, which is associated with tables only. The fields of a named row type

are analogous to the fields of a C-language structure or members of a class in object-oriented programming. After you create a named row type, the name that you assign to the row type represents a unique type within the database. To create a named row type, you specify a name for the row type and the names and data types of its constituent fields. The following example shows how you might create a named row type called **person_t**:

```
CREATE ROW TYPE person_t
(
  name      VARCHAR(30) NOT NULL,
  address   VARCHAR(20),
  city      VARCHAR(20),
  state     CHAR(2),
  zip       VARCHAR(9),
  bdate     DATE
);
```

The **person_t** row type contains six fields: **name**, **address**, **city**, **state**, **zip**, and **bdate**. When you create a named row type, you can use it just as you would any other data type. The **person_t** can occur anywhere that you might use any other data type. The following CREATE TABLE statement uses the **person_t** data type:

```
CREATE TABLE sport_club
(
  sport      CHAR(20),
  sportnum   INT,
  member     person_t,
  since      DATE,
  paidup     BOOLEAN
)
```

You can use most data types to define the fields of a row type. For information about data types that are not supported in row types, see “Restrictions on Named Row Types” on page 8-16.

For the syntax you use to create a named row type, see the CREATE ROW TYPE statement in the *IBM Informix: Guide to SQL Syntax*. For information about how to cast row type values, see Chapter 10, “Creating and Using User-Defined Casts in Dynamic Server,” on page 10-1.

When to Use a Named Row Type

A named row type is one way to create a new data type in Dynamic Server. When you create a named row type, you are defining a template for fields of data types known to the database server. Thus the field definitions of a row type are analogous to the column definitions of a table: both are constructed from data types known to the database server.

You can create a named row type when you want a type that acts as a container for component values that users need to access. For example, you

might create a named row type to support address values because users need direct access to the individual component values of an address such as street, city, state, and zip code. When you create the address type as a named row type, users always have direct access to each of the fields.

In contrast, if you create an opaque data type to handle address values, a C-language data structure stores all the address information. Because the component values of an opaque type are encapsulated, you would have to define functions to extract the component values for street, city, state, zip code. Thus, an opaque data type is a more complicated type to define and use.

Before you define a data type, determine whether the type is just a container for a group of values that users can access directly. If the type fits this description, use a named row type.

Choosing a Name for a Named Row Type

You can give a named row type any name that you like provided that the name does not violate the conventions established for the SQL identifiers. The conventions for SQL identifiers are described in the Identifier segment in the *IBM Informix: Guide to SQL Syntax*. To avoid confusing type and table names, the examples in this manual designate named row types with the `_t` characters at the end of the row type name.

You must have the Resource privilege to create a named row type. The name that you assign to a named row type should not be the same as any other data type that exists in the database because all data types share the same name space. In an ANSI-compliant database, the combination *owner.type* must be unique within the database. In a database that is not ANSI-compliant, the name must be unique within the database.

Important: You must grant USAGE privileges on a named row type before other users can use it. For information about granting and revoking privileges on named row types, see Chapter 12, “Implementing a Dimensional Database (XPS),” on page 12-1.

Restrictions on Named Row Types

This section describes the restrictions that apply when you use named row types.

Restrictions on Data Types: It is recommended that you use the BLOB or CLOB data types instead of the TEXT or BYTE data types when you create a typed table that contains columns for large objects. For backward compatibility, you can create a named row type that contains TEXT or BYTE fields and use that type to re-create an existing (untyped) table as a typed table. However, although you can use a named row type that contains TEXT

or BYTE fields to create a typed table, you cannot use such a row type as a column. You can assign a named row type that contains BLOB or CLOB fields to a typed table or column.

Restrictions on Constraints: In a CREATE ROW TYPE statement, you can specify only the NOT NULL constraint for the fields of a named row type. You must define all other constraints in the CREATE TABLE statement. For more information, see the CREATE TABLE statement in the *IBM Informix: Guide to SQL Syntax*.

Restrictions on Indexes: You cannot use a CREATE INDEX statement to create an index on a named row type column. However, you can use a user-defined routine to create a functional index for a row type column.

Restrictions on SERIAL Data Types: A named row type that contains a SERIAL or SERIAL8 data type cannot be used as a column type in a table. The following statements return an error when the database server attempts to create the table:

```
CREATE ROW TYPE row_t (s_col SERIAL)

CREATE TABLE bad_tab (col1 row_t)
```

However, you can use a named row type that contains a SERIAL or SERIAL8 data type to create a typed table.

For information about the use and behavior of SERIAL and SERIAL8 types in table hierarchies, see “SERIAL Types in a Table Hierarchy” on page 9-12.

Using a Named Row Type to Create a Typed Table

You can create a table that is typed or untyped. A *typed table* is a table that has a named row type assigned to it. An *untyped table* is a table that does not have a named row type assigned to it. The CREATE ROW TYPE statement creates a named row type but does not allocate storage for instances of the row type. To allocate storage for instances of a named row type, you must assign the row type to a table. The following example shows how to create a typed table:

```
CREATE ROW TYPE person_t
(
  name      VARCHAR(30),
  address   VARCHAR(20),
  city      VARCHAR(20),
  state     CHAR(2),
  zip       INTEGER,
  bdate     DATE
);

CREATE TABLE person OF TYPE person_t;
```

The first statement creates the **person_t** type. The second statement creates the **person** table, which contains instances of the **person_t** type. More specifically, each row in a typed table contains an instance of the named row type that is assigned to the table. In the preceding example, the fields of the **person_t** type define the columns of the **person** table.

Important: The order in which you create named row types is important because a named row type must exist before you can use it to define a typed table.

Inserting data into a typed table is no different than inserting data into an untyped table. When you insert data into a typed table, the operation creates an instance of the row type and inserts it into the table. The following example shows how to insert a row into the **person** table:

```
INSERT INTO person
VALUES ('Brown, James', '13 First St.', 'San Carlos', 'CA', 94070,
'01/04/1940')
```

The INSERT statement creates an instance of the **person_t** type and inserts it into the table. For more information about how to insert, update, and delete columns that are defined on named row types, see the *IBM Informix: Guide to SQL Tutorial*.

You can use a single named row type to create multiple typed tables. In this case, each table has a unique name, but all tables share the same type.

Important: You cannot create a typed table that is a temporary table.

For information on the advantages of using typed tables when you implement your data model, see “Type Inheritance” on page 9-2.

Changing the Type of a Table

The primary advantage of typed tables over untyped tables is that typed tables can be used in an inheritance hierarchy. In general, inheritance allows a table to acquire the representation and behavior of another table. For more information, see “What Is Inheritance?” on page 9-1.

The DROP and ADD clauses of the ALTER TABLE statement let you change between typed and untyped tables. Neither the ADD nor DROP operation affects the data that is stored in the table.

Converting an Untyped Table into a Typed Table: If you want to convert an existing untyped table into a typed table, you can use the ALTER TABLE statement. For example, consider the following untyped table:


```
CREATE TABLE manager
(
    name          VARCHAR(30),
    department    VARCHAR(20),
    salary        INTEGER
);
```

To convert an untyped table to a typed table, both the field names and the field types of the named row type must match the column names and column types of the existing table. For example, to make the **manager** table a typed table, you must first create a named row type that matches the column definitions of the table. The following statement creates the **manager_t** type, which contains field names and field types that match the columns of the **manager** table:

```
CREATE ROW TYPE manager_t
(
    name          VARCHAR(30),
    department    VARCHAR(20),
    salary        INTEGER
);
```

After you create the named row type that you want to assign to the existing untyped table, use the ALTER TABLE statement to assign the type to the table. The following statement alters the **manager** table and makes it a typed table of type **manager_t**:

```
ALTER TABLE manager ADD TYPE manager_t
```

The new **manager** table contains the same columns and data types as the old table but now provides the advantages of a typed table.

Converting a Typed Table into an Untyped Table: You also use the ALTER TABLE statement to change a typed table into an untyped table:

```
ALTER TABLE manager DROP TYPE
```

Tip: Adding a column to a typed table requires three ALTER TABLE statements to drop the type, add the column, and add the type to the table.

Using a Named Row Type to Create a Column

Both typed and untyped tables can contain columns that are defined on named row types. A column that is defined on a named row type behaves in the same way whether the column occurs in a typed table or untyped table. In the following example, the first statement creates a named row type **address_t**; the second statement assigns the **address_t** type to the **address** column in the **employee** table:

```

CREATE ROW TYPE address_t
(
    street VARCHAR(20),
    city   VARCHAR(20),
    state  CHAR(2),
    zip    VARCHAR(9)
);

CREATE TABLE employee
(
    name     VARCHAR(30),
    address  address_t,
    salary   INTEGER
);

```

In the preceding CREATE TABLE statement, the **address** column has the **street**, **city**, **state**, and **zip** fields of the **address_t** type. Consequently, the **employee** table, which has only three columns, contains values for **name**, **street**, **city**, **state**, **zip**, and **salary**. Use dot notation to access the individual fields of a column that are defined on a row type. For information about using dot notation to access fields of a column, see the *IBM Informix: Guide to SQL Tutorial*.

When you insert data into a column that is assigned a row type, you need to use the ROW constructor to specify row literal values for the row type. The following example shows how to use the INSERT statement to insert a row into the **employee** table:

```

INSERT INTO employee
VALUES ('John Bryant',
       ROW('10 Bay Street', 'Madera', 'CA', 95400)::address_t, 55000);

```

Strong typing is not enforced for an insert or update on a named row type. To ensure that the row values are of the named row type, you must explicitly cast to the named row type to generate values of a named row type, as the previous example shows. The INSERT statement inserts three values, one of which is a row type value that contains four values. More specifically, the operation inserts unitary values for the **name** and **salary** columns but it creates an instance of the **address_t** type and inserts it into the **address** column.

For more information about how to insert, update, and delete columns that are defined on row types, see the *IBM Informix: Guide to SQL Tutorial*.

Using a Named Row Type Within Another Row Type

You can use a named row type as the data type of a field within another row type. A *nested row type* is a row type that contains another row type. You can nest any row type within any other row type. No practical limit exists on how

deeply you can nest row types. However, to perform inserts or updates on deeply nested row types requires careful use of the syntax.

For named row types, the order in which you create the row types is important because a named row type must exist before you can use it to define a column or a field within another row type. In the following example, the first statement creates the **address_t** type, which is used in the second statement to define the type of the **address** field of the **employee_t** type:

```
CREATE ROW TYPE address_t
(
    street VARCHAR (20),
    city   VARCHAR(20),
    state  CHAR(2),
    zip    VARCHAR(9)
);

CREATE ROW TYPE employee_t
(
    name     VARCHAR(30) NOT NULL,
    address  address_t,
    salary   INTEGER
);
```

Important: You cannot use a row type recursively. If **type_t** is a row type, then you cannot use **type_t** as the data type of a field contained in **type_t**.

Dropping Named Row Types

To drop a named row type, use the DROP ROW TYPE statement. You can drop a type only if it has no dependencies. You cannot drop a named row type if any of the following conditions are true:

- The type is currently assigned to a table.
- The type is currently assigned to a column in a table.
- The type is currently assigned to a field within another row type.

The following example shows how to drop the **person_t** type:

```
DROP ROW TYPE person_t restrict;
```

For information about how to drop a named row type from a type hierarchy, see “Dropping Named Row Types from a Type Hierarchy” on page 9-6.

Unnamed Row Types

An *unnamed row type* is a group of typed fields that you create with the ROW constructor. An important distinction between named and unnamed row types is that you cannot assign an unnamed row type to a table. You use an unnamed row type to define the type of a column or field only. In addition,

an unnamed row type is identified by its structure alone, whereas a named row type is identified by its name. The structure of a row type consists of the number and data types of its fields.

The following statement assigns two unnamed row types to columns of the **student** table:

```
CREATE TABLE student
(
  s_name ROW(f_name VARCHAR(20), m_init CHAR(1),
            l_name VARCHAR(20) NOT NULL),
  s_address ROW(street VARCHAR(20), city VARCHAR(20),
               state CHAR(2), zip VARCHAR(9))
);
```

The **s_name** and **s_address** columns of the **student** table each contain multiple fields. Each field of an unnamed row type can have a different data type. Although the **student** table has only two columns, the unnamed row types define a total of seven fields: **f_name**, **m_init**, **l_name**, **street**, **city**, **state**, and **zip**.

The following example shows how to use the INSERT statement to insert data into the **student** table:

```
INSERT INTO student
VALUES (ROW('Jim', 'K', 'Johnson'), ROW('10 Grove St.',
'Eldorado', 'CA', 94108))
```

For more information about how to modify columns that are defined on row types, see the *IBM Informix: Guide to SQL Tutorial*.

The database server does not distinguish between two unnamed row types that contain the same number of fields and that have corresponding fields of the same type. Field names are irrelevant in type checking of unnamed row types. For example, the database server does not distinguish between the following unnamed row types:

```
ROW(a INTEGER, b CHAR(4));
ROW(x INTEGER, y CHAR(4));
```

For the syntax of unnamed row types, see the *IBM Informix: Guide to SQL Syntax*. For information about how to cast row type values, see Chapter 10, "Creating and Using User-Defined Casts in Dynamic Server," on page 10-1.

The following data types cannot be field types in an unnamed row type:

- SERIAL
- SERIAL8
- BYTE
- TEXT

The database server returns an error when any of the preceding types are specified in the field definition of an unnamed row type.

Chapter 9. Understanding Type and Table Inheritance in Dynamic Server

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In This Chapter

This chapter describes type and table inheritance and shows how to create type and table hierarchies to modify the types and tables within the respective hierarchies.

What Is Inheritance?

Inheritance is the process that allows a type or a table to acquire the properties of another type or table. The type or table that inherits the properties is called the *subtype* or *subtable*. The type or table whose properties are inherited is called the *supertype* or *supertable*. Inheritance allows for incremental modification so that a type or table can inherit a general set of properties and add properties that are specific to itself. You can use inheritance to make modifications only to the extent that the modifications do not alter the inherited supertypes or supertables.

Dynamic Server supports inheritance only for named row types and typed tables. Dynamic Server supports only single inheritance. With *single inheritance*, each subtype or subtable has only one supertype or supertable.

Type Inheritance

Type inheritance applies to named row types only. You can use inheritance to group named row types into a *type hierarchy* in which each subtype inherits the representation (data fields) and the behavior (UDRs, aggregates, and operators) of the supertype under which it is defined. A type hierarchy provides the following advantages:

- It encourages modular implementation of your data model.
- It ensures consistent reuse of schema components.
- It ensures that no data fields are accidentally left out.
- It allows a type to inherit UDRs that are defined on another data type.

Defining a Type Hierarchy

Figure 9-1 on page 9-2 provides an example of a simple type hierarchy that contains three named row types.

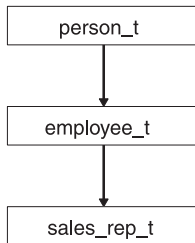


Figure 9-1. Example of a Type Hierarchy

The supertype at the top of the type hierarchy contains a group of fields that all underlying subtypes inherit. A supertype must exist before you can create its subtype. The following example creates the **person_t** supertype of the type hierarchy that Figure 9-1 on page 9-2 shows:

```
CREATE ROW TYPE person_t
(
  name      VARCHAR(30) NOT NULL,
  address   VARCHAR(20),
  city      VARCHAR(20),
  state     CHAR(2),
  zip       INTEGER,
  bdate     DATE
);
```

To create a subtype, specify the **UNDER** keyword and the name of the supertype whose properties the subtype inherits. The following example illustrates how you might define **employee_t** as a subtype that inherits all the fields of **person_t**. The example adds **salary** and **manager** fields that do not exist in the **person_t** type.


```

CREATE ROW TYPE employee_t
(
    salary    INTEGER,
    manager   VARCHAR(30)
)
UNDER person_t;

```

Important: You must have the UNDER privilege on the supertype before you can create a subtype that inherits the properties of the supertype. For information about UNDER privileges, see Chapter 12, “Implementing a Dimensional Database (XPS),” on page 12-1.

In the type hierarchy in Figure 9-1 on page 9-2, **sales_rep_t** is a subtype of **employee_t**, which is the supertype of **sales_rep_t** in the same way that **person_t** is the supertype of **employee_t**. The following example creates **sales_rep_t**, which inherits all fields from **person_t** and **employee_t** and adds four new fields. Because the modifications on a subtype do not affect its supertype, **employee_t** does not have the four fields that are added for **sales_rep_t**.

```

CREATE ROW TYPE sales_rep_t
(
    rep_num      INT8,
    region_num   INTEGER,
    commission   DECIMAL,
    home_office  BOOLEAN
)
UNDER employee_t;

```

The **sales_rep_t** type contains 12 fields: **name**, **address**, **city**, **state**, **zip**, **bdate**, **salary**, **manager**, **rep_num**, **region_num**, **commission**, and **home_office**.

Instances of both the **employee_t** and **sales_rep_t** types inherit all the UDRs that are defined for the **person_t** type. Any additional UDRs that are defined on **employee_t** automatically apply to instances of the **employee_t** type and to instances of its subtype **sales_rep_t**, but not to instances of **person_t**.

The preceding type hierarchy is an example of single inheritance because each subtype inherits from a single supertype. Figure 9-2 illustrates how you can define multiple subtypes under a single supertype. Although single inheritance requires that every subtype inherits from one and only one supertype, no practical limit exists on the depth or breadth of the type hierarchy that you define.

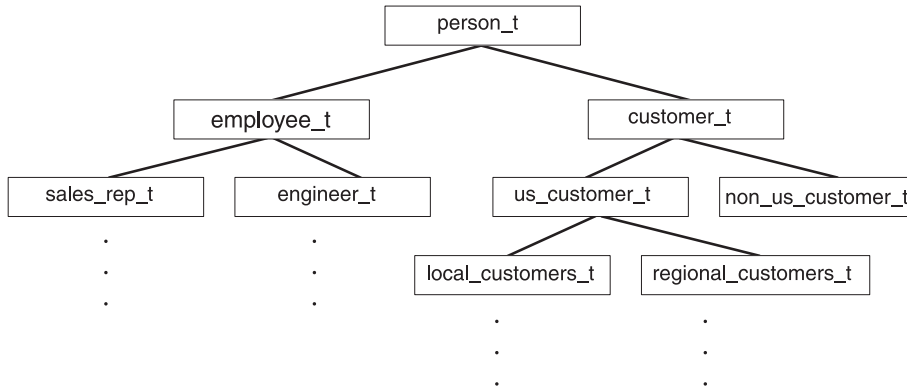


Figure 9-2. Example of a Type Hierarchy That Is a Tree Structure

The topmost type of any hierarchy is referred to as the *root supertype*. In Figure 9-2, **person_t** is the root supertype of the hierarchy. Except for the root supertype, any type in the hierarchy can be potentially both a supertype and subtype at the same time. For example, **customer_t** is a subtype of **person_t** and a supertype of **us_customer_t**. A subtype at the lower levels of the hierarchy contains properties of the root supertype but does not directly inherit its properties from the root supertype. For example, **us_customer_t** has only one supertype, **customer_t**, but because **customer_t** is itself a subtype of **person_t**, the fields and routines that **customer_t** inherits from **person_t** are also inherited by **us_customer_t**.

Overloading Routines for Types in a Type Hierarchy

Routine overloading refers to the ability to assign one name to multiple routines and specify different types of arguments on which the routines can operate. In a type hierarchy, a subtype automatically inherits the routines that are defined on its supertype. However you can define a new routine on a subtype to override the inherited routine with the same name. For example, suppose you create a **getinfo()** routine on type **person_t** that returns the last name and birthdate of an instance of type **person_t**. You can register another **getinfo()** routine on type **employee_t** that returns the last name and salary from an instance of **employee_t**. In this way, you can overload a routine, so that you have a customized routine for every type in the type hierarchy, as Figure 9-3 shows.

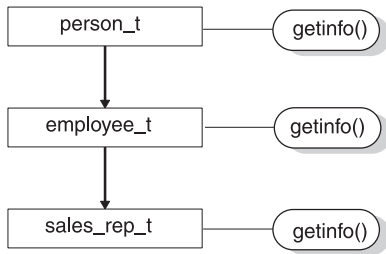


Figure 9-3. Example of Routine Overloading in a Type Hierarchy

When you overload a routine so that routines are defined with the same name but different arguments for different types in the type hierarchy, the argument that you specify determines which routine executes. For example, if you call **getinfo()** with an argument of type **employee_t**, a **getinfo()** routine defined on type **employee_t** overrides the inherited routine of the same name. Similarly, if you define another **getinfo()** on type **sales_rep_t**, a call to **getinfo()** with an argument of type **sales_rep_t** overrides the routine that **sales_rep_t** inherits from **employee_t**.

For information about how to create and register user-defined routines (UDRs), see *IBM Informix: User-Defined Routines and Data Types Developer's Guide*.

Inheritance and Type Substitutability

In a type hierarchy, a subtype automatically inherits all the routines defined on its supertype. Consequently, if you call a routine with an argument of a subtype and no routines are defined on the subtype, the database server can invoke a routine that is defined on a supertype. *Type substitutability* refers to the ability to use an instance of a subtype when an instance of a supertype is expected. As an example, suppose that you create a routine **p_info()** that accepts an argument of type **person_t** and returns the last name and birthdate of an instance of type **person_t**. If no other **p_info()** routines are registered, and you invoke **p_info()** with an argument of type **employee_t**, the routine returns the name and birthdate fields (inherited from **person_t**) from an instance of type **employee_t**. This behavior is possible because **employee_t** inherits the functions of its supertype, **person_t**.

In general, when the database server attempts to evaluate a routine, the database server searches for a signature that matches the routine name and the arguments that you specify when you invoke the routine. If such a routine is found, then the database server uses this routine. If an exact match is not found, the database server attempts to find a routine with the same name and whose argument type is a supertype of the argument type that is specified when the routine is invoked. Figure 9-4 on page 9-6 shows how the database server searches for a routine that it can use when a **get()** routine is called with

an argument of the subtype `sales_rep_t`. Although no `get()` routine has been defined on the `sales_rep_t` type, the database server searches for a routine until it finds a `get()` routine that has been defined on a supertype in the hierarchy. In this case, neither `sales_rep_t` nor its supertype `employee_t` has a `get()` routine defined over it. However, because a routine is defined for `person_t`, this routine is invoked to operate on an instance of `sales_rep_t`.

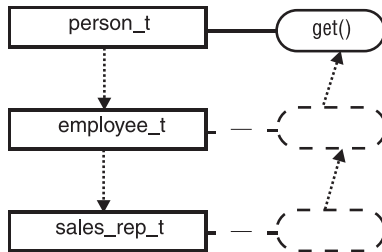


Figure 9-4. Example of How the Database Server Searches for a Routine in a Type Hierarchy

The process in which the database server searches for a routine that it can use is called *routine resolution*. For more information about routine resolution, see *IBM Informix: User-Defined Routines and Data Types Developer's Guide*.

Dropping Named Row Types from a Type Hierarchy

To drop a named row type from a type hierarchy, use the `DROP ROW TYPE` statement. However, you can drop a type only if it has no dependencies. You cannot drop a named row type if either of the following conditions is true:

- The type is currently assigned to a table.
- The type is a supertype of another type.

The following example shows how to drop the `sales_rep_t` type:

```
DROP ROW TYPE sales_rep_t RESTRICT;
```

To drop a supertype, you must first drop each subtype that inherits properties from the supertype. You drop types in a type hierarchy in the reverse order in which you create the types. For example, to drop the `person_t` type that Figure 9-4 shows, you must first drop its subtypes in the following order:

```
DROP ROW TYPE sale_rep_t RESTRICT;
DROP ROW TYPE employee_t RESTRICT;
DROP ROW TYPE person_t RESTRICT;
```

Important: To drop a type, you must be the database administrator or the owner of the type.

Table Inheritance

Only tables that are defined on named row types support table inheritance. *Table inheritance* is the property that allows a table to inherit the behavior (constraints, storage options, triggers) from the supertable above it in the table hierarchy. A *table hierarchy* is the relationship that you can define among tables in which subtables inherit the behavior of supertables. A table inheritance provides the following advantages:

- It encourages modular implementation of your data model.
- It ensures consistent reuse of schema components.
- It allows you to construct queries whose scope can be some or all of the tables in the table hierarchy.

In a table hierarchy, a subtable automatically inherits the following properties from its supertable:

- All constraint definitions (primary key, unique, and referential constraints)
- Storage option
- All triggers
- Indexes
- Access method

The Relationship Between Type and Table Hierarchies

Every table in a table hierarchy must be assigned to a named row type in a corresponding type hierarchy. Figure 9-5 shows an example of the relationships that can exist between a type hierarchy and table hierarchy.

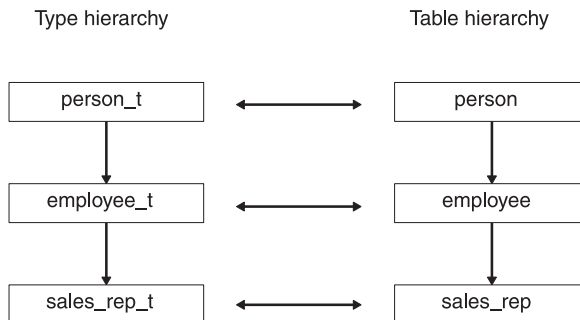


Figure 9-5. Example of the Relationship Between Type Hierarchy and Table Hierarchy

However, you can also define a type hierarchy in which the named row types do not necessarily have a one-to-one correspondence with the tables in a table hierarchy. Figure 9-6 shows how you might create a type hierarchy for which only some of the named row types have been assigned to tables.

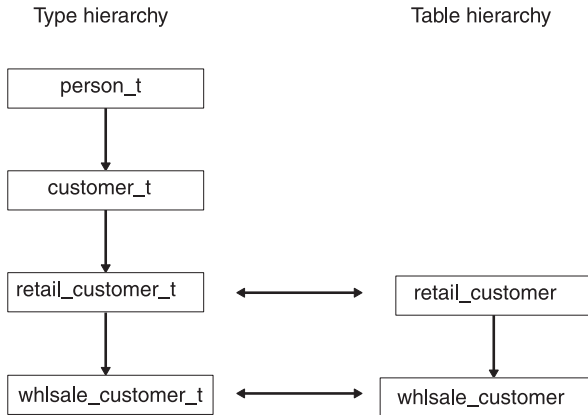


Figure 9-6. Example of an Inheritance Hierarchy in Which Only Some Types Have Been Assigned to Tables

Defining a Table Hierarchy

The type that you use to define a table must exist before you can create the table. Similarly, you define a type hierarchy before you define a corresponding table hierarchy. To establish the relationships between specific subtables and supertables in a table hierarchy, use the **UNDER** keyword. The following **CREATE TABLE** statements define the simple table hierarchy that Figure 9-5 on page 9-7 shows. The examples in this section assume that the **person_t**, **employee_t**, and **sales_rep_t** types already exist.

```
CREATE TABLE person OF TYPE person_t;
```

```
CREATE TABLE employee OF TYPE employee_t UNDER person;
```

```
CREATE TABLE sales_rep OF TYPE sales_rep_t UNDER employee;
```

The **person**, **employee**, and **sales_rep** tables are defined on the **person_t**, **employee_t**, and **sales_rep_t** types, respectively. Thus, for every type in the type hierarchy, a corresponding table exists in the table hierarchy. In addition, the relationship between the tables of a table hierarchy must match the relationship between the types of the type hierarchy. For example, the **employee** table inherits from **person** table in the same way that the **employee_t** type inherits from the **person_t** type, and the **sales_rep** table inherits from the **employee** table in the same way that the **sales_rep_t** type inherits from the **employee_t** type.

Subtables automatically inherit all inheritable properties that are added to supertables. Therefore, you can add or alter the properties of a supertable at

any time and the subtables automatically inherit the changes. For more information, see “Modifying Table Behavior in a Table Hierarchy” on page 9-10.

Important: You must have the UNDER privilege on the supertable before you can create a subtable that inherits the properties of the supertable. For more information, see “Under Privileges for Typed Tables (IDS)” on page 6-8.

Inheritance of Table Behavior in a Table Hierarchy

When you create a subtable under a supertable, the subtable inherits all the properties of its supertable, including the following ones:

- All columns of the supertable
- Constraint definitions
- Storage options
- Indexes
- Referential integrity
- Triggers
- The access method

In addition, if table **c** inherits from table **b** and table **b** inherits from table **a**, then table **c** automatically inherits the behavior unique to table **b** as well as the behavior that table **b** has inherited from table **a**. Consequently, the supertable that actually defines behavior can be several levels distant from the subtables that inherit the behavior. For example, consider the following table hierarchy:

```
CREATE TABLE person OF TYPE person_t
(PRIMARY KEY (name))
FRAGMENT BY EXPRESSION
name < 'n' IN dbspace1,
name >= 'n' IN dbspace2;
```

```
CREATE TABLE employee OF TYPE employee_t
(CHECK(salary > 34000))
UNDER person;
```

```
CREATE TABLE sales_rep OF TYPE sales_rep_t
LOCK MODE ROW
UNDER employee;
```

In this table hierarchy, the **employee** and **sales_rep** tables inherit the primary key name and fragmentation strategy of the **person** table. The **sales_rep** table inherits the check constraint of the **employee** table and adds a LOCK MODE. The following table shows the behavior for each table in the hierarchy.

| Table | Table Behavior |
|-------|----------------|
|-------|----------------|

| | |
|------------------|--|
| person | PRIMARY KEY, FRAGMENT BY EXPRESSION |
| employee | PRIMARY KEY, FRAGMENT BY EXPRESSION, CHECK constraint |
| sales_rep | PRIMARY KEY, FRAGMENT BY EXPRESSION, CHECK constraint, LOCK MODE ROW |

A table hierarchy might also contain subtables in which behavior defined on a subtable can override behavior (otherwise) inherited from its supertable. Consider the following table hierarchy, which is identical to the previous example except that the **employee** table adds a new storage option:

```
CREATE TABLE person OF TYPE person_t
(PRIMARY KEY (name))
FRAGMENT BY EXPRESSION
name < 'n' IN person1,
name >= 'n' IN person2;

CREATE TABLE employee OF TYPE employee_t
(CHECK(salary > 34000))
FRAGMENT BY EXPRESSION
name < 'n' IN employ1,
name >= 'n' IN employ2
UNDER person;

CREATE TABLE sales_rep OF TYPE sales_rep_t
LOCK MODE ROW
UNDER employee;
```

Again, the **employee** and **sales_rep** tables inherit the primary key name of the **person** table. However, the fragmentation strategy of the **employee** table overrides the fragmentation strategy of the **person** table. Consequently, both the **employee** and **sales_rep** tables store data in dbspaces **employ1** and **employ2**, whereas the **person** table stores data in dbspaces **person1** and **person2**.

Modifying Table Behavior in a Table Hierarchy

Once you define a table hierarchy, you cannot modify the structure (columns) of the existing tables. However, you can modify the behavior of tables in the hierarchy. Table 9-1 on page 9-11 shows the table behavior that you can modify in a table hierarchy and the syntax that you use to make modifications.

Table 9-1. Table Behavior That You Can Modify in a Table Hierarchy

| Table Behavior | Syntax | Considerations |
|------------------------|---------------------------|---|
| Constraint definitions | ALTER TABLE | To add or drop a constraint, use the ADD CONSTRAINT or DROP CONSTRAINT clause. For more information, see “Constraints on Tables in a Table Hierarchy” on page 9-11. |
| Indexes | CREATE INDEX, ALTER INDEX | For more information, see “Adding Indexes to Tables in a Table Hierarchy” on page 9-11 and the CREATE INDEX and ALTER INDEX statements in the <i>IBM Informix: Guide to SQL Syntax</i> . |
| Triggers | CREATE/DROP TRIGGER | You cannot drop an inherited trigger. However, you can drop a trigger from a supertable or add a trigger to a subtable to override an inherited trigger. For information about how to modify triggers on supertables and subtables, see “Triggers on Tables in a Table Hierarchy” on page 9-12. For information about how to create a trigger, see the <i>IBM Informix: Guide to SQL Tutorial</i> . |

All existing subtables automatically inherit new table behavior when you modify a supertable in the hierarchy.

Important: When you use the ALTER TABLE statement to modify a table in a table hierarchy, you can use only the ADD CONSTRAINT, DROP CONSTRAINT, MODIFY NEXT SIZE, and LOCK MODE clauses.

Constraints on Tables in a Table Hierarchy

You can alter or drop a constraint only in the table on which it is defined. You cannot drop or alter a constraint from a subtable when the constraint is inherited. However, a subtable can add additional constraints. Any additional constraints that you define on a table are also inherited by any subtables that inherit from the table that defines the constraint. Because constraints are additive, all inherited and current (added) constraints apply.

Adding Indexes to Tables in a Table Hierarchy

When you define an index on a supertable in a hierarchy, any subtables that you define under that supertable also inherit the index. Suppose you have a table hierarchy that contains the tables **tab_a**, **tab_b**, and **tab_c** where **tab_a** is a supertable to **tab_b**, and **tab_b** is a supertable to **tab_c**. If you create an index on a column of **tab_b**, then that index will exist on that column in both **tab_b** and **tab_c**. If you create an index on a column of **tab_a**, then that index will span **tab_a**, **tab_b**, and **tab_c**.

Important: An index that a subtable inherits from a supertable cannot be dropped or modified. However, you can add indexes to a subtable.

Indexes, unique constraints, and primary keys are all closely related. When you specify a unique constraint or primary key, the database server automatically creates a unique index on the column. Consequently, a primary key or unique constraint that you define on a supertable applies to all the subtables. For example, suppose there are two tables (a supertable and subtable), both of which contain a column **emp_id**. If the supertable specifies that **emp_id** has a unique constraint, the subtable must contain **emp_id** values that are unique across both the subtable and the supertable.

Important: You cannot define more than one primary key across a table hierarchy, even if some of the tables in the hierarchy do not inherit the primary key.

Triggers on Tables in a Table Hierarchy

You cannot drop an inherited trigger. However, you can create a trigger on a subtable to override a trigger that the subtable inherits from a supertable. Unlike constraints, triggers are not additive; only the nearest trigger on a supertable in the hierarchy applies.

If you want to disable the trigger that a subtable inherits from its supertable, you can create an empty trigger on the subtable to override the trigger from the supertable. Because triggers are not additive, this empty trigger executes for the subtable and any subtables under the subtable, which are not subject to further overrides.

SERIAL Types in a Table Hierarchy

A table hierarchy can contain columns of type SERIAL and SERIAL8. However, only one SERIAL and one SERIAL8 column are allowed across a table hierarchy. Suppose you create the following type and table hierarchy:

```
CREATE ROW TYPE parent_t (a INT);
CREATE ROW TYPE child1_t (s_col SERIAL) UNDER parent_t;
CREATE ROW TYPE child2_t (s8_col SERIAL8) UNDER child1_t;
CREATE ROW TYPE child3_t (d FLOAT) UNDER child2_t;
```

```
CREATE TABLE parent_tab of type parent_t;
CREATE TABLE child1_tab of type child1_t UNDER parent_tab;
CREATE TABLE child2_tab of type child2_t UNDER child1_tab;
CREATE TABLE child3_tab of type child3_t UNDER child2_tab;
```

The **parent_tab** table does not contain a SERIAL type. The **child1_tab** introduces a SERIAL counter into the hierarchy. The **child2_tab** inherits the SERIAL column from **child1_tab** and adds a SERIAL8 column. The **child3_tab** inherits both a SERIAL and SERIAL8 column.

A 0 value inserted into the `s_col` or `s8_col` column for any table in the hierarchy inserts a monotonically increasing value, regardless of which table takes the insert.

You cannot set a starting counter value for a SERIAL or SERIAL8 type in CREATE ROW TYPE statements. To set a starting value for a SERIAL or SERIAL8 column in a table hierarchy, you can use the ALTER TABLE statement. The following statement shows how to alter a table to modify the next SERIAL and SERIAL8 values to be inserted anywhere in the table hierarchy:

```
ALTER TABLE child3_tab
MODIFY (s_col SERIAL(100), s8_col SERIAL8 (200))
```

Except for the previously described behavior, all the rules that apply to SERIAL and SERIAL8 type columns in untyped tables also apply to SERIAL and SERIAL8 type columns in table hierarchies. For more information, see Chapter 3, “Choosing Data Types,” on page 3-1 and the *IBM Informix: Guide to SQL Reference*.

Adding a New Table to a Table Hierarchy

After you define a table hierarchy, you cannot use the ALTER TABLE statement to add, drop, or modify columns of a table within the hierarchy. However, you can add new subtypes and subtables to an existing hierarchy provided that the new subtype and subtable do not interfere with existing inheritance relationships. Figure 9-7 illustrates one way that you might add a type and corresponding table to an existing hierarchy. The dashed lines indicate the added subtype and subtable.

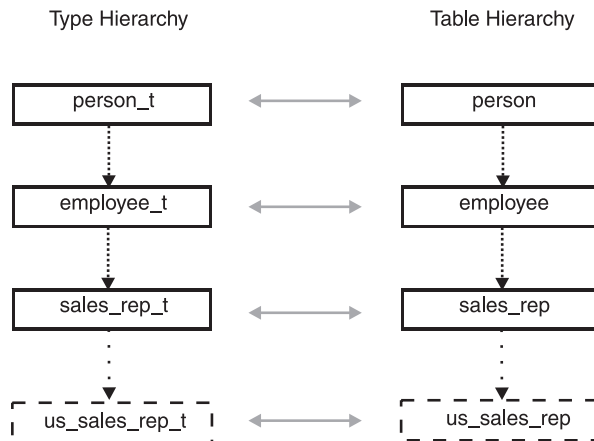


Figure 9-7. Example of How You Might Add a Subtype and Subtable to an Existing Inheritance Hierarchy

The following statements show how you might add the type and table to the inheritance hierarchy that Figure 9-7 shows:

```
CREATE ROW TYPE us_sales_rep_t (domestic_sales DECIMAL(15,2))  
UNDER employee_t;
```

```
CREATE TABLE us_sales_rep OF TYPE us_sales_rep_t  
UNDER sales_rep;
```

You can also add subtypes and subtables that branch from an existing supertype and its parallel supertable. Figure 9-8 shows how you might add the **customer_t** type and **customer** table to existing hierarchies. In this example, both the **customer** table and the **employee** table inherit properties from the **person** table.

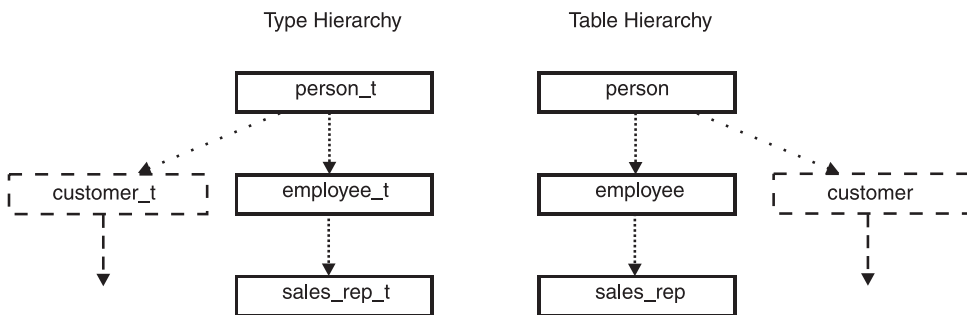


Figure 9-8. Example of Adding a Type and Table Under an Existing Supertype and Supertable

The following statements create the **customer_t** type and **customer** table under the **person_t** type and **person** table, respectively:

```
CREATE ROW TYPE customer_t (cust_num INTEGER) UNDER person_t;
```

```
CREATE TABLE customer OF TYPE customer_t UNDER person;
```

Dropping a Table in a Table Hierarchy

If a table and its corresponding named row type have no dependencies (they are not a supertable and supertype), you can drop the table and its type. You must drop the table before you can drop the type. For general information about dropping a table, see the **DROP TABLE** statement in the *IBM Informix: Guide to SQL Syntax*. For information about how to drop a named row type, see “Dropping Named Row Types” on page 8-21.

Altering the Structure of a Table in a Table Hierarchy

You cannot use the **ALTER TABLE** statement to add, drop, or modify the columns of a table in a table hierarchy. You *can* use the **ALTER TABLE** statement to add, drop, or modify constraints.

The process of adding, dropping, or modifying a column of a table in a table hierarchy (or otherwise altering the structure of a table) can be a time-intensive task.

To alter the structure of a table in a table hierarchy:

1. Download data from all subtables and the supertable that you want to modify.
2. Drop the subtables and subtypes.
3. Modify the unloaded data file.
4. Modify the supertable.
5. Re-create the subtypes and subtables.
6. Upload the data.

Querying Tables in a Table Hierarchy

A table hierarchy allows you to construct a SELECT, UPDATE, or DELETE statement whose scope is a supertable and its subtables—in a single SQL command. For example, a query against any supertable in a table hierarchy returns data for all columns of the supertable and the columns that subtables inherit from the supertable. To limit the results of a query to one table in the table hierarchy, you must include the ONLY keyword in the query. For more information about how to query and modify data from tables in a table hierarchy, see the *IBM Informix: Guide to SQL Tutorial*.

Creating a View on a Table in a Table Hierarchy

You can create a view based upon any table in a table hierarchy. For example, the following statement creates a view on the **person** table, which is the root supertable of the table hierarchy that Figure 9-5 on page 9-7 shows:

```
CREATE VIEW name_view AS SELECT name FROM person
```

Because the **person** table is a supertable, the view **name_view** displays data from the **name** column of the **person**, **employee**, and **sales_rep** tables. To create a view that displays only data from the **person** table, use the ONLY keyword, as the following example shows:

```
CREATE VIEW name_view AS SELECT name FROM ONLY(person)
```

Important: You cannot perform an insert or update on a view that is defined on a supertable because the database server cannot know where in the table hierarchy to put the new rows.

For information about how to create a typed view, see “Typed Views (IDS)” on page 6-22.

Chapter 10. Creating and Using User-Defined Casts in Dynamic Server

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In This Chapter

This chapter describes user-defined casts and shows how to use run-time casts to perform data conversions on extended data types.

What Is a Cast?

A *cast* is a mechanism that converts a value from one data type to another data type. Casts allow you to make comparisons between values of different data types or substitute a value of one data type for a value of another data type. Dynamic Server supports casts in the following types of expressions:

- Column expressions
- Constant expressions

- Function expressions
- SPL variables
- Host variables (**ESQL**)
- Statement local variable (SLV) expressions

To convert a value of one data type to another data type, a cast must exist in the database or the database server. Dynamic Server supports the following types of casts:

- **Built-in cast.** A *built-in cast* is a cast that is built into the database server. A built-in cast performs automatic conversions between different built-in data types.
- **User-defined cast.** A user-defined cast often requires a *cast function* to handle conversions from one data type to another. To register and use a user-defined cast, you must use the CREATE CAST statement.

A user-defined cast is *explicit* if you include the EXPLICIT keyword when you create a cast with the CREATE CAST statement. (The default option is explicit.) Explicit casts are never invoked automatically. To invoke an explicit cast, you must use the CAST... AS keywords or the double colon (::) cast operator.

A user-defined cast is *implicit* if you include the IMPLICIT keyword when you create a cast with a CREATE CAST statement. The database server automatically invokes implicit casts at runtime to perform data conversions.

All casts are included in the **systcasts** system catalog table. For information about **systcasts**, see the *IBM Informix: Guide to SQL Reference*.

Creating User-Defined Casts

When the database server does not provide built-in casts to perform conversions between two data types, you can create a user-defined cast to handle the data type conversion. User-defined casts are typically used to provide data type conversions for the following extended data types:

- **Opaque data types.** Developers of opaque data types must define casts to handle conversions between the internal/external representations of the opaque data type. For information about how to create and register casts for opaque data types, see *IBM Informix: User-Defined Routines and Data Types Developer's Guide*.
- **Distinct data types.** You cannot directly compare a distinct data type to its source type. However, Dynamic Server automatically registers explicit casts from the distinct type to the source type and vice versa. A distinct type does not inherit the casts that are defined on its source type. In addition, the user-defined casts that you might define on a distinct type are not available to its source type. For more information and examples that show

how to create and use casts on distinct types, see “Creating Cast Functions for User-Defined Casts” on page 10-13.

- **Named row types.** In most cases, you can explicitly cast a named row type to another row-type value without having to create the cast. However, to convert between values of a named row type and some other data type, you must first create the cast to handle the conversion.

For an example of how to create and use a user-defined cast, see “An Example of Casting Between Distinct Data Types” on page 10-14. For the syntax of the CREATE CAST statement, see the *IBM Informix: Guide to SQL Syntax*.

Invoking Casts

For built-in casts and user-defined implicit casts, the database server automatically (implicitly) invokes the cast to handle the data conversion. For example, you can compare a value of type INT with SMALLINT, FLOAT, or CHAR values without explicitly casting the expression because the database server provides system-defined casts to transparently handle conversions between these built-in data types.

When you define an explicit user-defined cast to handle conversions between two data types, you must explicitly invoke the cast with either the CAST...AS keywords or the double-colon cast operator (::). The following partial examples show the two ways that you can invoke an explicit cast:

```
... WHERE new_col = CAST(old_col AS newtype)
```

```
... WHERE new_col = old_col::newtype
```

Restrictions on User-Defined Casts

You cannot create a user-defined cast between two built-in data types. You also cannot create a user-defined cast that includes any of the following data types:

- Collection data types: LIST, MULTISSET, or SET
- Unnamed row types
- Smart-large-object data types: CLOB or BLOB
- Simple-large-object data types: TEXT or BYTE

In general, a cast between two data types requires that each data type represents the same number of component values. For example, a cast between a row type and an opaque data type is possible if each field in the row type has a corresponding field in the opaque data type. When you want to perform conversions between two data types that have the same storage structure, you can use the CREATE CAST statement without a cast function. Otherwise, you must create a cast function that you then register with a

CREATE CAST statement. For an example of how to use a cast function to create a user-defined cast, see “Creating Cast Functions for User-Defined Casts” on page 10-13.

Casting Row Types

You can compare or substitute between values of any two row types (named or unnamed) only when both row types have the same number of fields, and one of the following conditions is also true:

- All corresponding fields of the two row types have the same data type.
Two row types are considered *structurally equivalent* when they have the same number of fields and the data types of corresponding fields are the same.
- User-defined casts exist to perform the conversions when two named row types are being compared.
- System-defined or user-defined casts exist to perform the necessary conversions for corresponding field values that are not of the same data type.

When the corresponding fields are not of the same data type, you can use either system-defined casts or user-defined casts to handle data conversions on the fields.

If a built-in cast exists to handle data conversions on the individual fields, you can explicitly cast the value of one row type to the other row type (unless the row types are both unnamed row types, in which case an explicit cast is not necessary).

If a built-in cast does not exist to handle field conversions, you can create a user-defined cast to handle the field conversions. The cast can be either implicit or explicit.

In general, when a row type is cast to another row type, the individual field conversions might be handled with explicit or implicit casts. When the conversion between corresponding fields requires an explicit cast, the value of the field that is cast must match the value of the corresponding field exactly, because the database server applies no additional implicit casts on a value that has been explicitly cast.

Casting Between Named and Unnamed Row Types

To compare values of a named row type with values of an unnamed row type, you can use an explicit cast. Suppose that you create the following named row type and tables:

```

CREATE ROW TYPE info_t (x CHAR(1), y CHAR(20))
CREATE TABLE customer (cust_info info_t)
CREATE TABLE retailer (ret_info ROW (a CHAR(1), b CHAR(20)))
INSERT INTO customer2 VALUES(ROW('t','philips')::info_t2)

```

The following INSERT statements show how to create row-type values for the **customer** and **retailer** tables:

```

INSERT INTO customer VALUES(ROW('t','philips')::info_t)
INSERT INTO retailer VALUES(ROW('f','johns'))

```

To compare or substitute data from the **customer** table with data from **retailer** table, you must use an explicit cast to convert a value of one row type to the other row type. In the following query, the **ret_info** column (an unnamed row type) is explicitly cast to **info_t** (a named row type):

```

SELECT cust_info
FROM customer, retailer
WHERE cust_info = ret_info::info_t

```

In general, to perform a conversion between a named row type and an unnamed row type, you must explicitly cast one row type to the other row type. You can perform an explicit cast in either direction: you can cast the named row type to an unnamed row type or cast the unnamed row type to a named row type. The following statement returns the same results as the previous example. However, the named row type in this example is explicitly cast to the unnamed row type:

```

SELECT cust_info
FROM customer, retailer
WHERE cust_info::ROW(a CHAR(1), b CHAR(20)) = ret_info

```

Casting Between Unnamed Row Types

You can compare two unnamed row types that are structurally equivalent without an explicit cast. You can also compare an unnamed row type with another unnamed row type, if both row types have the same number of fields, and casts exist to convert values of corresponding fields that are not of the same data type. In other words, the cast from one unnamed row type to another is implicit if all the casts that handle field conversions are system-defined or implicit casts. Otherwise, you must explicitly cast an unnamed row type to compare it with another row type.

Suppose you create the following **prices** table:

```

CREATE TABLE prices
(co11 ROW(a SMALLINT, b FLOAT)
co12 ROW(x INT, y REAL) )

```

The values of the two unnamed row types can be compared (without an explicit cast) when built-in casts exist to perform conversions between

corresponding fields. Consequently, the following query does not require an explicit cast to compare `col1` and `col2` values:

```
SELECT * FROM prices WHERE col1 = col2
```

In this example, the database server implicitly invokes a built-in cast to convert field values of `SMALLINT` to `INT` and `REAL` to `FLOAT`.

If corresponding fields of two row types cannot implicitly cast to one another, you can explicitly cast between the types, if a user-defined cast exists to handle conversions between the two types.

Casting Between Named Row Types

A named row type is strongly typed, which means that the database server recognizes two named row types as two separate types even if the row types are structurally equivalent. For this reason you must create and register a user-defined cast before you can perform comparisons between two named row types. For an example of how to create and use casts to handle conversions between two named row types, see “An Example of Casting Between Named Row Types” on page 10-14.

Using Explicit Casts on Fields

Before you can explicitly cast between two row types (named or unnamed), whose fields contain different data types, a cast (either system-defined or user-defined) must exist to handle conversions between the corresponding field data types.

When you explicitly cast between two row types, the database server automatically invokes any explicit casts that are necessary to handle conversions between field data types. In other words, when you perform an explicit cast on a row type value, you do not have to explicitly cast individual fields of the row type, unless more than one level of casting is necessary to handle the data type conversion on the field.

The row types and tables in the following example are used throughout this section to show the behavior of explicit casts on named and unnamed row types:

```
CREATE DISTINCT TYPE d_float AS FLOAT;
CREATE ROW TYPE row_t (a INT, b d_float);

CREATE TABLE tab1 (col1 ROW (a INT, b d_float));
CREATE TABLE tab2(col2 ROW (a INT, b FLOAT));
CREATE TABLE tab3 (col3 row_t);
```

Explicit Casts on Fields of an Unnamed Row Type

When a conversion between two row types involves an explicit cast to convert between particular field values, you can explicitly cast the row type value but do not need to explicitly cast the individual field.

The following statement shows how to insert a value into the **tab1** table:

```
INSERT INTO tab1 VALUES (ROW( 3, 5.66::FLOAT::d_float))
```

To insert a value from **col1** of **tab1** into **col2** of **tab2**, you must explicitly cast the row value because the database server does not automatically handle conversions between the **d_float** distinct type of **tab1** to the **FLOAT** type of table **tab2**:

```
INSERT INTO tab2 SELECT col1::ROW(a INT, b FLOAT) FROM tab1
```

In this example, the cast that is used to convert the **b** field is explicit because the conversion from **d_float** to **FLOAT** requires an explicit cast (to convert a distinct type to its source type requires an explicit cast).

In general, to cast between two unnamed row types where one or more of the fields uses an explicit cast, you must explicitly cast at the level of the row type, not at the level of the field.

Explicit Casts on Fields of a Named Row Type

When you explicitly cast a value as a named row type, the database server automatically invokes any implicit or explicit casts that are used to convert field values to the target data type. In the following statement, the explicit cast of **col1** to type **row_t** automatically invokes the explicit cast that converts a field value of type **FLOAT** to **d_float**:

```
INSERT INTO tab3 SELECT col2::row_t FROM tab2
```

The following **INSERT** statement includes an explicit cast to the **row_t** type. The explicit cast to the row type also invokes an explicit cast to convert the **b** field of type **row_t** from **FLOAT** to **d_float**. In general, an explicit cast to a row type also invokes any explicit casts on the individual fields (one-level deep) that the row type contains to handle conversions.

```
INSERT INTO tab3 VALUES (ROW(5, 6.55::FLOAT)::row_t)
```

The following statement is also valid and returns the same results as the preceding statement. However, this statement shows all the explicit casts that are performed to insert a **row_t** value into the **tab3** table.

```
INSERT INTO tab3 VALUES (ROW(5, 6.55::float::d_float)::row_t)
```

In the preceding examples, the conversions between the **b** fields of the row types require two levels of casting. The database server handles any value that contains a decimal point as a **DECIMAL** type. In addition, no implicit casts exist between the **DECIMAL** and **d_float** data types, so two levels of casting are necessary: a cast from **DECIMAL** to **FLOAT** and a second cast from **FLOAT** to **d_float**.

Casting Individual Fields of a Row Type

If an operation on a field of a row type requires an explicit cast, you can explicitly cast the individual field value without consideration of the row type with which the field is associated. The following statement uses an explicit cast on the field value to handle the conversion:

```
SELECT col1 from tab1, tab2 WHERE col1.b = col2.b::FLOAT::d_float
```

If an operation on a field of a row type requires an implicit cast, you can simply specify the appropriate field value and the database server handles the conversion automatically. In the following statement, which compares field values of different data types, a built-in cast automatically converts between INT and FLOAT values:

```
SELECT col1 from tab1, tab2 WHERE col1.a = col2.b
```

Casting Collection Data Types

In some cases, you can use an explicit cast to perform conversions between two collections with different element types. To compare or substitute between values of any two collection types, both collections must be of type SET, MULTISET, or LIST.

- Two element types are equivalent when all component types are the same. For example, if the element type of one collection is a row type, the other collection type is also a row type with the same number of fields and the same field data types.
- Casts exist in the database to perform conversions between any and all components of the element types that are not of the same data type.

If the corresponding element types are not of the same data type, Dynamic Server can use either built-in casts or user-defined casts to handle data conversions on the element types.

When the database server inserts, updates, or compares values of a collection data type, type checking occurs at the level of the element data type. Consequently, in a cast between two collection types, the data conversion occurs at the level of the element type because the actual data stored in a collection is of a particular element type.

The following type and tables are used in the collection casting examples in this section:

```
CREATE DISTINCT TYPE my_int AS INT;  
  
CREATE TABLE set_tab1 (col1 SET(my_int NOT NULL));  
CREATE TABLE set_tab2 (col2 SET(INT NOT NULL));  
CREATE TABLE set_tab3 (col3 SET(FLOAT NOT NULL));  
CREATE TABLE list_tab (col4 LIST(INT NOT NULL));  
CREATE TABLE m_set_tab(col5 MULTiset(INT NOT NULL));
```

Restrictions on Collection-Type Conversions

Because each collection data type (SET, MULTISSET, and LIST) has different characteristics, conversions between collections with different collection types are disallowed. For example, elements stored in a LIST collection have a specific order associated with them. This order would be lost if the elements inserted into a LIST collection could be inserted into a MULTISSET collection. Consequently, you cannot insert or update elements from one collection with elements from a different collection type even though the two collections might share the same element type. The following INSERT statement returns an error because the column on which the insert is performed is a MULTISSET collection and the value being inserted is a LIST collection:

```
INSERT INTO m_set_tab SELECT col4 FROM list_tab -- returns error
```

Collections with Different Element Types

How you handle conversions between two collections that have the same collection type but different element types depends on the element type of each collection and the type of cast that the database server uses to convert one element type to another when the element types are different, as follows:

- If a built-in cast or implicit user-defined cast exists to handle the conversion between two element types, you do not need to explicitly cast between the collection types.
- If an explicit cast exists to handle the conversion between element types, you can perform an explicit cast on a collection.

Using an Implicit Cast Between Element Types

When an implicit cast exists in the database to convert between different element types of two collections, you do not need to use an explicit cast to insert or update elements from one collection into another collection. The following INSERT statement retrieves elements from the **set_tab2** table and inserts the elements into the **set_tab3** table. Although the collection column from **set_tab2** has an INT element type and the collection column from **set_tab3** has a FLOAT element type, a built-in cast implicitly handles the conversion between INT and FLOAT values. An explicit cast is unnecessary in this case.

```
INSERT INTO set_tab3 SELECT col2 FROM set_tab2
```

Using an Explicit Cast Between Element Types

When a conversion between different element types of two collections is performed with an explicit cast, you must explicitly cast one collection to the other collection type. In the following example, the conversion between the element types (INT and **my_int**) requires an explicit cast. (A cast between a distinct type and its source type is always explicit).

The following INSERT statement retrieves elements from the **set_tab2** table and inserts the elements into the **set_tab1** table. The collection column from

set_tab2 has an INT element type, and the collection column from **set_tab1** has a **my_int** element type. Because the conversion between the element types (INT and **my_int**) requires an explicit cast, you must explicitly cast the collection type.

```
INSERT INTO set_tab1 SELECT col2::SET(my_int NOT NULL)
FROM set_tab2
```

To perform an explicit cast on a collection type, you must include the constructor (SET, MULTiset, or LIST), the element type, and the NOT NULL keyword.

Converting Relational Data to a MULTiset Collection

When you have data from a relational table you can use a collection subquery to cast a row value to a MULTiset collection. Suppose you create the following tables:

```
CREATE TABLE tab_a ( a_col INTEGER);
CREATE TABLE tab_b (ms_col MULTiset(ROW(a INT) NOT NULL) );
```

The following example shows how you might use a collection subquery to convert rows of INT values from the **tab_a** table to a MULTiset collection. All rows from **tab_a** are converted to a MULTiset collection and inserted into the **tab_b** table.

```
INSERT INTO tab_b VALUES (
(MULTiset (SELECT a_col FROM tab_a)))
```

Casting Distinct Data Types

A distinct type inherits none of the built-in casts of the built-in type that a distinct type might use as its source type. Consequently, the built-in casts that exist to implicitly convert a built-in data type to other data types are not available to the distinct type that uses the built-in type as its source type. However, when you create a distinct type on a built-in type, the database server provides two explicit casts to handle conversions from the distinct type to the built-in type and from the built-in type to the distinct type.

Using Explicit Casts with Distinct Types

To compare or substitute between values of a distinct type and its source type, you must explicitly cast one type to the other. For example, to insert into or update a column of a distinct type with values of the source type, you must explicitly cast the values to the distinct type.

Suppose you create a distinct type, **int_type**, that is based on the INTEGER data type and a table with a column of type **int_type**, as follows:

```
CREATE DISTINCT TYPE int_type AS INTEGER;
CREATE TABLE tab_z(col1 int_type);
```


To insert a value into the **tab_z** table, you must explicitly cast the value for the **col1** column to **int_type**, as follows:

```
INSERT INTO tab_z VALUES (35::int_type)
```

Suppose you create a distinct type, **num_type**, that is based on the **NUMERIC**, data type and a table with a column of type **num_type**, as follows:

```
CREATE DISTINCT TYPE num_type AS NUMERIC;  
CREATE TABLE tab_x (col1 num_type);
```

The distinct **num_type** inherits none of the system-defined casts that exist for the **NUMERIC** data type. Consequently, the following insert requires two levels of casting. The first cast converts the value 35 from **INT** to **NUMERIC** and the second cast converts from **NUMERIC** to **num_type**:

```
INSERT INTO tab_x VALUES (35::NUMERIC::num_type)
```

The following **INSERT** statement on the **tab_x** table returns an error because no cast exists to convert directly from an **INT** type to **num_type**:

```
INSERT INTO tab_x VALUES (70::num_type) -- returns error
```

Casting Between a Distinct Type and Its Source Type

Although data of a distinct type has the same representation as its source type, a distinct type cannot be compared directly to its source type. For this reason, when you create a distinct data type, Dynamic Server automatically registers the following explicit casts:

- A cast from the distinct type to its source type
- A cast from the source type to the distinct type

Suppose you create two distinct types: one to handle movie titles and the other to handle music recordings. You might create the following distinct types that are based on the **VARCHAR** data type:

```
CREATE DISTINCT TYPE movie_type AS VARCHAR(30);  
CREATE DISTINCT TYPE music_type AS VARCHAR(30);
```

You can then create the **entertainment** table that includes columns of type **movie_type**, **music_type**, and **VARCHAR**.

```
CREATE TABLE entertainment  
(  
  video          movie_type,  
  compact_disc  music_type,  
  laser_disc    VARCHAR(30)  
);
```

To compare a distinct type with its source type or vice versa, you must perform an explicit cast from one data type to the other. For example, suppose you want to check for movies that are available on both video and laser disc.

The following statement requires an explicit cast in the WHERE clause to compare a value of a distinct type (**music_type**) with a value of its source type (VARCHAR). In this example, the source type is explicitly cast to the distinct type.

```
SELECT video FROM entertainment
  WHERE video = laser_disc::movie_type
```

However, you might also explicitly cast the distinct type to the source type as the following statement shows:

```
SELECT video FROM entertainment
  WHERE video::VARCHAR(30) = laser_disc
```

To perform a conversion between two distinct types that are defined on the same source type, you must make an intermediate cast back to the source type before casting to the target distinct type. The following statement compares a value of **music_type** with a value of **movie_type**:

```
SELECT video FROM entertainment
  WHERE video = compact_disc::VARCHAR(30)::movie_type
```

Adding and Dropping Casts on a Distinct Type

To enforce strong typing on a distinct type, the database server provides explicit casts to handle conversions between a distinct type and its source type. However, the creator of a distinct type can drop the existing explicit casts and create implicit casts, so that conversions between a distinct type and its source type do not require an explicit cast.

Important: When you drop the explicit casts between a distinct type and its source type that the database server provides, and instead create implicit casts to handle conversions between these data types, you diminish the distinctiveness of the distinct type.

The following DROP CAST statements drop the two explicit casts that were automatically defined on the **movie_type**:

```
DROP CAST(movie_type AS VARCHAR(30));
DROP CAST(VARCHAR(30) AS movie_type);
```

After the existing casts are dropped, you can create two implicit casts to handle conversions between **movie_type** and VARCHAR. The following CREATE CAST statements create two implicit casts:

```
CREATE IMPLICIT CAST (movie_type AS VARCHAR(30));
CREATE IMPLICIT CAST (VARCHAR(30) AS movie_type);
```

You cannot create a cast to convert between two data types if such a cast already exists in the database.

If you create implicit casts to convert between the distinct type and its source type, you can compare the two types without an explicit cast. In the following statement, the comparison between the **video** column and the **laser_disc** column requires a conversion. Because an implicit cast has been created, the conversion between VARCHAR and **movie_type** is implicit.

```
SELECT video FROM entertainment
WHERE video = laser_disc
```

Casting to Smart Large Objects

The database server provides casts to allow the conversion of TEXT and BYTE objects to BLOB and CLOB data types. This feature allows users to migrate BYTE and TEXT data from legacy databases into BLOB and CLOB columns.

The following example shows how to use an explicit cast to convert a BYTE column value from the **catalog** table in the **stores_demo** database to a BLOB column value and update the **catalog** table in the **superstores_demo** database:

```
UPDATE catalog SET advert = ROW (
(SELECT cat_photo::BLOB FROM stores_demo:catalog
WHERE catalog_num = 10027),
advert.caption)
WHERE catalog_num = 10027
```

The database server does not provide casts to convert BLOB to BYTE values or CLOB to TEXT values.

Creating Cast Functions for User-Defined Casts

If your database contains opaque data types, distinct data types, or named row types, you might want to create user-defined casts that allow you to convert between the different data types. When you want to perform conversions between two data types that have the same storage structure, you can use the CREATE CAST statement without a cast function. However, in some cases you must create a cast function that you then register as a cast. You need to create a cast function under the following conditions:

- The conversion is between two data types that have different storage structures
- The conversion involves the manipulation of values to ensure that data conversions are meaningful

The following sections show how to create and use user-defined casts that require cast functions.

An Example of Casting Between Named Row Types

Suppose you create the named row types and table shown in the next example. Although the named row types are structurally equivalent, **writer_t** and **editor_t** are unique data types.

```
CREATE ROW TYPE writer_t (name VARCHAR(30), depart CHAR(3));
CREATE ROW TYPE editor_t (name VARCHAR(30), depart CHAR(3));

CREATE TABLE projects
(
    book_title VARCHAR(20),
    writer      writer_t,
    editor      editor_t
);
```

To handle conversions between two named row types, you must first create a user-defined cast. The following example creates a casting function and registers it as a cast to handle conversions from type **writer_t** to **editor_t**:

```
CREATE FUNCTION cast_rt (w writer_t)
    RETURNS editor_t
    RETURN (ROW(w.name, w.depart)::editor_t);
END FUNCTION;

CREATE CAST (writer_t as editor_t WITH cast_rt);
```

Once you create and register the cast, you can explicitly cast values of type **writer_t** to **editor_t**. The following query uses an explicit cast in the WHERE clause to convert values of type **writer_t** to **editor_t**:

```
SELECT book_title FROM projects
    WHERE CAST(writer AS editor_t) = editor;
```

If you prefer, you can use the :: cast operator to perform the same cast, as the following example shows:

```
SELECT book_title FROM projects
    WHERE writer::editor_t = editor;
```

An Example of Casting Between Distinct Data Types

Suppose you want to define distinct types to represent **dollar**, **yen**, and **sterling** currencies. Any comparison between two currencies must take the exchange rate into account. Thus, you need to create cast functions that not only handle the cast from one data type to the other data type but also calculate the exchange rate for the values that you want to compare.

The following example shows how you might define three distinct types on the same source type, DOUBLE PRECISION:

```
CREATE DISTINCT TYPE dollar AS DOUBLE PRECISION;
CREATE DISTINCT TYPE yen AS DOUBLE PRECISION;
CREATE DISTINCT TYPE sterling AS DOUBLE PRECISION;
```

After you define the distinct types, you can create a table that provides the prices that manufacturers charge for comparable products. The following example creates the **manufact_price** table, which contains a column for the **dollar**, **yen**, and **sterling** distinct types:

```
CREATE TABLE manufact_price
(
product_desc  VARCHAR(20),
us_price      dollar,
japan_price   yen,
uk_price      sterling
);
```

When you insert values into the **manufact_price** table, you can cast to the appropriate distinct type for **dollar**, **yen**, and **sterling** values, as follows:

```
INSERT INTO manufact_price
VALUES ('baseball', 5.00::DOUBLE PRECISION::dollar,
       510.00::DOUBLE PRECISION::yen,
       3.50::DOUBLE PRECISION::sterling);
```

Because a distinct type does not inherit any of the built-in casts available to its source type, each of the preceding INSERT statements requires two casts. For each INSERT statement, the inner cast converts from DECIMAL to DOUBLE PRECISION and the outer cast converts from DOUBLE PRECISION to the appropriate distinct type (**dollar**, **yen**, or **sterling**).

Before you can compare the **dollar**, **yen**, and **sterling** data types, you must create cast functions and register them as casts. The following example creates SPL functions that you can use to compare **dollar**, **yen**, and **sterling** values. Each function multiplies the input value by a value that reflects the exchange rate.

```
CREATE FUNCTION dollar_to_yen(d dollar)
RETURN (d::DOUBLE PRECISION * 106)::CHAR(20)::yen;
END FUNCTION;

CREATE FUNCTION sterling_to_dollar(s sterling)
RETURNS dollar
RETURN (s::DOUBLE PRECISION * 1.59)::CHAR(20)::dollar;
END FUNCTION;
```

After you write the cast functions, you must use the CREATE CAST statement to register the functions as casts. The following statements register the **dollar_to_yen()** and **sterling_to_dollar()** functions as explicit casts:

```
CREATE CAST(dollar AS yen WITH dollar_to_yen);
CREATE CAST(sterling AS dollar WITH sterling_to_dollar);
```

After you register the function as a cast, use it for operations that require conversions between the data types. For the syntax that you use to create a

cast function and register it as a cast, see the CREATE FUNCTION and CREATE CAST statements in the *IBM Informix: Guide to SQL Syntax*.

In the following query, the WHERE clause includes an explicit cast that invokes the **dollar_to_yen()** function to compare **dollar** and **yen** values:

```
SELECT * FROM manufact_price
  WHERE CAST(us_price AS yen) < japan_price;
```

The following query uses the cast operator to perform the same conversion shown in the preceding query:

```
SELECT * FROM manufact_price
  WHERE us_price::yen < japan_price;
```

You can also use an explicit cast to convert the values that a query returns. The following query uses a cast to return **yen** equivalents of **dollar** values. The WHERE clause of the query also uses an explicit cast to compare **dollar** and **yen** values.

```
SELECT us_price::yen, japan_price FROM manufact_price
  WHERE us_price::yen < japan_price;
```

Multilevel Casting

A *multilevel cast* refers to an operation that requires two or more levels of casting in an expression to convert a value of one data type to the target data type. Because no casts exist between **yen** and **sterling** values, a query that compares the two data types requires multiple casts. The first (inner) cast converts **sterling** values to **dollar** values; the second (outer) cast converts **dollar** values to **yen** values.

```
SELECT * FROM manufact_price
  WHERE japan_price < uk_price::dollar::yen
```

You might add another cast function to handle **yen** to **sterling** conversions directly. The following example creates the function **yen_to_sterling()** and registers it as a cast. To account for the exchange rate, the function multiplies **yen** values by .01 to derive equivalent **sterling** values.

```
CREATE FUNCTION yen_to_sterling(y yen)
  RETURNS sterling
  RETURN (y::DOUBLE PRECISION * .01)::CHAR(20)::sterling;
END FUNCTION;
```

```
CREATE CAST (yen AS sterling WITH yen_to_sterling);
```

With the addition of the **yen to sterling** cast, you can use a single-level cast to compare **yen** and **sterling** values, as the following query shows:

```
SELECT japan_price::sterling, uk_price FROM manufact_price
  WHERE japan_price::sterling < uk_price;
```

In the `SELECT` statement, the explicit cast returns **yen** values as their **sterling** equivalents. In the `WHERE` clause, the cast allows comparisons between **yen** and **sterling** values.

Part 4. Dimensional Databases

Chapter 11. Building a Dimensional Data Model

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In This Chapter

This chapter describes concepts and techniques of dimensional data modeling and shows how to build a simple dimensional data model. Chapter 12, “Implementing a Dimensional Database (XPS),” on page 12-1 shows how to use SQL to implement this dimensional data model.

A dimensional data model is harder to maintain for very large data warehouses than a relational data model. For this reason, data warehouses typically are based on a relational data model. However, a dimensional data model is particularly well-suited for building data marts (a subset of data warehouse).

The general principles of dimensional data modeling that this chapter discusses are applicable for databases that you create with Dynamic Server or Extended Parallel Server. Although no single factor determines which database server you should use to build a dimensional database, the

assumption is that large, scalable warehouses are built with Extended Parallel Server, while smaller warehouses, OLTP systems, and operational systems are built with Dynamic Server.

To understand the concepts of dimensional data modeling, you should have a basic understanding of SQL and relational database theory. This chapter provides only a summary of data warehousing concepts and describes a simple dimensional data model.

Overview of Data Warehousing

In the broadest sense of the term, a *data warehouse* has been used to refer to a database that contains very large stores of historical data. The data is stored as a series of snapshots, in which each record represents data at a specific time. This data snapshot allows a user to reconstruct history and to make accurate comparisons between different time periods. A data warehouse integrates and transforms the data that it retrieves before it is loaded into the warehouse. A primary advantage of a data warehouse is that it provides easy access to and analysis of vast stores of information.

The term data warehouse can mean different things to different people. This manual uses the umbrella terms *data warehousing* and *data-warehousing environment* to encompass any of the following forms that you might use to store your data:

- Data warehouse

A database that is optimized for data retrieval. The data is not stored at the transaction level; some level of data is summarized. Unlike traditional OLTP databases, which automate day-to-day operations, a data warehouse provides a decision-support environment in which you can evaluate the performance of an *entire enterprise* over time. Typically, you use a relational data model to build a data warehouse.

- Data mart

A subset of data warehouse that is stored in a smaller database and that is oriented toward a specific purpose or data subject rather than for enterprise-wide strategic planning. A data mart can contain operational data, summarized data, spatial data, or metadata. Typically, you use a dimensional data model to build a data mart.

- Operational data store

A subject-oriented system that is optimized for looking up one or two records at a time for decision making. An operational data store is a hybrid form of data warehouse that contains timely, current, integrated information. The data typically is of a higher level granularity than the

transaction. You can use an operational data store for clerical, day-to-day decision making. This data can serve as the common source of data for data warehouses.

- **Repository**

A repository combines multiple data sources into one normalized database. The records in a repository are updated frequently. Data is operational, not historical. You might use the repository for specific decision-support queries, depending on the specific system requirements. A repository fits the needs of a corporation that requires an integrated, enterprise-wide data source for operational processing.

Why Build a Dimensional Database?

Relational databases typically are optimized for online transaction processing (OLTP). OLTP systems are designed to meet the day-to-day operational needs of the business, and the database performance is tuned for those operational needs. Consequently, the database can retrieve a small number of records quickly, but it can be slow if you need to retrieve a large number of records and summarize data on the fly. Some potential disadvantages of OLTP systems are as follows:

- Data might not be consistent across the business enterprise.
- Access to data can be complicated.

In contrast, a dimensional database is designed and tuned to support the analysis of business trends and projections. This type of informational processing is known as online analytical processing (OLAP) or decision-support processing. OLAP is also the term that database designers use to describe a dimensional approach to informational processing.

A dimensional database is optimized for data retrieval and analysis. Any new data that you load into the database is usually updated in batch, often from multiple sources. Whereas OLTP systems tend to organize data around specific processes (such as order entry), a dimensional database tends to be subject oriented and aims to answer questions such as, "What products are selling well?" "At what time of year do products sell best?" "In what regions are sales weakest?"

The following table summarizes the key differences between OLTP and OLAP databases.

| Relational Database (OLTP) | Dimensional Database (OLAP) |
|-----------------------------------|------------------------------------|
| Data is atomized | Data is summarized |
| Data is current | Data is historical |
| Processes one record at a time | Processes many records at a time |
| Process oriented | Subject oriented |

| Relational Database (OLTP) | Dimensional Database (OLAP) |
|--|--|
| Designed for highly structured repetitive processing | Designed for highly unstructured analytical processing |

Many of the problems that businesses attempt to solve with relational technology are multidimensional in nature. For example, SQL queries that create summaries of product sales by region, region sales by product, and so on, might require hours of processing on a traditional relational database. However, a dimensional database could process the same queries in a fraction of the time.

Besides the characteristic schema design differences between OLTP and OLAP databases that this chapter discusses, the query optimizer typically should be tuned differently for these two types of tasks. For example, in OLTP operations, the OPTCOMPIND setting (as specified by the environment variable or by the configuration parameter of that name) should typically be set to zero, to support nested-loop joins. OLAP operations, in contrast, tend to be more efficient with an OPTCOMPIND setting of 2 to support cost-based query plans. See the *IBM Informix: Guide to SQL Reference* and the *IBM Informix: Administrator's Reference* for more information about the **OPTCOMPIND** environment variable and the OPTCOMPIND configuration parameter respectively. See the *IBM Informix: Performance Guide* for additional information about OPTCOMPIND, join methods, and the query optimizer.

(Dynamic Server also supports the SET ENVIRONMENT OPTCOMPIND statement to change OPTCOMPIND setting dynamically during sessions in which both OLTP and OLAP operations are required. See the *IBM Informix: Guide to SQL Syntax* for more information about the SET ENVIRONMENT statement of SQL.)

What Is Dimensional Data?

Traditional relational databases are organized around a list of records. Each record contains related information that is organized into attributes (fields). The **customer** table of the **stores_demo** demonstration database, which includes fields for name, company, address, phone, and so forth, is a typical example. While this table has several fields of information, each row in the table pertains to only one customer. If you wanted to create a two-dimensional matrix with customer name and any other field (for example, phone number), you would realize that there is only a one-to-one correspondence. Table 11-1 shows a table with fields that have only a one-to-one correspondence.

Table 11-1. A Table with a One-To-One Correspondences Between Fields

| Customer | Phone number ---> | | |
|---------------|-------------------|--------------|--------------|
| Ludwig Pauli | 408-789-8075 | ----- | ----- |
| Carole Sadler | ----- | 415-822-1289 | ----- |
| Philip Currie | ----- | ----- | 414-328-4543 |

You could put any combination of fields from the preceding **customer** table in this matrix, but you would always end up with a one-to-one correspondence, which shows that this table is not multidimensional and would not be well suited for a dimensional database.

However, consider a relational table that contains more than a one-to-one correspondence between the fields of the table. Suppose you create a table that contains sales data for products sold in each region of the country. For simplicity, suppose the company has three products that are sold in three regions. Table 11-2 shows how you might store this data in a relational table.

Table 11-2. A Simple Relational Table

| Product | Region | Unit Sales |
|---------------|---------|------------|
| Football | East | 2300 |
| Football | West | 4000 |
| Football | Central | 5600 |
| Tennis racket | East | 5500 |
| Tennis racket | West | 8000 |
| Tennis racket | Central | 2300 |
| Baseball | East | 10000 |
| Baseball | West | 22000 |
| Baseball | Central | 34000 |

The table in Table 11-2 on page 11-5 lends itself to multidimensional representation because it has more than one product per region and more than one region per product. Table 11-3 shows a two-dimensional matrix that better represents the many-to-many relationship of product and region data.

Table 11-3. A Simple Two-Dimensional Example

| | Region | Central | East | West |
|---------|---------------|---------|-------|-------|
| Product | Football | 5600 | 2300 | 4000 |
| | Tennis Racket | 2300 | 5500 | 8000 |
| | Baseball | 34000 | 10000 | 22000 |

Although this data can be forced into the three-field relational table of Table 11-2, the data fits more naturally into the two-dimensional matrix of Table 11-3.

The performance advantages of the *dimensional* table over the traditional relational table can be great. A dimensional approach simplifies access to the data that you want to summarize or compare. For example, if you use the dimensional table to query the number of products sold in the West, the database server finds the **West** column and calculates the total for all row values in that column. To perform the same query on the relational table, the database server has to search and retrieve each row where the **Region** column equals west and then aggregate the data. In queries of this kind, the dimensional table can total all values of the **West** column in a fraction of the time it takes the relational table to find all the West records.

Concepts of Dimensional Data Modeling

To build a dimensional database, you start with a dimensional data model. The dimensional data model provides a method for making databases simple and understandable. You can conceive of a dimensional database as a database *cube* of three or four dimensions where users can access a slice of the database along any of its dimensions. To create a dimensional database, you need a model that lets you visualize the data.

Suppose your business sells products in different markets and evaluates the performance over time. It is easy to conceive of this business process as a cube of data, which contains dimensions for time, products, and markets. Figure 11-1 shows this dimensional model. The various intersections along the lines of the cube would contain the *measures* of the business. The measures correspond to a particular combination of product, market, and time data.

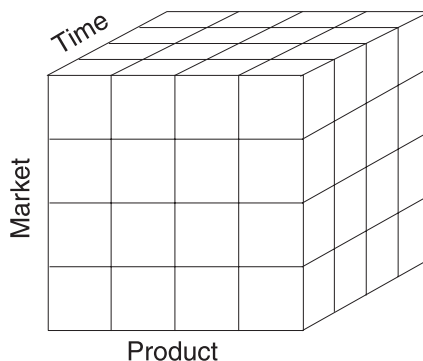


Figure 11-1. A Dimensional Model of a Business That Has Time, Product, and Market Dimensions

Another name for the dimensional model is the *star-join schema*. The database designers use this name because the diagram for this model looks like a star with one central table around which a set of other tables are displayed. The central table is the only table in the schema with multiple joins connecting it to all the other tables. This central table is called the *fact table* and the other tables are called *dimension tables*. The dimension tables all have only a single join that attaches them to the fact table, regardless of the query. Figure 11-2 shows a simple dimensional model of a business that sells products in different markets and evaluates business performance over time.

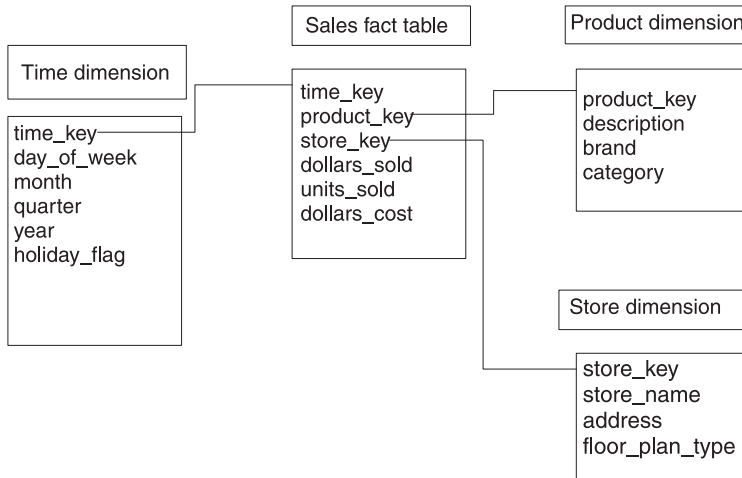


Figure 11-2. A Typical Dimensional Model

The Fact Table

The fact table stores the measures of the business and points to the key value at the lowest level of each dimension table. The *measures* are quantitative or factual data about the subject. The measures are generally numeric and correspond to the *how much* or *how many* aspects of a question. Examples of measures are price, product sales, product inventory, revenue, and so forth. A measure can be based on a column in a table or it can be calculated.

Table 11-4 shows a fact table whose measures are sums of the units sold, the revenue, and the profit for the sales of that product to that account on that day.

Table 11-4. A Fact Table with Sample Records

| Product Code | Account Code | Day Code | Units Sold | Revenue | Profit |
|--------------|--------------|----------|------------|---------|--------|
| 1 | 5 | 32104 | 1 | 82.12 | 27.12 |
| 3 | 17 | 33111 | 2 | 171.12 | 66.00 |
| 1 | 13 | 32567 | 1 | 82.12 | 27.12 |

Before you design a fact table, you must determine the *granularity* of the fact table. The granularity corresponds to how you define an individual low-level record in that fact table. The granularity might be the individual transaction, a daily snapshot, or a monthly snapshot. The fact table in Table 11-4 contains one row for every product sold to each account each day. Thus, the granularity of the fact table is expressed as *product by account by day*.

Dimensions of the Data Model

A *dimension* represents a single set of objects or events in the real world. Each dimension that you identify for the data model gets implemented as a dimension table. Dimensions are the qualifiers that make the measures of the fact table meaningful, because they answer the what, when, and where aspects of a question. For example, consider the following business questions, for which the dimensions are italicized:

- What *accounts* produced the highest revenue last *year*?
- What was our profit by *vendor*?
- How many units were sold for each *product*?

In the preceding set of questions, revenue, profit, and units sold are measures (*not* dimensions), as each represents quantitative or factual data.

Dimension Elements

A dimension can define multiple *dimension elements* for different levels of summation. For example, all the elements that relate to the structure of a sales organization might comprise one dimension. Figure 11-3 shows the dimension elements that the accounts dimension defines.

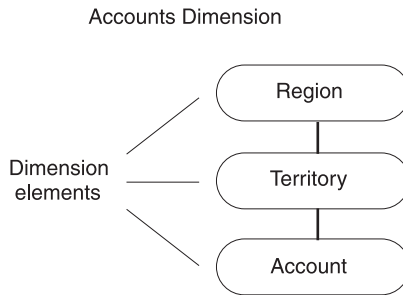


Figure 11-3. Dimension Elements in the Accounts Dimension

Dimensions are made up of hierarchies of related elements. Because of the hierarchical aspect of dimensions, users are able to construct queries that access data at a higher level (*roll up*) or lower level (*drill down*) than the previous level of detail. Figure 11-3 shows the hierarchical relationships of the dimension elements: accounts roll up to territories, and territories roll up to regions. Users can query at different levels of the dimension, depending on the data they want to retrieve. For example, users might perform a query against all regions and then drill down to the territory or account level for detailed information.

Dimension elements are usually stored in the database as numeric codes or short character strings to facilitate joins to other tables.

Each dimension element can define multiple dimension attributes, in the same way dimensions can define multiple dimension elements.

Dimension Attributes

A dimension attribute is a column in a dimension table. Each attribute describes a level of summary within a dimension hierarchy. The dimension elements define the hierarchical relationships within a dimension table; the attributes describe dimension elements in terms that are familiar to users. Figure 11-4 shows the dimension elements and corresponding attributes of the account dimension.

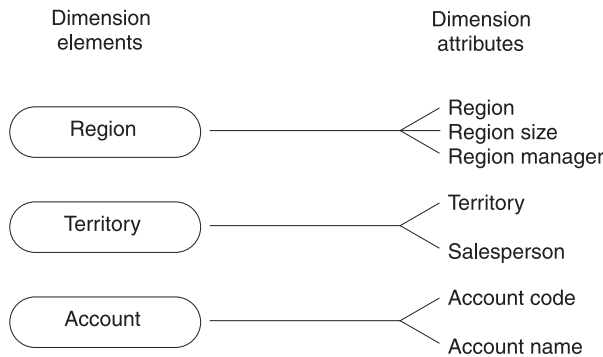


Figure 11-4. Attributes That Correspond to the Dimension Elements

Because dimension attributes describe the items in a dimension, they are most useful when they are text.

Tip: Sometimes during the design process, it is unclear whether a numeric data field from a production data source is a measured fact or an attribute. Generally, if the numeric data field is a measurement that changes each time we sample it, it is a fact. If it is a discretely valued description of something that is more or less constant, it is a dimension attribute.

Dimension Tables

A *dimension table* is a table that stores the textual descriptions of the dimensions of the business. A dimension table contains an element and an attribute, if appropriate, for each level in the hierarchy. The lowest level of detail that is required for data analysis determines the lowest level in the hierarchy. Levels higher than this base level store redundant data. This denormalized table reduces the number of joins that are required for a query and makes it easier for users to query at higher levels and then drill down to lower levels of detail. The term *drilling down* means to add row headers from the dimension tables to your query. Table 11-5 shows an example of a dimension table that is based on the account dimension.

Table 11-5. An Example of a Dimension Table

| Acct Code | Account Name | Territory | Salesman | Region | Region Size | Region Manager |
|-----------|---------------|-----------|----------|---------|-------------|----------------|
| 1 | Jane's Mfg. | 101 | B. Adams | Midwest | Over 50 | T. Sent |
| 2 | TBD Sales | 101 | B. Adams | Midwest | Over 50 | T. Sent |
| 3 | Molly's Wares | 101 | B. Adams | Midwest | Over 50 | T. Sent |
| 4 | The Golf Co. | 201 | T. Scott | Midwest | Over 50 | T. Sent |

Building a Dimensional Data Model

To build a dimensional data model, you need a methodology that outlines the decisions you need to make to complete the database design. This methodology uses a top-down approach because it first identifies the major processes in your organization where data is collected. An important task of the database designer is to start with the existing sources of data that your organization uses. After the processes are identified, one or more fact tables are built from each business process. The following steps describe the methodology you use to build the data model.

To build a dimensional database:

1. Choose the business processes that you want to use to analyze the subject area to be modeled.
2. Determine the granularity of the fact tables.
3. Identify dimensions and hierarchies for each fact table.
4. Identify measures for the fact tables.
5. Determine the attributes for each dimension table.
6. Get users to verify the data model.

Although a dimensional database can be based on multiple business processes and can contain many fact tables, the data model that this section describes is based on a single business process and has one fact table.

Choosing a Business Process

A *business process* is an important operation in your organization that some legacy system supports. You collect data from this system to use in your dimensional database. The business process identifies what end users are doing with their data, where the data comes from, and how to transform that data to make it meaningful. The information can come from many sources, including finance, sales analysis, market analysis, customer profiles. The following list shows different business processes you might use to determine what data to include in your dimensional database:

- Sales
- Shipments
- Inventory
- Orders
- Invoices

Summary of a Business Process

Suppose your organization wants to analyze customer buying trends by product line and region so that you can develop more effective marketing strategies. In this scenario, the subject area for your data model is sales.

After many interviews and thorough analysis of your sales business process, suppose your organization collects the following information:

- Customer-base information has changed.
Previously, sales districts were divided by city. Now the customer base corresponds to two regions: Region 1 for California and Region 2 for all other states.
- The following reports are most critical to marketing:
 - Monthly revenue, cost, net profit by product line per vendor
 - Revenue and units sold by product, by region, by month
 - Monthly customer revenue
 - Quarterly revenue per vendor
- Most sales analysis is based on monthly results, but you can choose to analyze sales by week or accounting period (at a later date).
- A data-entry system exists in a relational database.
To develop a working data model, you can assume that the relational database of sales information has the following properties:
 - The **stores_demo** database provides much of the revenue data that the marketing department uses.
 - The product code that analysts use is stored in the **catalog** table as the catalog number.
 - The product line code is stored in the **stock** table as the stock number. The product line name is stored as description.
 - The product hierarchies are somewhat complicated. Each product line has many products, and each manufacturer has many products.
- All the cost data for each product is stored in a flat file named **costs.lst** on a different purchasing system.
- Customer data is stored in the **stores_demo** database.
The region information has not yet been added to the database.

An important characteristic of the dimensional model is that it uses business labels familiar to end users rather than internal tables or column names. After the business process is completed, you should have all the information you need to create the measures, dimensions, and relationships for the dimensional data model. This dimensional data model is used to implement the **sales_demo** database that Chapter 12, “Implementing a Dimensional Database (XPS),” on page 12-1 describes.

The **stores_demo** demonstration database is the primary data source for the dimensional data model that this chapter develops. For detailed information about the data sources that are used to populate the tables of the **sales_demo** database, see “Mapping Data from Data Sources to the Database” on page 12-4.

Determining the Granularity of the Fact Table

After you gather all the relevant information about the subject area, the next step in the design process is to determine the granularity of the fact table. To do this you must decide what an individual low-level record in the fact table should contain. The components that make up the granularity of the fact table correspond directly with the dimensions of the data model. Thus, when you define the granularity of the fact table, you identify the dimensions of the data model.

How Granularity Affects the Size of the Database

The granularity of the fact table also determines how much storage space the database requires. For example, consider the following possible granularities for a fact table:

- Product by day by region
- Product by month by region

The size of a database that has a granularity of *product by day by region* would be much greater than a database with a granularity of *product by month by region* because the database contains records for every transaction made each day as opposed to a monthly summation of the transactions. You must carefully determine the granularity of your fact table because too fine a granularity could result in an astronomically large database. Conversely, too coarse a granularity could mean the data is not detailed enough for users to perform meaningful queries against the database.

Using the Business Process to Determine the Granularity

A careful review of the information gathered from the business process should provide what you need to determine the granularity of the fact table. To summarize, your organization wants to analyze customer-buying trends by product line and region so that you can develop more effective marketing strategies.

Customer by Product: The granularity of the fact table always represents the lowest level for each corresponding dimension. When you review the information from the business process, the granularity for customer and product dimensions of the fact table are apparent. Customer and product cannot be reasonably reduced any further: they already express the lowest level of an individual record for the fact table. (In some cases, product might be further reduced to the level of product component because a product could be made up of multiple components.)

Customer by Product by District: Because the customer buying trends your organization wants to analyze include a geographical component, you still need to decide the lowest level for region information. The business process indicates that in the past, sales districts were divided by city, but now your

organization distinguishes between two regions for the customer base: Region 1 for California and Region 2 for all other states. Nonetheless, at the lowest level, your organization still includes sales district data, so district represents the lowest level for geographical information and provides a third component to further define the granularity of the fact table.

Customer by Product by District by Day: Customer-buying trends always occur over time, so the granularity of the fact table must include a time component. Suppose your organization decides to create reports by week, accounting period, month, quarter, or year. At the lowest level, you probably want to choose a base granularity of day. This granularity allows your business to compare sales on Tuesdays with sales on Fridays, compare sales for the first day of each month, and so forth. The granularity of the fact table is now complete.

The decision to choose a granularity of day means that each record in the **time** dimension table represents a day. In terms of the storage requirements, even 10 years of daily data is only about 3,650 records, which is a relatively small dimension table.

Identifying the Dimensions and Hierarchies

After you determine the granularity of the fact table, it is easy to identify the primary dimensions for the data model because each component that defines the granularity corresponds to a dimension. Figure 11-5 shows the relationship between the granularity of the fact table and the dimensions of the data model.

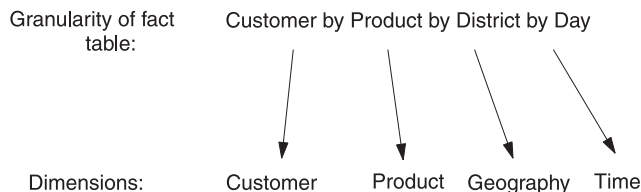


Figure 11-5. The Granularity of the Fact Table Corresponds to the Dimensions of the Data Model

With the dimensions (customer, product, geography, time) for the data model in place, the schema diagram begins to take shape.

Tip: At this point, you can add additional dimensions to the primary granularity of the fact table, where the new dimensions take on only a single value under each combination of the primary dimensions. If you see that an additional dimension violates the granularity because it causes additional records to be generated, then you must revise the granularity of the fact table to accommodate the additional dimension. For this data model, no additional dimensions need to be added.

You can now map out dimension elements and hierarchies for each dimension. Figure 11-6 shows the relationships among dimensions, dimension elements, and the inherent hierarchies.

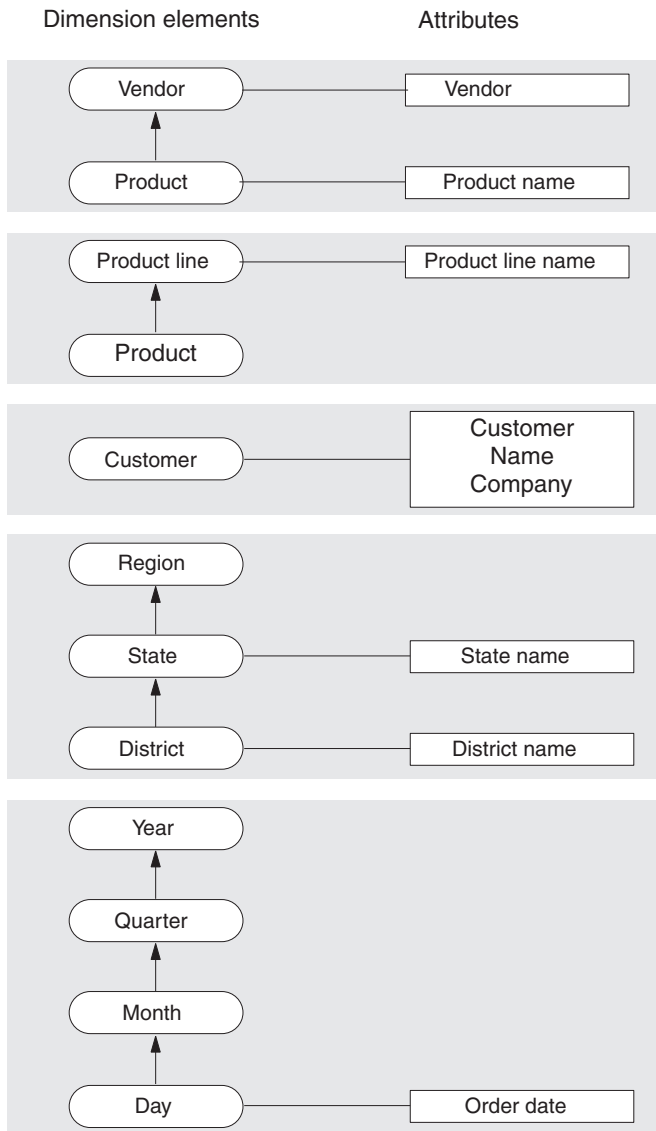


Figure 11-6. The Relationships Between Dimensions, Dimension Elements, and the Inherent Hierarchies

In most cases, the dimension elements need to express the lowest possible granularity for each dimension, not because queries need to access individual low-level records, but because queries need to cut through the database in

precise ways. In other words, even though the questions that a data warehousing environment poses are usually broad, these questions still depend on the lowest level of product detail.

Choosing the Measures for the Fact Table

The measures for the data model include not only the data itself, but also new values that you calculate from the existing data. When you examine the measures, you might discover that you need to make adjustments either in the granularity of the fact table or the number of dimensions.

Another important decision you must make when you design the data model is whether to store the calculated results in the fact table or to derive these values at runtime.

The question to answer is, “What measures are used to analyze the business?” Remember that the measures are the quantitative or factual data that tell *how much* or *how many*. The information that you gather from analysis of the sales business process results in the following list of measures:

- Revenue
- Cost
- Units sold
- Net profit

Use these measures to complete the fact table in Figure 11-7.

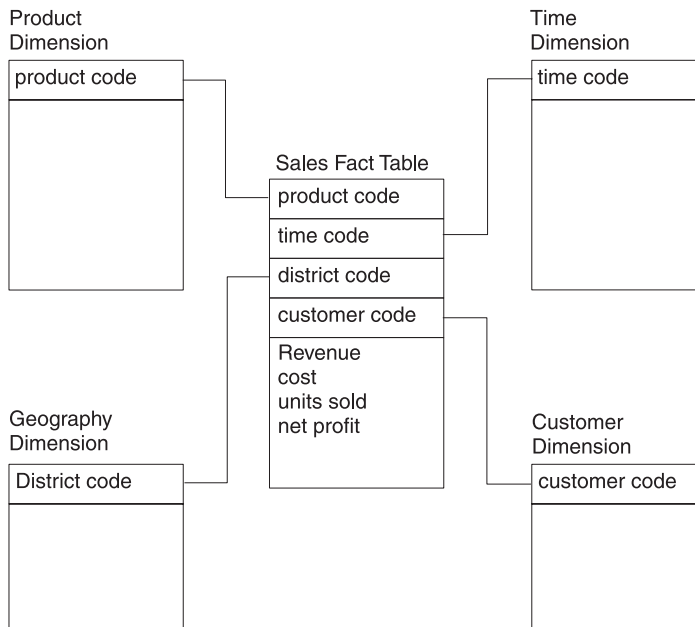


Figure 11-7. The Sales Fact Table References Each Dimension Table

Using Keys to Join the Fact Table with the Dimension Tables

Assume, for the moment, that the schema of Figure 11-7 on page 11-17 shows both the logical and physical design of the database. The database contains the following five tables:

- **Sales** fact table
- **Product** dimension table
- **Time** dimension table
- **Customer** dimension table
- **Geography** dimension table

Each of the dimensional tables includes a primary key (product, time_code, customer, district_code), and the corresponding columns in the fact table are foreign keys. The fact table also has a primary (composite) key that is a combination of these four foreign keys. As a rule, each foreign key of the fact table must have its counterpart in a dimension table. Furthermore, any table in a dimensional database that has a composite key must be a fact table, which means that every table in a dimensional database that expresses a many-to-many relationship is a fact table.

Tip: The primary key should be a short numeric data type (INT, SMALLINT, SERIAL) or a short character string (as used for codes). Do not use long character strings as primary keys.

Resisting Normalization

If the four foreign keys of the fact table are tightly administered consecutive integers, you could reserve as little as 16 bytes for all four keys (4 bytes each for time, product, customer, and geography) of the fact table. If the four measures in the fact table were each 4-byte integer columns, you would need to reserve only another 16 bytes. Thus, each record of the fact table would be only 32 bytes. Even a billion-row fact table would require only about 32 gigabytes of primary data space.

With its compact keys and data, such a storage-lean fact table is typical for dimensional databases. The fact table in a dimensional model is by nature highly normalized. You cannot further normalize the extremely complex many-to-many relationships among the four keys in the fact table because no correlation exists between the four dimension tables; virtually every product is sold every day to all customers in every region.

The fact table is the largest table in a dimensional database. Because the dimension tables are usually much smaller than the fact table, you can ignore the dimension tables when you calculate the disk space for your database. Efforts to normalize any of the tables in a dimensional database solely to save disk space are pointless. Furthermore, normalized dimension tables undermine the ability of users to explore a single dimension table to set constraints and choose useful row headers.

Choosing the Attributes for the Dimension Tables

After you complete the fact table, you can decide the dimension attributes for each of the dimension tables. To illustrate how to choose the attributes, consider the time dimension. The data model for the sales business process defines a granularity of day that corresponds to the time dimension, so that each record in the **time** dimension table represents a day. Keep in mind that each field of the table is defined by the particular day the record represents.

The analysis of the sales business process also indicates that the marketing department needs monthly, quarterly, and annual reports, so the time dimension includes the elements: day, month, quarter, and year. Each element is assigned an attribute that describes the element and a code attribute (to avoid column values that contain long character strings). Table 11-6 shows the attributes for the **time** dimension table and sample values for each field of the table.

Table 11-6. Attributes for the Time Dimension

| time code | order date | month code | month | quarter code | quarter | year |
|-----------|------------|------------|-------|--------------|---------|------|
| 35276 | 07/31/1999 | 7 | july | 3 | third q | 1999 |
| 35277 | 08/01/1999 | 8 | aug | 3 | third q | 1999 |
| 35278 | 08/02/1999 | 8 | aug | 3 | third q | 1999 |

Table 11-6 on page 11-19 shows that the attribute names you assign should be familiar business terms that make it easy for end users to form queries on the database. Figure 11-8 shows the completed data model for the sales business process with all the attributes defined for each dimension table.

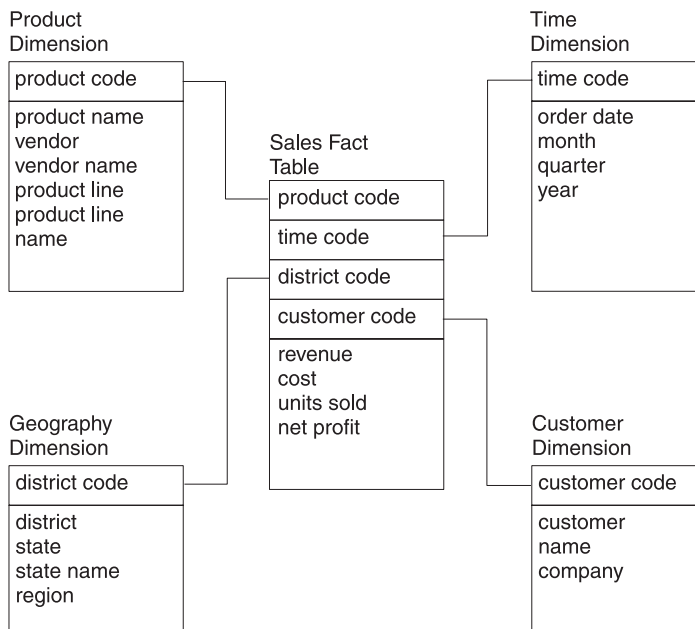


Figure 11-8. The Completed Dimensional Data Model for the Sales Business Process

Handling Common Dimensional Data-Modeling Problems

The dimensional model that the previous sections describe illustrates only the most basic concepts and techniques of dimensional data modeling. The data model you build to address the business needs of your enterprise typically involves additional problems and difficulties that you must resolve to achieve the best possible query performance from your database. This section describes various methods you can use to resolve some of the most common problems that arise when you build a dimensional data model.

Minimizing the Number of Attributes in a Dimension Table

Dimension tables that contain customer or product information might easily have 50 to 100 attributes and many millions of rows. However, dimension tables with too many attributes can lead to excessively wide rows and poor performance. For this reason, you might want to separate out certain groups of attributes from a dimension table and put them in a separate table called a *minidimension* table. A minidimension table consists of a small group of attributes that are separated out from a larger dimension table. You might choose to create a minidimension table for attributes that have either of the following characteristics:

- The fields are rarely used as constraints in a query.
- The fields are frequently compared together.

Figure 11-9 shows a minidimension table for demographic information that is separated out from a **customer** table.

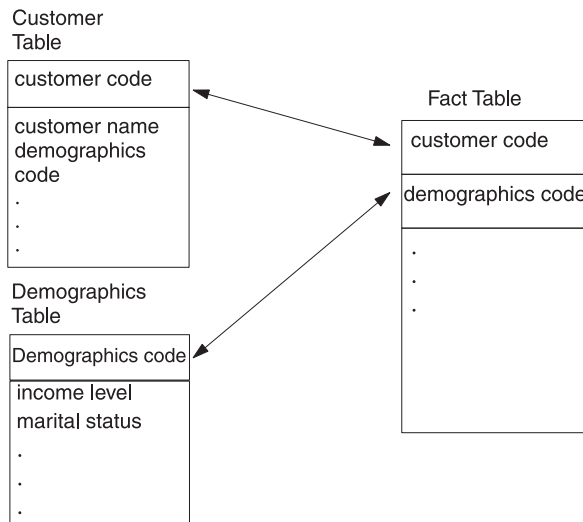


Figure 11-9. A Minidimension Table for Demographics Information

In the **demographics** table, you can store the demographics key as a foreign key in both the fact table and the **customer** table, which allows you to join the demographics table directly to the fact table. You can also use the demographics key directly with the **customer** table to browse demographic attributes.

Handling Dimensions That Occasionally Change

In a dimensional database where updates are infrequent (as opposed to OLTP systems), most dimensions are *relatively* constant over time, because changes in sales districts or regions, or in company names and addresses, occur

infrequently. However, to make historical comparisons, these changes must be handled when they do occur. Figure 11-10 shows an example of a dimension that has changed.

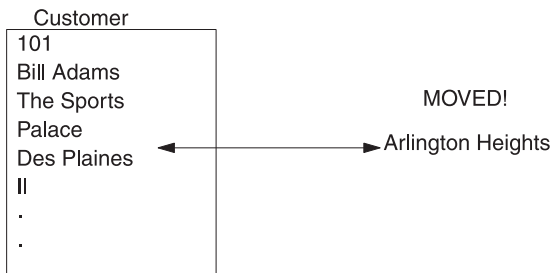


Figure 11-10. A Dimension That Changes

You can use three ways to handle changes that occur in a dimension:

- Change the value stored in the dimension column.

In Figure 11-10, the record for Bill Adams in the **customer** dimension table is updated to show the new address Arlington Heights. All of this customer's previous sales history is now associated with the district of Arlington Heights instead of Des Plaines.

- Create a second dimension record with the new value and a generalized key.

This approach effectively partitions history. The **customer** dimension table would now contain two records for Bill Adams. The old record with a key of 101 remains, and records in the fact table are still associated with it. A new record is also added to the **customer** dimension table for Bill Adams, with a new key that might consist of the old key plus some version digits (101.01, for example). All subsequent records that are added to the fact table for Bill Adams are associated with this new key.

- Add a new field in the **customer** dimension table for the affected attribute and rename the old attribute.

This approach is rarely used unless you need to track old history in terms of the new value and vice-versa. The **customer** dimension table gets a new attribute named **current address**, and the old attribute is renamed **original address**. The record that contains information about Bill Adams includes values for both the original and current address.

Using the Snowflake Schema

A snowflake schema is a variation on the star schema, in which very large dimension tables are normalized into multiple tables. Dimensions with hierarchies can be decomposed into a snowflake structure when you want to avoid joins to big dimension tables when you are using an aggregate of the fact table. For example, if you have brand information that you want to

separate out from a **product** dimension table, you can create a brand snowflake that consists of a single row for each brand and that contains significantly fewer rows than the **product** dimension table. Figure 11-11 shows a snowflake structure for the brand and product line elements and the **brand_agg** aggregate table.

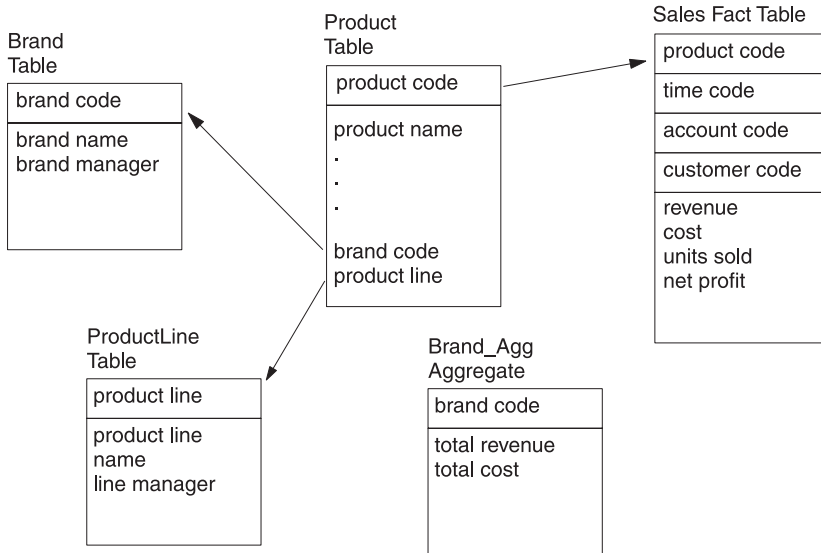


Figure 11-11. An Example of a Snowflake Schema

If you create an aggregate, **brand_agg**, that consists of the brand code and the total revenue per brand, you can use the snowflake schema to avoid the join to the much larger **sales** table, as the following query on the **brand** and **brand_agg** tables shows:

```

SELECT brand.brand_name, brand_agg.total_revenue
FROM brand, brand_agg
  WHERE brand.brand_code = brand_agg.brand_code
  AND brand.brand_name = 'Anza'
  
```

Without a *snowflaked* dimension table, you use a **SELECT UNIQUE** or **SELECT DISTINCT** statement on the entire **product** table (potentially, a very large dimension table that includes all the brand and product-line attributes) to eliminate duplicate rows.

While snowflake schemas are unnecessary when the dimension tables are relatively small, a retail or mail-order business that has customer or product dimension tables that contain millions of rows can use snowflake schemas to significantly improve performance.

If an aggregate table is not available, any joins to a dimension element that was normalized with a snowflake schema must now be a three-way join, as the following query shows. A three-way join reduces some of the performance advantages of a dimensional database.

```
SELECT brand.brand_name, SUM(sales.revenue)
FROM product, brand, sales
    WHERE product.brand_code = brand.brand_code
    AND brand.brand_name = 'Alltemp'
GROUP BY brand_name
```

Chapter 12. Implementing a Dimensional Database (XPS)

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In This Chapter

This chapter shows how to use SQL to implement the dimensional data model that Chapter 11, “Building a Dimensional Data Model,” on page 11-1 describes. Remember that this database serves only as an illustrative example of a data-warehousing environment. For the sake of the example, it is translated into SQL statements.

This chapter describes the **sales_demo** database, which is available with Extended Parallel Server. This chapter also describes the special table types and indexes available with Extended Parallel Server that are suited to the needs of data warehousing and other very large database applications.

Implementing the sales_demo Dimensional Database

This section shows the SQL statements that you can use to create a dimensional database from the data model in Chapter 11. You can use interactive SQL to write the individual statements that create the database or you can run a script that automatically executes all the statements that you need to implement the database. The CREATE DATABASE and CREATE

TABLE statements create the data model as tables in a database. After you create the database, you can use LOAD and INSERT statements to populate the tables.

Using CREATE DATABASE

You must create the database before you can create any tables or other objects that the database contains.

When an Informix database server creates a database, it sets up records that show the existence of the database and its mode of logging. The database server manages disk space directly, so these records are not visible to operating-system commands.

When you create a database with Extended Parallel Server, logging is always turned on. However, you can create nonlogging tables within the database. For more information, see “Configuring the Database Server to Use Distributed Queries” on page 7-3.

The following statement shows the syntax you use to create a database that is called **sales_demo**:

```
CREATE DATABASE sales_demo
```

Using CREATE TABLE for the Dimension and Fact Tables

This section includes the CREATE TABLE statements that you use to create the tables of the **sales_demo** dimensional database.

Referential integrity is, of course, an important requirement for dimensional databases. However, the following schema for the **sales_demo** database does not define the primary and foreign key relationships that exist between the fact table and its dimension tables. The schema does not define these primary and foreign key relationships because data-loading performance improves dramatically when the database server does not enforce constraint checking. Given that data-warehousing environments often require that tens or hundreds of gigabytes of data are loaded within a specified time, data-load performance should be a factor when you decide how to implement a database in a warehousing environment. Assume that if the **sales_demo** database is implemented as a live data mart, some data extraction tool (rather than the database server) is used to enforce referential integrity between the fact table and dimension tables.

Tip: After you create and load a table, you can add primary- and foreign-key constraints to the table with the ALTER TABLE statement to enforce referential integrity. This method is required only for express load mode. If the constraints and indexes are necessary and costly to drop before a load, then deluxe load mode is the best option.

The following statements create the **time**, **geography**, **product**, and **customer** tables. These tables are the dimensions for the **sales** fact table. A SERIAL field serves as the primary key for the **district_code** column of the **geography** table.

```
CREATE TABLE time
(
time_code      INT,
order_date     DATE,
month_code     SMALLINT,
month_name     CHAR(10),
quarter_code   SMALLINT,
quarter_name   CHAR(10),
year          INTEGER
);

CREATE TABLE geography
(
district_code  SERIAL,
district_name  CHAR(15),
state_code     CHAR(2),
state_name     CHAR(18),
region        SMALLINT
);

CREATE TABLE product (
product_code   INTEGER,
product_name   CHAR(31),
vendor_code    CHAR(3),
vendor_name    CHAR(15),
product_line_code SMALLINT,
product_line_name CHAR(15)
);

CREATE TABLE customer (
customer_code  INTEGER,
customer_name  CHAR(31),
company_name   CHAR(20)
);
```

The **sales** fact table has pointers to each dimension table. For example, **customer_code** references the customer table, **district_code** references the geography table, and so forth. The **sales** table also contains the measures for the units sold, revenue, cost, and net profit.

```
CREATE TABLE sales
(
customer_code  INTEGER,
district_code  SMALLINT,
time_code     INTEGER,
product_code   INTEGER,
units_sold    SMALLINT,
```

```

revenue      MONEY(8,2),
cost         MONEY(8,2),
net_profit   MONEY(8,2)
);

```

Tip: The most useful measures (facts) are numeric and additive. Because of the great size of databases in data-warehousing environments, virtually every query against the fact table might require thousands or millions of records to construct an answer set. The only useful way to compress these records is to aggregate them. In the **sales** table, each column for the measures is defined on a numeric data type, so you can easily build answer sets from the **units_sold**, **revenue**, **cost**, and **net_profit** columns.

For your convenience, the file called **createdw.sql** contains all the preceding CREATE TABLE statements.

Mapping Data from Data Sources to the Database

The **stores_demo** demonstration database is the primary data source for the **sales_demo** database.

Table 12-1 on page 12-4 shows the relationship between data-warehousing business terms and the data sources. It also shows the data source for each column and table of the **sales_demo** database.

Table 12-1. The Relationship Between Data-Warehousing Business Terms and Data Sources

| Business Term | Data Source | Table.Column Name |
|---------------------------------|--|---------------------------|
| Sales Fact Table: | | |
| product code | | sales.product_code |
| customer code | | sales.customer_code |
| district code | | sales.district_code |
| time code | | sales.time_code |
| revenue | stores_demo:items.total_price | sales.revenue |
| units sold | stores_demo:items.quantity | sales.units_sold |
| cost | costs.lst (per unit) | sales.cost |
| net profit | calculated: revenue minus cost | sales.net_profit |
| Product Dimension Table: | | |
| product | stores_demo:catalog.catalog_num | product.product_code |
| product name | stores_demo:stock.manu_code and stores_demo:stock.description | product.product_name |
| product line | stores_demo:orders.stock_num | product.product_line_code |
| product line name | stores_demo:stock.description | product.product_line_name |
| vendor | stores_demo:orders.manu_code | product.vendor_code |
| vendor name | stores_demo:manufact.manu_name | product.vendor_name |

Table 12-1. The Relationship Between Data-Warehousing Business Terms and Data Sources (continued)

| Business Term | Data Source | Table.Column Name |
|-----------------------------------|---|--|
| Customer Dimension Table: | | |
| customer | stores_demo:orders.customer_num | customer.customer_code |
| customer name | stores_demo:customer.fname plus stores_demo:customer.lname | customer.customer_name |
| company | stores_demo:customer.company | customer.company_name |
| Geography Dimension Table: | | |
| district code | generated | geography.district_code |
| district | stores_demo:customer.city | geography.district_name |
| state | stores_demo:customer.state | geography.state_code |
| state name | stores_demo.state.sname | geography.state_name |
| region | derived: If state = "CA" THEN region = 1, ELSE region = 2 | geography.region |
| Time Dimension Table: | | |
| time code | generated | time.time_code |
| order date | stores_demo:orders.order_date | time.order_date |
| month | derived from order date generated | time.month_name time.month.code |
| quarter | derived from order date generated | time.quarter_name time.quarter_code |
| year | derived from order date | time.year |

Several files with a **.unl** suffix contain the data that is loaded into the **sales_demo** database. The files that contain the SQL statements that create and load the database have a **.sql** suffix.

UNIX Only

When your database server runs on UNIX, you can access the ***.sql** and ***.unl** files from the directory **\$INFORMIXDIR/demo/dbaccess**.

End of UNIX Only

Windows Only

When your database server runs on Windows, you can access the ***.sql** and ***.unl** files from the directory **%INFORMIXDIR%\demo\dbaccess**.

End of Windows Only

Loading Data into the Dimensional Database

An important step when you implement a dimensional database is to develop and document a load strategy. This section shows the LOAD and INSERT statements that you can use to populate the tables of the **sales_demo** database.

Tip: In a live data-warehousing environment, you typically do not use the LOAD or INSERT statements to load large amounts of data to and from Informix databases.

Informix database servers provide different features for high-performance loading and unloading of data.

When you create a database with Extended Parallel Server, you can use *external tables* to perform high-performance loading and unloading.

For information about high-performance loading, see your *IBM Informix: Administrator's Guide* or *IBM Informix: High-Performance Loader User's Guide*.

The following statement loads the **time** table with data first so that you can use it to determine the time code for each row that is loaded into the **sales** table:

```
LOAD FROM 'time.un1' INSERT INTO time
```

The following statement loads the **geography** table. Once you load the **geography** table, you can use the district code data to load the **sales** table.

```
INSERT INTO geography(district_name, state_code, state_name)
SELECT DISTINCT c.city, s.code, s.sname
  FROM stores_demo:customer c, stores_demo:state s
   WHERE c.state = s.code
```

The following statements add the region code to the **geography** table:

```
UPDATE geography
  SET region = 1
  WHERE state_code = 'CA'

UPDATE geography
  SET region = 2
  WHERE state_code <> 'CA'
```

The following statement loads the **customer** table:

```
INSERT INTO customer (customer_code, customer_name, company_name)
SELECT c.customer_num, trim(c.fname) || ' ' || c.lname, c.company
FROM stores_demo:customer c
```

The following statement loads the **product** table:


```

INSERT INTO product (product_code, product_name, vendor_code,
    vendor_name,product_line_code, product_line_name)
SELECT a.catalog_num,
    trim(m.manu_name)||' '||s.description,
    m.manu_code, m.manu_name,
    s.stock_num, s.description
FROM stores_demo:catalog a, stores_demo:manufact m,
    stores_demo:stock s
WHERE a.stock_num = s.stock_num
    AND a.manu_code = s.manu_code
    AND s.manu_code = m.manu_code;

```

The following statement loads the **sales** fact table with one row for each product, per customer, per day, per district. The cost from the **cost** table is used to calculate the total cost (cost * quantity).

```

INSERT INTO sales (customer_code, district_code, time_code,
    product_code, units_sold, cost, revenue, net_profit)
SELECT
    c.customer_num, g.district_code, t.time_code,
    p.product_code, SUM(i.quantity),
    SUM(i.quantity * x.cost), SUM(i.total_price),
    SUM(i.total_price) - SUM(i.quantity * x.cost)
FROM stores_demo:customer c, geography g, time t,
    product p,stores_demo:items i,
    stores_demo:orders o, cost x
WHERE c.customer_num = o.customer_num
    AND o.order_num = i.order_num
    AND p.product_line_code = i.stock_num
    AND p.vendor_code = i.manu_code
    AND t.order_date = o.order_date
    AND p.product_code = x.product_code
    AND c.city = g.district_name
GROUP BY 1,2,3,4;

```

Creating the sales_demo Database

The **sales_demo** dimensional database uses data from the **stores_demo** database, so you must create both databases to implement the **sales_demo** database.

For information about how to use the **dbaccessdemo** script to implement the **sales_demo** database, see the *IBM Informix: DB–Access User’s Guide*.

Testing the Dimensional Database

You can create SQL queries to retrieve the data necessary for the standard reports listed in the business-process summary (see the “Summary of a Business Process” on page 11-11). Use the following ad hoc queries to test that the dimensional database was properly implemented.

The following statement returns the monthly revenue, cost, and net profit by product line for each vendor:

```

SELECT vendor_name, product_line_name, month_name,
       SUM(revenue) total_revenue, SUM(cost) total_cost,
       SUM(net_profit) total_profit
FROM product, time, sales
WHERE product.product_code = sales.product_code
      AND time.time_code = sales.time_code
GROUP BY vendor_name, product_line_name, month_name
ORDER BY vendor_name, product_line_name;

```

The following statement returns the revenue and units sold by product, by region, and by month:

```

SELECT product_name, region, month_name,
       SUM(revenue), SUM(units_sold)
FROM product, geography, time, sales
WHERE product.product_code = sales.product_code
      AND geography.district_code = sales.district_code
      AND time.time_code = sales.time_code
GROUP BY product_name, region, month_name
ORDER BY product_name, region;

```

The following statement returns the monthly customer revenue:

```

SELECT customer_name, company_name, month_name,
       SUM(revenue)
FROM customer, time, sales
WHERE customer.customer_code = sales.customer_code
      AND time.time_code = sales.time_code
GROUP BY customer_name, company_name, month_name
ORDER BY customer_name;

```

The following statement returns the quarterly revenue per vendor:

```

SELECT vendor_name, year, quarter_name, SUM(revenue)
FROM product, time, sales
WHERE product.product_code = sales.product_code
      AND time.time_code = sales.time_code
GROUP BY vendor_name, year, quarter_name
ORDER BY vendor_name, year

```

Logging and Nonlogging Tables in Extended Parallel Server

This section describes the different table types that can be particularly useful in data-warehousing environments. Extended Parallel Server logs tables by default, the same way that Dynamic Server logs tables. However, data-warehousing environments and other applications that involve large amounts of data (and few or no inserts, updates, or deletes) often require a combination of logged and nonlogged tables in the same database. In many cases, temporary tables are insufficient because they do not persist after the database session ends. To meet the need for both logging and nonlogging tables, Extended Parallel Server supports the following types of permanent tables and temporary tables:

- Raw permanent tables (nonlogging)

- Static permanent tables (nonlogging)
- Operational permanent tables (logging)
- Standard permanent tables (logging)
- Scratch temporary tables (nonlogging)
- Temp temporary tables (logging)

If you issue the CREATE TABLE statement and you do not specify the table type, you create a standard permanent table. To change between table types, use the ALTER TABLE statement. For information about the syntax, refer to the *IBM Informix: Guide to SQL Syntax*.

Important: A coserver can use and access only its own dbspaces for temporary space. Although temporary tables can be fragmented explicitly across dbspaces like permanent tables, a coserver inserts data only into the fragments that it manages.

Choosing Table Types

The individual tables in a data-warehousing environment often have different requirements. To help determine the appropriate table type to use for your tables, answer the following questions:

- Does the table require indexes?
- What constraints does the table need to define?
- What is the refresh and update cycle on the table?
- Is the table a read-only table?
- Does the table need to be logged?

Table 12-2 lists the properties of the six types of tables that Extended Parallel Server supports and shows how you can use external tables to load these types of tables. Use this information to select a table type to match the specific requirements of your tables.

Table 12-2. Characteristics of the Table Types for Extended Parallel Server

| Type | Permanent | Logged | Indexes | Light Append Used | Rollback Available | Recoverable | Restorable from Archive | External Tables Load Mode |
|-------------|-----------|--------|---------|-------------------|--------------------|-------------|-------------------------|-----------------------------|
| SCRATCH | No | No | No | Yes | No | No | No | Express or deluxe load mode |
| TEMP | No | Yes | Yes | Yes | Yes | No | No | Express or deluxe load mode |
| RAW | Yes | No | No | Yes | No | No | No | Express or deluxe load mode |
| STATIC | Yes | No | Yes | No | No | No | No | None |
| OPERATIONAL | Yes | Yes | Yes | Yes | Yes | Yes | No | Express or deluxe load mode |
| STANDARD | Yes | Yes | Yes | No | Yes | Yes | Yes | Deluxe load mode |

Scratch and Temp Temporary Tables

Scratch tables are nonlogging temporary tables that do not support indexes, constraints, or rollback.

Temp tables are logged temporary tables, although they also support bulk operations such as light appends. (Express mode loads use *light appends*, which bypass the buffer cache. Light appends eliminate the overhead associated with buffer management but do not log the data.) Temp tables support indexes, constraints, and rollback.

Tip: SELECT...INTO TEMP and SELECT...INTO SCRATCH statements are parallel across coservers, just like ordinary inserts. Extended Parallel Server automatically supports fragmented temporary tables across nodes when those tables are explicitly created with SELECT...INTO TEMP and SELECT...INTO SCRATCH.

Extended Parallel Server creates explicit temporary tables according to the following criteria:

- If the query that you use to populate the Temp or Scratch table produces no rows, the database server creates an empty, unfragmented table.
- If the rows that the query produces do not exceed 8 kilobytes, the temporary table resides in only one dbspace.
- If the rows exceed 8 kilobytes, Extended Parallel Server creates multiple fragments and uses a round-robin fragmentation scheme to populate them.

Raw Permanent Tables

Raw tables are nonlogging permanent tables that use light appends. Express-mode loads use *light appends*, which bypass the buffer cache. You can load a raw table with express mode. For information about express-mode loads, see your *IBM Informix: Administrator's Reference*.

Raw tables support updates, inserts, and deletes but do not log them. Raw tables do not support index or referential constraints, rollback, recoverability, or restoration from archives.

Use raw tables for the initial data loading and scrubbing. Once these steps are completed, alter the table to a higher level. For example, if an error or failure occurs while you are loading a raw table, the resulting data is whatever was on the disk at the time of the failure.

In a data-warehousing environment, you might choose to create a fact table as a raw table when both of the following conditions are true:

- The fact table does not need to specify constraints and indexes, which are enforced by some different mechanisms.
- Creating and loading the fact table is not a costly job. The fact tables could be useful but not critical for decision support, and if data is lost you can easily reload the table.

Static Permanent Tables

Static tables are nonlogging, read-only permanent tables that do not support insert, update, and delete operations. When you anticipate no insert, update, or delete operations on the table, you might choose to create the table as a static table. With a static table, you can create and drop nonclustered indexes and referential constraints because they do not affect the data.

Static tables do not support rollback, recoverability, or restoration from archives. Their advantage is that the database server can use light scans and avoid locking when you execute queries because static tables are read-only.

Tip: Static tables are important when you want to create a table that uses GK indexes because a static table is the only table type that supports GK indexes.

Operational Permanent Tables

Operational tables are logging permanent tables that use light appends and do not perform record-by-record logging. They allow fast update operations.

You can roll back operations or recover after a failure with operational tables, but you cannot restore them reliably from an archive of the log because the bulk insert records that are loaded are not logged. Use operational tables in

situations where you derive data from another source so restorability is not an issue, but where you do not require rollback and recoverability.

You might create a fact table as an operational table because the data is periodically refreshed. Operational tables support express load mode (in the absence of indexes and constraints) and data is recoverable.

Standard Permanent Tables

A standard table in Extended Parallel Server is the same as a table in a logged database that you create with Dynamic Server. All operations are logged, record by record, so you can restore standard tables from an archive. Standard tables support recoverability and rollback.

If the update and refresh cycle for the table is infrequent, you might choose to create a standard table type, as you need not drop constraints or indexes during a refresh cycle. Building indexes is time consuming and costly, but necessary.

Tip: Standard tables do not use light appends, so you cannot use express-load mode when you use external tables to perform the load.

Switching Between Table Types

Use the ALTER TABLE command to switch between types of permanent tables. If the table does not meet the restrictions of the new type, the alter fails and produces an explanatory error message. The following restrictions apply to table alteration:

- You must drop indexes and referential constraints before you alter a table to a RAW type.
- You must perform a level-0 archive before you alter a table to a STANDARD type, so that the table meets the full recoverability restriction.
- You cannot alter a temp or scratch temporary table.

Indexes for Data-Warehousing Environments

In addition to conventional (B-tree) indexes, Extended Parallel Server provides the following indexes that you can use to improve ad hoc query performance in data-warehousing environments:

- Bitmap indexes

A bitmap index is a specialized variation of a B-tree index. You can use a bitmap index to index columns that can contain one of only a few values, such as marital status or gender. For each highly duplicate value, a bitmap index stores a compressed bitmap for each value that the column might contain. With a bitmap index, storage efficiency increases as the distance between rows that contain the same key decreases.

You can use a bitmap index when both of the following conditions are true:

- The key values in the index contain many duplicates.
- More than one column in the table has an index that the optimizer can use to improve performance on a table scan.
- Generalized-key (GK) indexes

GK indexes allow you to store the result of an expression, selection of a data set, or intersect of data sets from joined tables as a key in a B-tree or bitmap index, which can be useful in specific queries on one or more large tables.

To create a GK index, all tables involved should be static tables.

To improve indexing efficiency, Extended Parallel Server also supports the following functionality:

- Automatically combine indexes for use in the same table access.

You can combine multicolumn indexes with single-column indexes.
- Read a table with an access method known as a Skip Scan.

When it scans rows from a table, the database server only reads rows that the index indicates, and reads rows in the order that they appear in the database. The *skip scan* access method guarantees that no page is read twice. Pages are read sequentially, not randomly, which reduces I/O resource requirements. The skip scan also reduces CPU requirements because filtering on the index columns is unnecessary.
- Use a hash semi-join to reduce the work to process certain multitable joins.

A hash semi-join is especially useful with joins that typify queries against a star schema where one large (fact) table is joined with many small (dimension) tables. The hash semi-join can effectively reduce the set of rows as much as possible before the joins begin.

An analysis of the types of queries you anticipate running against your database can help you decide the type of indexes to create. For information about indexes and indexing methods that you can use to improve query performance, see your *IBM Informix: Performance Guide*.

Using GK Indexes in a Data-Warehousing Environment

You can create GK indexes when you anticipate frequent use of a particular type of query on a table. The following examples illustrate how you can create and use GK indexes for queries on one or more large tables. The examples are based on tables of the **sales_demo** database.

Defining a GK Index on a Selection

Suppose a typical query on the **sales** fact table returns values where state = "CA". To improve the performance for this type of query, you can create a GK index that allows you to store the result of a select statement as a key in an

index. The following statement creates the **state_idx** index, which can improve performance on queries that restrict a search by geographic data:

```
CREATE GK INDEX state_idx ON geography
  (SELECT district_code FROM geography
   WHERE state_code = "CA");
```

The database server can use the **state_idx** index on the following type of query that returns revenue and units sold by product, by region, and by month where state = "CA". The database server uses the **state_idx** index to retrieve rows from the **geography** table where state = "CA" to improve query performance overall.

```
SELECT product_name, region, month_name, SUM(revenue),
SUM(units_sold)
FROM product, geography, time, sales
WHERE product.product_code = sales.product_code
  AND geography.district_code = sales.district_code
  AND state_code = "CA" AND time.time_code = sales.time_code
GROUP BY product_name, region, month_name
ORDER BY product_name, region;
```

Defining a GK Index on an Expression

You can create a GK index that allows you to store the result of an expression as a key in an index. The following statement creates the **cost_idx** index, which can improve performance for queries against the **sales** table that include the cost of the products sold:

```
CREATE GK INDEX cost_idx ON sales
  (SELECT units_sold * cost FROM sales);
```

The database server can use the **cost_idx** index for the following type of query that returns the names of customers who have spent more than \$10,000.00 on products:

```
SELECT customer_name
FROM sales, customer
WHERE sales.customer_code = customer.customer_code
  AND units_sold * cost > 10000.00;
```

Defining a GK Index on Joined Tables

You can create a GK index that allows you to store the result of an intersect of data sets from joined tables as a key in an index. Suppose you want to create a GK index on year data from the **time** dimension table for each entry in the **sales** table. The following statement creates the **time_idx** index:

```
CREATE GK INDEX time_idx ON sales
  (SELECT year FROM sales, time
   WHERE sales.time_code = time.time_code);
```

Important: To create the preceding GK index, the **time_code** column of the **sales** table must be a foreign key that references the **time_code** column (a primary key) in the **time** table.

The database server can use the **time_idx** index on the following type of query that returns the names of customers who purchased products after 1996:

```
SELECT customer_name
FROM   sales, customer, time
WHERE  sales.time_code = time.time_code AND year > 1996
       AND sale.customer_code = customer.customer_code;
```

Part 5. Appendixes

Appendix. Accessibility

The syntax diagrams in the HTML version of this manual are available in dotted decimal syntax format, which is an accessible format that is available only if you are using a screen reader.

Dotted Decimal Syntax Diagrams

In dotted decimal format, each syntax element is written on a separate line. If two or more syntax elements are always present together (or always absent together), the elements can appear on the same line, because they can be considered as a single compound syntax element.

Each line starts with a dotted decimal number; for example, 3 or 3.1 or 3.1.1. To hear these numbers correctly, make sure that your screen reader is set to read punctuation. All syntax elements that have the same dotted decimal number (for example, all syntax elements that have the number 3.1) are mutually exclusive alternatives. If you hear the lines 3.1 USERID and 3.1 SYSTEMID, your syntax can include either USERID or SYSTEMID, but not both.

The dotted decimal numbering level denotes the level of nesting. For example, if a syntax element with dotted decimal number 3 is followed by a series of syntax elements with dotted decimal number 3.1, all the syntax elements numbered 3.1 are subordinate to the syntax element numbered 3.

Certain words and symbols are used next to the dotted decimal numbers to add information about the syntax elements. Occasionally, these words and symbols might occur at the beginning of the element itself. For ease of identification, if the word or symbol is a part of the syntax element, the word or symbol is preceded by the backslash (\) character. The * symbol can be used next to a dotted decimal number to indicate that the syntax element repeats. For example, syntax element *FILE with dotted decimal number 3 is read as 3 * FILE. Format 3* FILE indicates that syntax element FILE repeats. Format 3* * FILE indicates that syntax element * FILE repeats.

Characters such as commas, which are used to separate a string of syntax elements, are shown in the syntax just before the items they separate. These characters can appear on the same line as each item, or on a separate line with the same dotted decimal number as the relevant items. The line can also show another symbol that provides information about the syntax elements. For example, the lines 5.1*, 5.1 LASTRUN, and 5.1 DELETE mean that if you use more than one of the LASTRUN and DELETE syntax elements, the elements

must be separated by a comma. If no separator is given, assume that you use a blank to separate each syntax element.

If a syntax element is preceded by the % symbol, this identifies a reference that is defined elsewhere. The string following the % symbol is the name of a syntax fragment rather than a literal. For example, the line 2.1 %OP1 means that you should refer to a separate syntax fragment OP1.

The following words and symbols are used next to the dotted decimal numbers:

- ? Specifies an optional syntax element. A dotted decimal number followed by the ? symbol indicates that all the syntax elements with a corresponding dotted decimal number, and any subordinate syntax elements, are optional. If there is only one syntax element with a dotted decimal number, the ? symbol is displayed on the same line as the syntax element (for example, 5? NOTIFY). If there is more than one syntax element with a dotted decimal number, the ? symbol is displayed on a line by itself, followed by the syntax elements that are optional. For example, if you hear the lines 5 ?, 5 NOTIFY, and 5 UPDATE, you know that syntax elements NOTIFY and UPDATE are optional; that is, you can choose one or none of them. The ? symbol is equivalent to a bypass line in a railroad diagram.
- ! Specifies a default syntax element. A dotted decimal number followed by the ! symbol and a syntax element indicates that the syntax element is the default option for all syntax elements that share the same dotted decimal number. Only one of the syntax elements that share the same dotted decimal number can specify a ! symbol. For example, if you hear the lines 2? FILE, 2.1! (KEEP), and 2.1 (DELETE), you know that (KEEP) is the default option for the FILE keyword. In this example, if you include the FILE keyword but do not specify an option, default option KEEP is applied. A default option also applies to the next higher dotted decimal number. In this example, if the FILE keyword is omitted, default FILE(KEEP) is used. However, if you hear the lines 2? FILE, 2.1, 2.1.1! (KEEP), and 2.1.1 (DELETE), the default option KEEP only applies to the next higher dotted decimal number, 2.1 (which does not have an associated keyword), and does not apply to 2? FILE. Nothing is used if the keyword FILE is omitted.
- * Specifies a syntax element that can be repeated zero or more times. A dotted decimal number followed by the * symbol indicates that this syntax element can be used zero or more times; that is, it is optional and can be repeated. For example, if you hear the line 5.1* data-area, you know that you can include more than one data area or

you can include none. If you hear the lines 3*, 3 HOST, and 3 STATE, you know that you can include HOST, STATE, both together, or nothing.

Notes:

1. If a dotted decimal number has an asterisk (*) next to it and there is only one item with that dotted decimal number, you can repeat that same item more than once.
2. If a dotted decimal number has an asterisk next to it and several items have that dotted decimal number, you can use more than one item from the list, but you cannot use the items more than once each. In the previous example, you could write HOST STATE, but you could not write HOST HOST.
3. The * symbol is equivalent to a loop-back line in a railroad syntax diagram.

+ Specifies a syntax element that must be included one or more times. A dotted decimal number followed by the + symbol indicates that this syntax element must be included one or more times. For example, if you hear the line 6.1+ data-area, you must include at least one data area. If you hear the lines 2+, 2 HOST, and 2 STATE, you know that you must include HOST, STATE, or both. As for the * symbol, you can only repeat a particular item if it is the only item with that dotted decimal number. The + symbol, like the * symbol, is equivalent to a loop-back line in a railroad syntax diagram.

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